



## Specific Procurement Notice Request for Bids Small Works

(One-Envelope Bidding Process)

Country:	Kingdom of Lesotho			
Name of Project:	Lesotho Lowlands Water Development Project II			
<b>Contract Title:</b>	Refurbishment, Drilling, Supply and Installation of Equipment			
	for Maputsoe Boreholes in Zones 2 and 3 of the LLWDP II			
Credit No.:	6403 (Portion A and 6402 Potion B)			
<b>RFB Reference No.:</b>	LLWDP/W/01			

- 1. The **Kingdom of Lesotho** has received financing from the World Bank toward the cost of the **Lesotho Lowlands Water Development Project II**, and intends to apply part of the proceeds toward payments under the contract for **Refurbishment of Boreholes in Maputsoe**.
- 2. The **Ministry of Water** now invites sealed Bids from eligible Bidders for Design Construction of boreholes, in particular, to refurbish, drill, conduct pumping tests, supply, and install equipment for Boreholes in Zones two and three of the LLWDP II. The works involves inspection, investigation and analysis of existing groundwater levels, flow measurements, water quality sampling, erection of drilling equipment and associated items, installation of casings, tubing and screens, packing, borehole head works, pipe networking, pumping and power supply and overall pumping tests for proper functioning. Construction period is expected not to exceed **six months**. The bidders must have a minimum of ten years' experience in ground water exploration.

- 3. Bidding will be conducted through a national competitive procurement using Request for Bids (RFB) as specified in the World Bank's "Procurement Regulations for IPF Borrowers- Procurement in Investment Projects Financing" Goods, Works, Non-Consulting and Consulting Services July 2016 Revised November 2017 and August 2018 ("Procurement Regulations"), and is open to all eligible Bidders as defined in the Procurement Regulations.
- 4. Interested eligible Bidders may obtain further information from WASCO (*Lesotho Lowlands Water Development Project II*) on Monday 24th of August 2020; enquiries must be send to this emails: mrabolinyane@llwdp.co.ls or mdaemane@llwdp.co.ls and inspect the Bidding document during office hours 0900 to 1700 hours at the address given below:
- 5. The Bidding document in **English** may be downloaded for free from www.wasco.co.ls/tenders/ or www.llwdp.co.ls/opportunities/
- 6. Bids must be delivered to the address below on or before 7th of October 2020 at 12:00 noon at Plot No. 12292-044, Fairview Avenue, Lower Thetsane, Maseru, Kingdom of Lesotho. Electronic Bidding will not be permitted. Late Bids will be rejected. Bids will be publicly opened in the presence of the Bidders' designated representatives and anyone who chooses to attend at the address below on 7th of October 2020 at 12:00 noon. There will be a pre-bid site meeting and conference at WASCO Offices in Maputsoe on the 3rd of August 2020 at 10:00 am.
- 7. All Bids must be accompanied by a Bid Security of **LSL 45,000.00** (Forty-five thousand Lesotho Loti) in a Bank Guarantee.
- 8. The address referred to above is:

Plot No. 12292-044 Farview Avenue Lower Thetsane Maseru Kingdom of Lesotho.

## **Request for Bids Small Works**

(One-Envelope Bidding Process)

**Procurement of:** 

#### Refurbishment, Drilling, Supply, and Installation of Equipment for Boreholes in Zones 2 and 3 (Maputsoe) of the Low Lands Water Development Project II (LLWDP II)

Employer: Lesotho Lowlands Water Development Project II Project: Lesotho Lowlands Water Development Project II (LLWDP II) Contract title: Refurbishment, Drilling, Supply, and Installation of Maputsoe Boreholes in Zones 2 and 3 of the LLWDP II Country: Kingdom of Lesotho Credit No: 6403 (Portion A and 6402 Potion B) RFB No: LLWDP/W/01 Issued on: 20th August 2020

## **Standard Procurement Document**

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## **PART 1 – Bidding Procedures**

### Section I - Instructions to Bidders

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#### **Section I - Instructions to Bidders**

#### A. General

- Scope of Bid
   1.1 In connection with the Specific Procurement Notice Request for Bids (RFB), specified in the Bid Data Sheet (BDS), the Employer, as specified in the BDS, issues this bidding document for the provision of Works as specified in Section VII, Works' Requirements. The name, identification and number of lots (contracts) of this RFB are specified in the BDS.
  - 1.2 Throughout this bidding document:
    - (a) the term "in writing" means communicated in written form
       (e.g. by mail, e-mail, and fax, including if specified in the BDS, distributed or received through the electronic-procurement system used by the Employer) with proof of receipt;
    - (b) if the context so requires, "**singular**" means "**plural**" and vice versa;
    - (c) "Day" means calendar day, unless otherwise specified as "Business Day". A Business Day is any day that is an official working day of the Borrower. It excludes the Borrower's official public holidays;
    - (d) **"ES"** means environmental and social (including Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH));
    - (e) "Sexual Exploitation and Abuse" "(SEA)" means the following:

**"Sexual Exploitation"** is defined as any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;

**"Sexual Abuse"** is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;

- (f) "Sexual Harassment" "(SH)" is defined as unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature by the Contractor's Personnel with other Contractor's or Employer's Personnel;
- (g) **"Contractor's Personnel"** is as defined in Sub- Clause 1 (ii) of the General Conditions of Contract; and

(h) **"Employer's personnel"** is as defined in GCC Sub-Clause 1 (nn) of the General Conditions of Contract.

A non-exhaustive list of (i) behaviors which constitute SEA and (ii) behaviors which constitute SH is attached to the Code of Conduct form in Section IV.

- 2. Source of Funds 2.1 The Borrower or Recipient (hereinafter called "Borrower") specified in the BDS has received or has applied for financing (hereinafter called "funds") from the International Bank for Reconstruction and Development or the International Development Association (hereinafter called "the Bank") in an amount specified in the BDS, toward the project named in the BDS. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) for which this bidding document is issued.
  - 2.2 Payment by the Bank will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the Loan (or other financing) Agreement. The Loan (or other financing) Agreement prohibits a withdrawal from the loan account for the purpose of any payment to persons or entities, or for any import of goods, equipment, plant, or materials, if such payment or import is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations. No party other than the Borrower shall derive any rights from the Loan (or other financing) Agreement or have any claim to the proceeds of the Loan (or other financing).
- 3. Fraud and Corruption
   3.1 The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework, as set forth in Section VI.
  - 3.2 In further pursuance of this policy, bidders shall permit and shall cause their agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit the Bank to inspect all accounts, records and other documents relating to any initial selection process, prequalification process, bid submission, proposal submission, and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.
- **4. Eligible Bidders** 4.1 A Bidder may be a firm that is a private entity, or a state-owned enterprise or institution, subject to ITB 4.6, or any combination of them in the form of a joint venture (JV), under an existing agreement, or with the intent to enter into such an agreement supported by a letter of intent. In the case of a joint venture, all

members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms. The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the Bidding process and, in the event the JV is awarded the Contract, during contract execution. Unless specified **in the BDS**, there is no limit on the number of members in a JV.

- 4.2 A Bidder shall not have a conflict of interest. All Bidders found to have a conflict of interest shall be disqualified. A Bidder may be considered to have a conflict of interest for the purpose of this Bidding process, if the Bidder:
  - (a) directly or indirectly controls, is controlled by or is under common control with another Bidder; or
  - (b) receives or has received any direct or indirect subsidy from another Bidder; or
  - (c) has the same legal representative as another Bidder; or
  - (d) has a relationship with another Bidder, directly or through common third parties, that puts it in a position to influence the Bid of another Bidder, or influence the decisions of the Employer regarding this bidding process; or
  - (e) or any of its affiliates participated as a consultant in the preparation of the design or technical specifications of the works that are the subject of the Bid; or
  - (f) or any of its affiliates has been hired (or is proposed to be hired) by the Employer or Borrower as Project Manager for the Contract implementation;
  - (g) would be providing goods, works, or non-consulting services resulting from or directly related to consulting services for the preparation or implementation of the project specified in the BDS ITB 2.1 that it provided or were provided by any affiliate that directly or indirectly controls, is controlled by, or is under common control with that firm;
  - (h) has a close business or family relationship with a professional staff of the Borrower (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the bidding document or specifications of the contract, and/or the Bid evaluation process of such contract; or (ii) would be involved in the implementation or supervision of such contract unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Bank throughout the procurement process and execution of the contract.

- 4.3 A firm that is a Bidder (either individually or as a JV member) shall not participate in more than one Bid, except for permitted alternative Bids. This includes participation as a Subcontractor in other Bids. Such participation shall result in the disqualification of all Bids in which the firm is involved. A firm that is not a Bidder or a JV member may participate as a subcontractor in more than one Bid.
- 4.4 A Bidder may have the nationality of any country, subject to the restrictions pursuant to ITB 4.8. A Bidder shall be deemed to have the nationality of a country if the Bidder is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed subcontractors or subconsultants for any part of the Contract including related Services.
- 4.5 A Bidder that has been sanctioned by the Bank, pursuant to the Bank's Anti-Corruption Guidelines, in accordance with its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework as described in Section VI paragraph 2.2 d., shall be ineligible to be prequalified for, initially selected for, bid for, propose for, or be awarded a Bank-financed contract or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall have determined. The list of debarred firms and individuals is available at the electronic address specified in the BDS.
- 4.6 Bidders that are state-owned enterprises or institutions in the Employer's Country may be eligible to compete and be awarded a Contract(s) only if they can establish, in a manner acceptable to the Bank, that they (i) are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of the Employer.
- 4.7 A Bidder shall not be under suspension from Bidding by the Employer as the result of the operation of a Bid–Securing or Proposal-Securing Declaration.
- 4.8 Firms and individuals may be ineligible if so indicated in Section V and (a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the supply of goods or the contracting of works or services required; or (b) by an act of compliance with a decision of the United Nations Security Council taken under

Chapter VII of the Charter of the United Nations, the Borrower's country prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person, or entity in that country. When the Works are implemented across jurisdictional boundaries (and more than one country is a Borrower, and is involved in the procurement), then exclusion of a firm or individual on the basis of ITB 4.8 (a) above by any country may be applied to that procurement across other countries involved, if the Bank and the Borrowers involved in the procurement agree.

- 4.9 A Bidder shall provide such documentary evidence of eligibility satisfactory to the Employer, as the Employer shall reasonably request.
- 4.10 A firm that is under a sanction of debarment by the Borrower from being awarded a contract is eligible to participate in this procurement, unless the Bank, at the Borrower's request, is satisfied that the debarment;
  - (a) relates to fraud or corruption, and
  - (b) followed a judicial or administrative proceeding that afforded the firm adequate due process.
- 5. Eligible Materials, Equipment and services
   5.1 The materials, equipment and services to be supplied under the Contract and financed by the Bank may have their origin in any country subject to the restrictions specified in Section V, Eligible Countries, and all expenditures under the Contract will not contravene such restrictions. At the Employer's request, Bidders may be required to provide evidence of the origin of materials, equipment and services.

#### **B.** Contents of Bidding Document

6.1 The bidding document consists of Parts 1, 2, and 3, which include all the sections specified below, and which should be read in conjunction with any Addenda issued in accordance with ITB 8.

#### PART 1 Bidding Procedures

- Section I Instructions to Bidders (ITB)
- Section II Bid Data Sheet (BDS)
- Section III Evaluation and Qualification Criteria
- Section IV Bidding Forms
- Section V Eligible Countries
- Section VI Fraud and Corruption

6. Sections of Bidding

**Document** 

#### PART 2 Works' Requirements

• Section VII - Works' Requirements

#### PART 3 Conditions of Contract and Contract Forms

- Section VIII General Conditions of Contract (GCC)
- Section IX Particular Conditions of Contract (PCC)
- Section X Contract Forms
- 6.2 The Specific Procurement Notice Request for Bids (RFB) issued by the Employer is not part of this bidding document.
- 6.3 Unless obtained directly from the Employer, the Employer is not responsible for the completeness of the bidding document, responses to requests for clarification, the minutes of the pre-Bid meeting (if any), or Addenda to the bidding document in accordance with ITB 8. In case of any contradiction, documents obtained directly from the Employer shall prevail.
- 6.4 The Bidder is expected to examine all instructions, forms, terms, and specifications in the bidding document and to furnish with its Bid all information and documentation as is required by the bidding document.
- 7. Clarification of A Bidder requiring any clarification of the bidding document shall 7.1 **Bidding** contact the Employer in writing at the Employer's address specified in the BDS or raise its inquiries during the pre-Bid meeting if **Document.** Site Visit, Pre-Bid provided for in accordance with ITB 7.4. The Employer will respond in writing to any request for clarification, provided that such Meeting request is received prior to the deadline for submission of Bids within a period specified in the BDS. The Employer shall forward copies of its response to all Bidders who have acquired the bidding document in accordance with ITB 6.3, including a description of the inquiry but without identifying its source. If so specified in the **BDS**, the Employer shall also promptly publish its response at the web page identified in the BDS. Should the clarification result in changes to the essential elements of the bidding document, the Employer shall amend the bidding document following the procedure under ITB 8 and ITB 22.2.
  - 7.2 The Bidder is advised to visit and examine the Site of Works and its surroundings and obtain for itself on its own responsibility all information that may be necessary for preparing the bid and entering into a contract for construction of the Works. The costs of visiting the Site shall be at the Bidder's own expense.

- 7.3 The Bidder and any of its personnel or agents will be granted permission by the Employer to enter upon its premises and lands for the purpose of such visit, but only upon the express condition that the Bidder, its personnel, and agents will release and indemnify the Employer and its personnel and agents from and against all liability in respect thereof, and will be responsible for death or personal injury, loss of or damage to property, and any other loss, damage, costs, and expenses incurred as a result of the inspection.
- 7.4 If so specified **in the BDS**, the Bidder's designated representative is invited to attend a pre-Bid meeting and/or a Site of Works visit. The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 7.5 The Bidder is requested, to submit any questions in writing, to reach the Employer not later than one week before the meeting.
- 7.6 Minutes of the pre-Bid meeting, if applicable, including the text of the questions asked by Bidders, without identifying the source, and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Bidders who have acquired the bidding document in accordance with ITB 6.3 Any modification to the bidding document that may become necessary as a result of the pre-Bid meeting shall be made by the Employer exclusively through the issue of an addendum pursuant to ITB 8 and not through the minutes of the pre-Bid meeting. Nonattendance at the pre-Bid meeting will not be a cause for disqualification of a Bidder.
- 8. Amendment of Bidding
   Bidding
   Document
   8.1 At any time prior to the deadline for submission of bids, the Employer may amend the bidding document by issuing addenda.
  - 8.2 Any addendum issued shall be part of the bidding document and shall be communicated in writing to all who have obtained the bidding document from the Employer in accordance with ITB 6. The Employer shall also promptly publish the addendum on the Employer's web page in accordance with ITB 7.1.

8.3 To give prospective Bidders reasonable time in which to take an addendum into account in preparing their Bids, the Employer may, at its discretion, extend the deadline for the submission of Bids, pursuant to ITB 22.2.

#### C. Preparation of Bids

- **9. Cost of Bidding** 9.1 The Bidder shall bear all costs associated with the preparation and submission of its Bid, and the Employer shall in no case be responsible or liable for those costs, regardless of the conduct or outcome of the Bidding process.
- 10. Language of Bid 10.1 The Bid, as well as all correspondence and documents relating to the Bid exchanged by the Bidder and the Employer, shall be written in the language specified in the BDS. Supporting documents and printed literature that are part of the Bid may be in another language provided they are accompanied by an accurate translation of the relevant passages in the language specified in the BDS, in which case, for purposes of interpretation of the Bid, such translation shall govern.
- 11. Documents Comprising the Bid
- 11.1 The Bid shall comprise the following:
  - (a) Letter of Bid prepared in accordance with ITB 12;
  - (b) **Bill of Quantities or Activity Schedule**: completed in accordance with ITB 12 and ITB 14, as specified **in the BDS**;
  - (c) Bid Security **or Bid-Securing Declaration**, in accordance with ITB 19.1;
  - (d) Alternative **Bid**, if permissible, in accordance with ITB 13;
  - (e) Authorization: written confirmation authorizing the signatory of the Bid to commit the Bidder, in accordance with ITB 20.3;
  - (f) Bidder's **Eligibility:** documentary evidence in accordance with ITB 17 establishing the Bidder's eligibility to Bid;
  - (g) Qualifications: documentary evidence in accordance with ITB 17 establishing the Bidder's qualifications to perform the contract if its Bid is accepted;
  - (h) Conformity: a technical proposal in accordance with ITB 16;
  - (i) any other document required in the BDS.
- 11.2 In addition to the requirements under ITB 11.1, Bids submitted by a JV shall include a copy of the Joint Venture Agreement entered into by all members. Alternatively, a letter of intent to execute a Joint Venture Agreement in the event of a successful bid shall be signed

by all members and submitted with the Bid, together with a copy of the proposed Agreement.

- 11.3 The Bidder shall furnish in the Letter of Bid information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Bid.
- 12. Letter of Bid and 12.1 The Letter of Bid and Schedules shall be prepared using the relevant forms furnished in Section IV, Bidding Forms. The forms must be completed without any alterations to the text, and no substitutes shall be accepted except as provided under ITB 20.3. All blank spaces shall be filled in with the information requested.
- **13. Alternative Bids** 13.1 Unless otherwise specified **in the BDS**, alternative Bids shall not be considered.
  - 13.2 When alternative times for completion are explicitly invited, a statement to that effect will be included **in the BDS** and the method of **evaluating** different alternative times for completion will be described in Section III, Evaluation and Qualification Criteria.
  - 13.3 Except as provided under ITB 13.4 below, Bidders wishing to offer technical alternatives to the requirements of the bidding document must first price the Employer's design as described in the bidding document and shall further provide all information necessary for a complete evaluation of the alternative by the Employer, including drawings, design calculations, technical specifications, breakdown of prices, and proposed construction methodology and other relevant details. Only the technical alternatives, if any, of the Bidder with the Most Advantageous Bid conforming to the basic technical requirements shall be considered by the Employer.
  - 13.4 When specified **in the BDS**, Bidders are permitted to submit alternative technical solutions for specified parts of the Works. Such parts will be identified **in the BDS** and described in Section VII, Works' Requirements. The method for their evaluation will be stipulated in Section III, Evaluation and Qualification Criteria.
- 14. Bid Prices and Discounts14.1 The prices and discounts quoted by the Bidder in the Letter of Bid and in the Activity Schedule or Bill of Quantities shall conform to the requirements specified below.
  - 14.2 The Bidder shall submit a Bid for the whole of the Works described in ITB 1.1 by filling in prices for all items of the Works, as identified in Section IV. Bidding Forms. In case of admeasurement contracts, the Bidder shall fill in rates and prices for all items of the Works described in the Bill of Quantities. Items against which no rate or price is entered by the Bidder will not be paid for by the Employer

when executed and shall be deemed covered by the rates for other items and prices in the Bill of Quantities.

- 14.3 The price to be quoted in the Letter of Bid, in accordance with ITB 12.1, shall be the total price of the Bid, excluding any discounts offered.
- 14.4 The Bidder shall quote any discounts and indicate the methodology for their application in the Letter of Bid in accordance with ITB 12.1.
- 14.5 Unless otherwise specified **in the BDS** and the Conditions of Contract, the **prices** quoted by the Bidder shall be fixed. If the prices quoted by the Bidder are subject to adjustment during the performance of the Contract in accordance with the provisions of the Conditions of Contract, the Bidder shall furnish the indices and weightings for the price adjustment formulae in the Schedule of Adjustment Data in Section IV- Bidding Forms and the Employer may require the Bidder to justify its proposed indices and weightings.
- 14.6 If so specified in ITB 1.1, Bids are invited for individual lots (contracts) or for any combination of lots (packages). Bidders wishing to offer discounts for the award of more than one Contract shall specify in their Bid the price reductions applicable to each package, or alternatively, to individual Contracts within the package. Discounts shall be submitted in accordance with ITB 14.4, provided the Bids for all lots (contracts) are opened at the same time.
- 14.7 All duties, taxes, and other levies payable by the Contractor under the Contract, **or** for any other cause, as of the date 28 days prior to the deadline for submission of Bids, shall be included in the rates and prices1 and the total Bid price submitted by the Bidder.

## **15. Currencies of Bid** 15.1 The currency(ies) **of** the Bid and the currency(ies) of payments shall be the same and shall be as specified **in the BDS**.

15.2 Bidders may be required by the Employer to justify, to the Employer's satisfaction, their local and foreign currency requirements, and to substantiate that the amounts included in the unit rates and prices and shown in the Schedule of Adjustment Data are reasonable2, in which case a detailed breakdown of the foreign currency requirements shall be provided by Bidders.

In lump sum contracts, delete "rates and prices and the."

<sup>&</sup>lt;sup>2</sup> For lump sum contracts, delete "unit rates and prices and shown in the Schedule of Adjustment Data are reasonable" and replace with "Lump Sum."

- **16.** Documents 16.1 The Bidder shall furnish a technical proposal including a statement **Comprising the** Technical **Proposal** the work's requirements and the completion time.
- **17. Documents Establishing the Eligibility and Oualifications of** the Bidder

18. Period of Validity of Bids

- of work methods, equipment, personnel, schedule and any other information as stipulated in Section IV, Bidding Forms, in sufficient detail to demonstrate the adequacy of the Bidders' proposal to meet
  - To establish Bidder's eligibility in accordance with ITB 4, Bidders 17.1 shall complete the Letter of Bid, included in Section IV, Bidding Forms.
  - 17.2 In accordance with Section III, Evaluation and Qualification Criteria, to establish its qualifications to perform the Contract, the Bidder shall provide the information requested in the corresponding information sheets included in Section IV, Bidding Forms.
    - 17.3 If a margin of preference applies as specified in accordance with ITB 33.1, domestic Bidders, individually or in joint ventures, applying for eligibility for domestic preference shall supply all information required to satisfy the criteria for eligibility specified in accordance with ITB 33.1.
  - 18.1 Bids shall remain valid until the date specified in the BDS or any extended date if amended by the Employer in accordance with ITB 8. A Bid that is not valid until the date specified in the BDS, or any extended date if amended by the Employer in accordance with ITB 8, shall be rejected by the Employer as nonresponsive.
    - 18.2 In exceptional **circumstances**, prior to the date of expiration of the Bid validity, the Employer may request Bidders to extend the period of validity of their Bids. The request and the responses shall be made in writing. If a Bid Security is requested in accordance with ITB 19, it shall also be extended for twenty-eight (28) days beyond the extended date for Bid validity. A Bidder may refuse the request without forfeiting its Bid Security. A Bidder granting the request shall not be required or permitted to modify its Bid, except as provided in ITB 18.3.
    - 18.3 If the award is delayed by a period exceeding fifty-six (56) days beyond the date of expiry of the Bid validity specified in accordance with ITB 18.1, the Contract price shall be determined as follows:

- (a) in the case of **fixed price** contracts, the Contract price shall be the Bid **price** adjusted by the factor specified **in the BDS**;
- (a) in the case of **adjustable** price contracts, no adjustment shall be made; or
- (b) in any case, Bid evaluation shall be based on the Bid price without taking into consideration the applicable correction from those indicated above.

## **19. Bid Security** 19.1 The Bidder shall furnish as part of its Bid, either a Bid-Securing Declaration or a Bid Security as specified in the BDS, in original form and, in the case of a Bid Security, in the amount and currency specified in the BDS.

- 19.2 A Bid Securing Declaration shall use the form included in Section IV, Bidding Forms.
- 19.3 If a Bid Security is specified pursuant to ITB 19.1, the Bid Security shall be a demand guarantee in any of the following forms at the Bidder's option:
  - (a) an **unconditional** guarantee issued by a bank or non-bank financial institution (such as an insurance, bonding or surety company);
  - (b) an **irrevocable** letter of credit;
  - (c) a **cashier's** or certified check; or
  - (d) another security specified in the BDS,

from a reputable source from an eligible country. If an unconditional guarantee is issued by a non-bank financial institution located outside the Employer's Country, the issuing nonbank financial institution shall have a correspondent financial institution located in the Employer's Country to make it enforceable, unless the Employer has agreed in writing, prior to Bid submission, that a correspondent financial institution is not required. In the case of a bank guarantee, the Bid Security shall be submitted either using the Bid Security Form included in Section IV, Bidding Forms, or in another substantially similar format approved by the Employer prior to Bid submission. The Bid Security shall be valid for twenty-eight (28) days beyond the original date of expiry of the Bid validity, or beyond any extended date if requested under ITB 18.2.

19.4 If a Bid Security or Bid Securing Declaration is specified pursuant to ITB 19.1, any Bid not accompanied by a substantially responsive

Bid Security or Bid-**Securing** Declaration shall be rejected by the Employer as non-responsive.

- 19.5 If a Bid Security is specified pursuant to ITB 19.1, the Bid Security of unsuccessful Bidders shall be returned as promptly as possible upon the successful Bidder's signing the Contract and furnishing the **Performance** Security and if required in the BDS, the Environmental and Social (ES) Performance Security pursuant to ITB 48.
- 19.6 The Bid Security of the successful Bidder shall be returned as promptly as possible once the successful Bidder has signed the Contract and furnished the required Performance Security. and if required in the BDS, the Environmental and Social (ES) Performance Security.
- 19.7 The Bid **Security** may be forfeited:
  - (a) if a Bidder withdraws its Bid prior to the expiry date of the Bid validity specified by the Bidder on the Letter of Bid, or any extension thereto provided by the Bidder; or
  - (b) if the successful Bidder fails to:
    - (i) sign the Contract in accordance with ITB 47; or
    - (ii) furnish a Performance Security and if required in the BDS, the Environmental and Social (ES) Performance Security in accordance with ITB 48.
- 19.8 The Bid Security or the Bid-Securing Declaration of a JV shall be in the name of the JV that **submits** the Bid. If the JV has not been constituted into a legally enforceable JV, at the time of Bidding, the Bid Security or the Bid-Securing Declaration shall be in the names of all future members as named in the letter of intent mentioned in ITB 4.1 and ITB 11.2.
- 19.9 If a Bid Security is not required **in the BDS**, pursuant to ITB 19.1, and;
  - (a) if a Bidder withdraws its Bid prior to the expiry date of the Bid validity specified by the Bidder on the Letter of Bid or any extended date provided by the Bidder; or
  - (b) if the successful Bidder fails to:
    - (i) sign the Contract in accordance with ITB 47; or
    - (ii) furnish a Performance Security and if required in the BDS, the Environmental and Social (ES) Performance Security in accordance with ITB 48,

the Borrower may, if provided for **in the BDS**, declare the Bidder ineligible to be awarded a contract by the Employer for a period of time stated **in the BDS**.

- 20. Format and Signing of Bid
  20.1 The Bidder shall prepare one original of the documents comprising the Bid as described in ITB 11 and clearly mark it "ORIGINAL". Alternative Bids, if permitted in accordance with ITB 13, shall be clearly marked "ALTERNATIVE". In addition, the Bidder shall submit copies of the Bid in the number specified in the BDS, and clearly mark each of them "COPY." In the event of any discrepancy between the original and the copies, the original shall prevail.
  - 20.2 Bidders shall mark as "CONFIDENTIAL" information in their Bids which is confidential to their business. This may include **proprietary** information, trade secrets, or commercial or financially sensitive information.
  - 20.3 The original and all copies of the Bid shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of **the** Bidder. This authorization shall consist of a written confirmation as specified **in the BDS** and shall be attached to the Bid. The name and position held by each person signing the authorization must be typed or printed below the signature. All pages of the Bid where entries or amendments have been made shall be signed or initialed by the person signing the Bid.
  - 20.4 In case the Bidder is a JV, the Bid shall be signed by an authorized representative of the JV on behalf of the JV, and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized representatives.
  - 20.5 Any interlineations, **erasures**, or overwriting shall be valid only if they are signed or initialed by the person signing the Bid.

#### D. Submission and Opening of Bids

- 21.1 The Bidder shall deliver the Bid in a single, sealed envelope (oneenvelope Bidding process). Within the single envelope the Bidder shall place the following separate, sealed envelopes:
  - (a) in an envelope marked "ORIGINAL", all documents comprising the Bid, as described in ITB 11; and
  - (b) in an envelope marked "COPIES", all required copies of the Bid; and
  - (c) if alternative Bids are permitted in accordance with ITB 13, and if relevant:

21. Sealing and Marking of Bids

- (i) in an envelope marked "ORIGINAL ALTERNATIVE BID", the alternative Bid; and
- (ii) in the enveloped marked "COPIES ALTERNATIVE BID" all required copies of the alternative Bid.
- 21.2 The inner and outer envelopes shall:
  - (a) bear the name and address of the Bidder;
  - (b) be addressed to the Employer in accordance with ITB 22.1;
  - (c) bear the specific identification of this Bidding process specified in accordance with BDS 1.1; and
  - (d) bear a warning not to open before the time and date for Bid opening.
- 21.3 If all envelopes are not sealed and marked as required, the Employer will assume no responsibility for the misplacement or premature opening of the Bid.
- 22. Deadline for Submission of Bids
  22.1 Bids must be received by the Employer at the address and no later than the date and time specified in the BDS. When so specified in the BDS, Bidders shall have the option of submitting their Bids electronically. Bidders submitting Bids electronically shall follow the electronic bid submission procedures specified in the BDS.
  - 22.2 The Employer may, at its discretion, extend the deadline for the **submission** of Bids by amending the bidding document in accordance with ITB 8, in which case all rights and obligations of the Employer and Bidders previously subject to the deadline shall thereafter be subject to the deadline as extended.
- **23. Late Bids** 23.1 The Employer shall not consider any Bid that arrives after the deadline for submission of Bids, in accordance with ITB 22. Any Bid **received** by the Employer after the deadline for submission of Bids shall be declared late, rejected, and returned unopened to the Bidder.
- 24. Withdrawal, Substitution, and Modification of Bids
  24.1 A Bidder may withdraw, substitute, or modify its Bid after it has been submitted by sending a written notice, duly signed by an authorized representative, and shall include a copy of the authorization in accordance with ITB 20.3, (except that withdrawal notices do not require copies). The corresponding substitution or modification of the Bid must accompany the respective written notice. All notices must be:
  - (a) prepared and submitted in accordance with ITB 20 and ITB 21 (except that withdrawal notices do not require copies), and in

addition, the respective envelopes shall be clearly marked "WITHDRAWAL," "SUBSTITUTION," "MODIFICATION"; and

- (b) received by the Employer prior to the deadline prescribed for submission of Bids, in accordance with ITB 22.
- 24.2 Bids requested to be withdrawn in accordance with ITB 24.1 shall be returned **unopened** to the Bidders.
- 24.3 No Bid may be withdrawn, substituted, or modified in the interval between **the** deadline for submission of Bids and the date of expiry of the Bid validity specified by the Bidder on the Letter of Bid or any extended date thereof.

# 25. Bid Opening 25.1 Except in the cases specified in ITB 23 and ITB 24.2, the Employer shall publicly open and read out in accordance with this ITB, all Bids received by the deadline, at the date, time and place specified in the BDS, in the presence of Bidders' designated representatives and anyone who chooses to attend. All Bidders, or their representatives and any interested party may attend a public opening. Any specific electronic Bid opening procedures required if electronic bidding is permitted in accordance with ITB 22.1, shall be as specified in the BDS.

- 25.2 First, envelopes marked "WITHDRAWAL" shall be opened and read out and the **envelope** with the corresponding Bid shall not be opened, but returned to the Bidder. No Bid withdrawal shall be permitted unless the corresponding withdrawal notice contains a valid authorization to request the withdrawal and is read out at Bid opening.
- 25.3 Next, envelopes marked "SUBSTITUTION" shall be opened and read out and exchanged with the corresponding Bid being substituted, and the substituted Bid shall not be opened, but returned to the Bidder. No Bid substitution shall be permitted unless the corresponding substitution notice contains a valid authorization to request the substitution and is read out at Bid opening.
- 25.4 Next, envelopes marked "MODIFICATION" shall be opened and read out with the **corresponding** Bid. No Bid modification shall be permitted unless the corresponding modification notice contains a valid authorization to request the modification and is read out at bid opening.
- 25.5 Next, all remaining envelopes shall be opened one at a time, reading out: the name of the Bidder and whether there is a modification; the total Bid Price, per lot (contract) if applicable, including any discounts and alternative Bids; the presence or absence of a Bid

Security, or Bid Securing Declaration, if required; and any other details as the Employer may consider appropriate.

- 25.6 Only Bids, alternative Bids and discounts that are opened and read out at Bid **opening** shall be considered further for evaluation. The Letter of Bid and the priced Schedules are to be initialed by representatives of the Employer attending Bid opening in the manner specified **in the BDS**.
- 25.7 The Employer shall neither discuss the merits of any Bid nor reject any Bid (**except** for late Bids, in accordance with ITB 23.1).
- 25.8 The Employer shall prepare a **record** of the Bid opening that shall include, as a minimum:
  - (a) the name of the Bidder and whether there is a withdrawal, substitution, or modification;
  - (b) the Bid Price, per lot (contract) if applicable, including any discounts;
  - (c) the presence or absence of a Bid Security or Bid-Securing Declaration, if one was required; and
  - (d) any alternative Bids.
- 25.9 The Bidders' **representatives** who are present shall be requested to sign the record. The omission of a Bidder's signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Bidders.

#### E. Evaluation and Comparison of Bids

- **26. Confidentiality** 26.1 Information relating to the evaluation of Bids and recommendation of contract award, shall not be disclosed to Bidders or any other persons not **officially** concerned with the Bidding process until information on Intention to Award the Contract is transmitted to all Bidders in accordance with ITB 43.
  - 26.2 Any effort by a Bidder to influence the Employer in the evaluation of the Bids or Contract **award** decisions may result in the rejection of its Bid.
  - 26.3 Notwithstanding ITB 26.2, from the time of Bid opening to the time of Contract award, if a Bidder wishes to contact the Employer on any matter related to the Bidding process, it shall do so in writing.
- 27. Clarification of Bids27.1 To assist in the examination, evaluation, and comparison of the Bids, and qualification of the Bidders, the Employer may, at its discretion, ask any Bidder for a clarification of its Bid given a reasonable time for a response. Any clarification submitted by a

Bidder that is not in response to a request by the Employer shall not be considered. The Employer's request for clarification and the response shall be in writing. No change, including any voluntary increase or decrease in the prices or substance of the Bid shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by the Employer in the evaluation of the Bids, in accordance with ITB 31.

- 27.2 If a Bidder does not provide clarifications of its Bid by the date and time set in the Employer's request for clarification, its Bid may be rejected.
- 28.1 During the **evaluation** of Bids, the following definitions apply:
  - (a) "Deviation" is a departure from the requirements specified in the bidding document;
  - (b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the bidding document; and
  - (c) "Omission" is the failure to submit part or all of the information or documentation required in the bidding document.
- **29. Determination of**<br/>**Responsiveness**29.1The Employer's determination of a Bid's responsiveness is to be<br/>based on the contents of the Bid itself, as defined in ITB 11.
  - 29.2 A substantially responsive Bid is one that meets the requirements of the bidding **document** without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that:
    - (a) if accepted, would:
      - (i) affect in any substantial way the scope, quality, or performance of the Works specified in the Contract; or
      - (ii) limit in any substantial way, inconsistent with the bidding document, the Employer's rights or the Bidder's obligations under the proposed Contract; or
    - (b) if rectified, would unfairly affect the competitive position of other Bidders presenting substantially responsive Bids.
  - 29.3 The Employer shall examine the technical aspects of the Bid submitted in accordance with ITB 16, in particular, to confirm that all requirements of Section VII, Works' Requirements have been met without any material deviation, reservation or omission.
  - 29.4 If a Bid is not substantially responsive to the requirements of the bidding document, **it** shall be rejected by the Employer and may not

28. Deviations, Reservations, and Omissions subsequently be made responsive by correction of the material deviation, reservation, or omission.

## **30. Nonmaterial** 30.1 Provided that a **Bid** is substantially responsive, the Employer may waive any nonconformities in the Bid.

- 30.2 Provided that a Bid is substantially responsive, the Employer may request that the Bidder submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities in the Bid related to documentation requirements. Requesting information or documentation on such nonconformities shall not be related to any aspect of the price of the Bid. Failure of the Bidder to comply with the request may result in the rejection of its Bid.
- 30.3 Provided that a Bid is substantially responsive, the Employer shall rectify quantifiable nonmaterial nonconformities related to the Bid Price. To this effect, the Bid Price shall be adjusted, for comparison purposes only **to** reflect the price of a missing or non-conforming item or component, by adding the average price of the item or component quoted by substantially responsive Bidders. If the price of the item or component cannot be derived from the price of other substantially responsive Bids, the Employer shall use its best estimate.
- 31.1 Provided that the Bid is **substantially** responsive, the Employer shall correct arithmetical errors on the following basis:
  - (a) only for admeasurement contracts, if there is a discrepancy between the unit price and the total price that is obtained by multiplying the unit price and quantity, the unit price shall prevail and the total price shall be corrected, unless in the opinion of the Employer there is an obvious misplacement of the decimal point in the unit price, in which case the total price as quoted shall govern and the unit price shall be corrected;
  - (b) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and
  - (c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail subject to (a) and (b) above.

31. Correction of Arithmetical Errors

- 31.2 Bidders shall be requested to accept correction of arithmetical errors. Failure to accept the correction in accordance with ITB 31.1, shall result in the rejection of the Bid.
- 32. Conversion to Single Currency32.1 For evaluation and comparison purposes, the currency(ies) of the Bid shall be converted into a single currency as specified in the BDS.
- 33. Margin of Preference33.1 Unless otherwise specified in the BDS, a margin of preference for domestic Bidders3 shall not apply.
- **34. Subcontractors** 34.1 Unless otherwise stated **in the BDS**, the Employer does not intend to execute any specific elements of the Works by subcontractors selected in advance by the Employer, Financial Parts
  - 34.2 The subcontractor's qualifications shall not be used by the Bidder to qualify for the Works unless their specialized parts of the Works were previously designated by the Employer **in the BDS** as can be met by subcontractors referred to hereafter as 'Specialized Subcontractors', in which case, the qualifications of the Specialized Subcontractors proposed by the Bidder may be added to the qualifications.
  - 34.3 Bidders may propose subcontracting up to the percentage of total value of contracts or the volume of works as specified in the BDS. Subcontractors proposed by the Bidder shall be fully qualified for their parts of the Works.
- 35. Evaluation of Bids
   35.1 The Employer shall use the criteria and methodologies listed in this ITB and Section III, Evaluation and Qualification criteria. No other evaluation criteria or methodologies shall be permitted. By applying the criteria and methodologies the Employer shall determine the Most Advantageous Bid. This is the Bid of the Bidder that meets the Qualification Criteria and whose Bid has been determined to be:
  - (a) substantially responsive to the bidding document; and
  - (b) the lowest evaluated cost.
  - 35.2 To evaluate a Bid, the Employer shall consider the following:
  - An individual firm is considered a domestic Bidder for purposes of the margin of preference if it is registered in the country of the Employer, has more than 50 percent ownership by nationals of the country of the Employer, and if it does not subcontract more than 10 percent of the contract price, excluding provisional sums, to foreign contractors. JVs are considered as domestic Bidders and eligible for domestic preference only if the individual member firms are registered in the country of the Employer or have more than 50 percent ownership by nationals of the country of the Employer, and the JV shall be registered in the country of the Borrower. The JV shall not subcontract more than 10 percent of the contract price, excluding provisional sums, to foreign firms. JVs between foreign and national firms will not be eligible for domestic preference.

		(a)	the Bid price, excluding Provisional Sums and the provision, if any, for contingencies in the Summary Bill of Quantities <sup>4</sup> for admeasurement contracts, but including Daywork <sup>5</sup> items, where priced competitively;	
		(b)	price adjustment for correction of arithmetic errors in accordance with ITB 31.1;	
		(c)	price adjustment due to discounts offered in accordance with ITB 14.4;	
		(d)	converting the amount resulting from applying (a) to (c) above, if relevant, to a single currency in accordance with ITB 32;	
		(e)	price adjustment for nonconformities in accordance with ITB 30.3; and	
		(f)	the additional evaluation factors are specified in Section III, Evaluation and Qualification Criteria.	
	35.3	The estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be taken into account in Bid evaluation.		
	35.4	differe evalua offere	bidding document allows Bidders to quote separate prices for ent lots (contracts), the methodology to determine the lowest ated cost of the contract combinations, including any discounts d in the Letter of Bid, is specified in Section III, Evaluation valification Criteria.	
36. Comparison of Bids	The	Employer shall compare the evaluated costs of all substantially responsive Bids established in accordance with ITB 35.2 to determine the Bid that has the lowest evaluated cost.		
37. Abnormally Low Bids	37.1	with o low to	onormally Low Bid is one where the Bid price, in combination other constituent elements of the Bid, appears unreasonably the extent that the Bid price raises material concerns as to the ility of the Bidder to perform the Contract for the offered Bid	
	37.2	the E	event of identification of a potentially Abnormally Low Bid, mployer shall seek written clarifications from the Bidder, ing detailed price analyses of its Bid price in relation to the	

4 In lump sum contracts, delete "Bill of Quantities" and replace with "Activity Schedule."

<sup>5</sup> Daywork is work carried out following instructions of the Project Manager and paid for on the basis of time spent by workers, and the use of materials and the Contractor's equipment, at the rates quoted in the Bid. For Daywork to be priced competitively for Bid evaluation purposes, the Employer must list tentative quantities for individual items to be costed against Daywork (e.g., a specific number of tractor driver staff-days, or a specific tonnage of Portland cement), to be multiplied by the Bidders' quoted rates and included in the total Bid price. subject matter of the contract, scope, proposed methodology, schedule, allocation of risks and responsibilities and any other requirements of the bidding document.

- 37.3 After evaluation of the price analyses, in the event that the Employer determines that the Bidder has failed to demonstrate its capability to perform the Contract for the offered Bid Price, the Employer shall reject the Bid.
- 38. Unbalanced or Front Loaded
  Bids
  38.1 If the Bid for an admeasurement contract, which results in the lowest evaluated cost is, in the Employer's opinion, seriously unbalanced or, front loaded, the Employer may require the Bidder to provide written clarifications. Clarifications may include detailed price analyses to demonstrate the consistency of the Bid priceas with the scope of works, proposed methodology, schedule and any other requirements of the bidding document.
  - 38.2 After the evaluation of the information and detailed price analyses presented by the Bidder, the Employer may as appropriate:
    - (a) accept the Bid; or
    - (b) require that the amount of the Performance Security be increased at the expense of the Bidder to a level not exceeding 20% of the Contract Price; or
    - (c) reject the Bid.
- 39. Qualification of the Bidder
   39.1 The Employer shall determine to its satisfaction whether the eligible Bidder that is selected as having submitted the lowest evaluated cost and substantially responsive Bid meets the qualifying criteria specified in Section III, Evaluation and Qualification Criteria.
  - 39.2 The determination shall be based upon an examination of the documentary evidence of the Bidder's qualifications submitted by the Bidder, pursuant to ITB 17. The determination shall not take into consideration the qualifications of other firms such as the Bidder's subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors if permitted in the bidding document), or any other firm(s) different from the Bidder.
  - 39.3 An affirmative determination of qualification shall be a prerequisite for award of the Contract to the Bidder. A negative determination shall result in disqualification of the Bid, in which event the Employer shall proceed to the substantially responsive Bid which offers the next lowest evaluated cost to make a similar determination of that Bidder's qualifications to perform satisfactorily.

- 40. Most<br/>Advantageous<br/>Bid40.1Having compared the evaluated costs of Bids, the Employer shall<br/>determine the Most Advantageous Bid. The Most Advantageous<br/>Bid is the Bid of the Bidder that meets the Qualification Criteria and<br/>whose Bid has been determined to be:
  - (a) substantially responsive to the bidding document; and
  - (b) the lowest evaluated cost.
- 41. Employer's Right to Accept Any Bid, and to annul the Bidding process and reject all Bids at any time prior to Contract Award, without thereby incurring any liability to Bidders. In case of annulment, all Bids submitted and specifically, Bid securities, shall be promptly returned to the Bidders.
- **42. Standstill Period** 42.1 The Contract shall not be awarded earlier than the expiry of the Standstill Period. The Standstill Period shall be ten (10) Business Days unless extended in accordance with ITB 46. The Standstill Period commences the day after the date the Employer has transmitted to each Bidder the Notification of Intention to Award the Contract. Where only one Bid is submitted, or if this contract is in response to an emergency situation recognized by the Bank, the Standstill Period shall not apply.
- 43. Notification of Intention to Award43.1 The Employer shall send to each Bidder the Notification of Intention to Award the Contract to the successful Bidder. The Notification of Intention to Award shall contain, at a minimum, the following information:
  - (a) the name and address of the Bidder submitting the successful Bid;
  - (b) the Contract price of the successful Bid;
  - (c) the names of all Bidders who submitted Bids, and their Bid prices as readout, and as evaluated;
  - (d) a statement of the reason(s) the Bid (of the unsuccessful Bidder to whom the notification is addressed) was unsuccessful, unless the price information in c) above already reveals the reason;
  - (e) the expiry date of the Standstill Period;
  - (f) instructions on how to request a debriefing and/or submit a complaint during the standstill period.

#### F. Award of Contract

## **44. Award Criteria** 44.1 Subject to ITB 41, the Employer shall award the Contract to the successful Bidder. This is the Bidder whose Bid has been

determined to be the Most Advantageous Bid as specified in ITB 40.

- 45. Notification of Award
  45.1 Prior to the expiration of the Bid validity, and upon expiry of the Standstill Period specified in ITB 42.1 or any extension thereof, and, upon satisfactorily addressing any complaint that has been filed within the Standstill Period, the Employer shall notify the successful Bidder, in writing, that its Bid has been accepted. The notification of award (hereinafter and in the Conditions of Contract and Contract Forms called the "Letter of Acceptance") shall specify the sum that the Employer will pay the Contractor in consideration of the execution of the contract (hereinafter and in the Conditions of Contract Price").
  - 45.2 Within ten (10) Business Days after the date of transmission of the Letter of Acceptance, the Employer shall publish the Contract Award Notice which shall contain, at a minimum, the following information:
    - (a) name and address of the Employer;
    - (b) name and reference number of the contract being awarded, and the selection method used;
    - (c) names of all Bidders that submitted Bids, and their Bid prices as read out at Bid opening, and as evaluated;
    - (d) names of all Bidders whose Bids were rejected either as nonresponsive or as not meeting qualification criteria, or were not evaluated, with the reasons therefor;
    - (e) the name of the successful Bidder, the final total contract price, the contract duration and a summary of its scope; and
    - (f) successful Bidder's Beneficial Ownership Disclosure Form, if specified in BDS ITB 47.1.
  - 45.3 The Contract Award Notice shall be published on the Employer's website with free access if available, or in at least one newspaper of national circulation in the Employer's Country, or in the official gazette. The Employer shall also publish the contract award notice in UNDB online.
  - 45.4 Until a formal contract is prepared and executed, the Letter of Acceptance shall constitute a binding Contract.

## 46. Debriefing by the 46.1 On receipt of the Employer's Notification of Intention to Award referred to in ITB 43.1, an unsuccessful Bidder has three (3) Business Days to make a written request to the Employer for a debriefing. The Employer shall provide a debriefing to all unsuccessful Bidders whose request is received within this deadline.

- 46.2 Where a request for debriefing is received within the deadline, the Employer shall provide a debriefing within five (5) Business Days, unless the Employer decides, for justifiable reasons, to provide the debriefing outside this timeframe. In that case, the standstill period shall automatically be extended until five (5) Business Days after such debriefing is provided. If more than one debriefing is so delayed, the standstill period shall not end earlier than five (5) Business Days after the last debriefing takes place. The Employer shall promptly inform, by the quickest means available, all Bidders of the extended standstill period
- 46.3 Where a request for debriefing is received by the Employer later than the three (3)-Business Day deadline, the Employer should provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of Public Notice of Award of contract. Requests for debriefing received outside the three (3)-day deadline shall not lead to extension of the standstill period.
- 46.4 Debriefings of unsuccessful Bidders may be done in writing or verbally. The Bidder shall bear their own costs of attending such a debriefing meeting.
- 47. Signing of Contract
  47.1 The Employer shall send to the successful Bidder the Letter of Acceptance including the Contract Agreement, and, if specified in the BDS, a request to submit the Beneficial Ownership Disclosure Form providing additional information on its beneficial ownership. The Beneficial Ownership Disclosure Form, if so requested, shall be submitted within eight (8) Business Days of receiving this request.
  - 47.2 The successful Bidder shall sign, date and return to the Employer, the Contract Agreement within twenty-eight (28) days of its receipt.
- 48. Performance Security
  48.1 Within twenty-eight (28) days of the receipt of the Letter of Acceptance from the Employer, the successful Bidder shall furnish the Performance Security and, if required in the BDS, the Environmental and Social (ES) Performance Security in accordance with the General Conditions of Contract, subject to ITB 38.2 (b), using for that purpose the Performance Security and ES Performance Security Forms included in Section X, Contract Forms, or another form acceptable to the Employer. If the Performance Security furnished by the successful Bidder is in the form of a bond, it shall be issued by a bonding or insurance company that has been determined by the successful Bidder to be acceptable to the Employer. A foreign institution providing a bond shall have a correspondent financial institution located in the Employer's

Country, unless the Employer has agreed in writing that a correspondent financial institution is not required.

- 48.2 Failure of the successful Bidder to submit the above-mentioned Performance Security and, if required in the BDS, the Environmental and Social (ES) Performance Security, or to sign the Contract Agreement shall constitute sufficient grounds for the annulment of the award and forfeiture of the Bid Security. In that event the Employer may award the Contract to the Bidder offering the next Most Advantageous Bid.
- 49. Adjudicator
  49.1 The Employer proposes the person named in the BDS to be appointed as Adjudicator under the Contract, at the hourly fee specified in the BDS, plus reimbursable expenses. If the Bidder disagrees with this proposal, the Bidder should so state in his Bid. If, in the Letter of Acceptance, the Employer does not agree on the appointment of the Adjudicator, the Employer will request the Appointing Authority designated in the Particular Conditions of Contract (PCC) pursuant to Clause 23.1 of the General Conditions of Contract (GCC), to appoint the Adjudicator.
- **50. Procurement**<br/>Related50.1The procedures for making a Procurement-related Complaint are as<br/>specified in the BDS.

Complaint

# Section II - Bid Data Sheet (BDS)

The following specific data for the Works to be procured shall complement, supplement, or amend the provisions in the Instructions to Bidders (ITB). Whenever there is a conflict, the provisions herein shall prevail over those in ITB.

ITB Reference	A. General						
ITB 1.1	The number of the Invitation for Bids is: LLWDP/W/01						
	The Employer is: Ministry of Water						
	The reference number of the Request for Bids (RFB) is: LLWDP/W/01						
	The Employer is: Ministry of Water						
	Lesotho Lowlands Water Development Project II						
	The name of the RFB is: LLWDP/W/01						
	The number and identification of lots (contracts) comprising this RFB is: 1 (one)						
ITB 2.1	The Borrower is: Kingdom of Lesotho						
	Loan or Financing Agreement amount: US\$78,000,000.00						
	The name of the Project is: Lesotho Lowlands Water Development Project II						
ITB 4.1	Maximum number of members in the JV shall be: three (3)						
ITB 4.5	A list of debarred firms and individuals is available on the Bank's external website: <u>http://www.worldbank.org/debarr.</u>						
	<b>B.</b> Contents of Bidding Document						
ITB 7.1	For Clarification of Bid purposes only, the Employer's address is:Plot No. 12292-044,Farview AvenueLower ThetsaneMaseruKingdom of Lesotho.City: MaseruCode: 100Country: Kingdom of LesothoTelephone: +26622324555Electronic mail address: mrabolinyane@llwdp.co.lswith a copy tomdaemane@llwdp.cols						

ITB 7.1	Requests for clarification should be received by the Employer no later than: <b>14</b> days before closing date for submission of bids								
ITB 7.1	Web page: https://www.wasco.co.ls/tenders/ and https://www.llwdp.co.ls/opportunities/								
ITB 7.4	A Pre-Bid meeting <b>shall</b> take place at the following date, time and place:								
	Date: 3rd of September 2020.								
	Time: 10:00am (Lesotho Time)								
	Place: WASCO Offices Maputsoe, Leribe Lesotho								
	A site visit conducted by the Employer shall be organized.								
	C. Preparation of Bids								
ITB 10.1	The language of the Bid is: English								
ITB 11.1 (b)	The following schedules shall be submitted with the Bid: <b>Priced bill of quantities and Activity schedules.</b>								
ITB 11.1 (i)	The Bidder shall submit the following additional documents in its Bid: Trading Document: Certified copies of; <b>Traders' License, Tax</b> <b>Clearance, Power of attorney or equal document in law.</b> <b>Code of Conduct for Contractor's Personnel (ES)</b> The Bidder shall submit its Code of Conduct that will apply to Contractor's Personnel (as defined in Sub- Clause 1 (ii) of the General Conditions of Contract), to ensure compliance with the Contractor's Environmental and Social (ES) obligations under the Contract. The Bidder shall use for this purpose the Code of Conduct form provided in Section IV. No substantial modifications shall be made to this form, except that the Bidder may introduce additional requirements, including as necessary to take into account specific Contract issues/risks.								
	Management Strategies and Implementation Plans (MSIP) to manage the (ES) risks								
	The Bidder shall submit Management Strategies and Implementation Plans (MSIPs) to manage the following key Environmental and Social (ES) risks:								
	Refer to ESMF attached to the RFB to develop MSIP.								
	[Note: insert name of any specific plan and risk/s informed by the relevant environmental and social assessment]:								
	<ul> <li>Sexual Exploitation, and Abuse (SEA) prevention and response action plan;</li> <li>Traffic Management Plan to ensure safety of local communities from construction traffic;</li> </ul>								
ITB 13.1	Alternative Bids shall not be considered.								

ITB 13.2	Alternative times for completion <b>shall not be</b> permitted.							
ITB 14.5	The prices quoted by the Bidder shall be: <b>fixed</b>							
ITB 15.1	The price shall be quoted by the Bidder in: <b>LSL Lesotho Loti</b> A Bidder expecting to incur expenditures in other currencies for inputs to the Works supplied from outside the Employer's Country (referred to as the "foreign currency requirements") and wishing to be paid accordingly, shall indicate up to three foreign currencies of their choice expressed as a percentage of the Bid price, together with the exchange rates used in the calculations in the appropriate form(s) included in Section IV, Bidding Forms.							
ITB 18.1	The Bid shall be valid until: 6th of January, 2021							
ITB 19.1	A Bid Security shall be required.							
ITB 19.3 (d)	Other types of acceptable securities: None							
ITB 20.1	In addition to the original of the Bid, the number of copies is: 5 copies and a Soft Copy							
ITB 20.3	The written confirmation of authorization to sign on behalf of the Bidder shall consist of: <b>Power of attorney or Equivalent document in Law.</b>							
	D. Submission and Opening of Bids							
ITB 22.1	For Bid submission purposes only, the Employer's address is: Attention: Mr. Mokake Mojakisane Plot No. 12292-044 Farview Avenue Lower Thetsane City: Maseru Code: 100 Country: Kingdom of Lesotho The deadline for Bid submission is: Date: <b>7th of October 2020</b> Time: 12:00 noon Bidders shall not have the option of submitting their Bids electronically.							
ITB 25.1	The Bid opening shall take place at: Plot No. 12292-044 Farview Avenue Lower Thetsane City: Maseru Code: 100 Country: Kingdom of Lesotho The deadline for Bid submission is: Date: <b>7th of October, 2020</b> Time: <b>12:00 noon</b>							

ITB 25.6	The Letter of Bid and priced Bill of Quantities shall be initialed by <b>three</b> (3) representatives of the Employer conducting Bid opening. Each Bid shall be initialed by all representatives and shall be numbered, any modification to the unit or total price shall be initialed by the Representative of the Employer.						
	E. Evaluation and Comparison of Bids						
ITB 32.1	The currency that shall be used for Bid evaluation and comparison purposes to convert at the selling exchange rate all Bid prices expressed in various currencies into a single currency is: Lesotho Loti (LSL) The source of exchange rate shall be: Central Bank of Lesotho The date for the exchange rate shall be: 7th of August 2020						
ITB 33.1	A margin of domestic preference <b>shall not</b> apply.						
ITB 34.1	At this time the Employer <b>does not intend</b> to execute certain specific parts of the Works by subcontractors selected in advance.						
ITB 34.2	N/A						
ITB 34.3	Contractor's proposed subcontracting: Maximum percentage of subcontracting permitted is: <b>30%</b> of the total contract amount or <b>10%</b> of the volume of work. Bidders planning to subcontract more than 10% of total volume of work shall specify, in the Letter of Bid, the activity (ies) or parts of the Works to be subcontracted along with complete details of the subcontractors and their qualification and experience.						
	F. Award of Contract						
ITB 47.1	The successful Bidder <b>shall</b> submit the Beneficial Ownership Disclosure Form.						
ITB 49	The Adjudicator proposed by the Employer is: in accordance with the Government of Lesotho Arbitration laws.						
ITB 50.1	The procedures for making a Procurement-related Complaint are detailed in the "Procurement Regulations for IPF Borrowers (Annex III)." If a Bidder wishes to make a Procurement-related Complaint, the Bidder shall submit its complaint following these procedures, In Writing (by the quickest means available, such as by email), to:						
	For the attention:Mr. Malefetsane NchakaTitle/position:Principal Secretary – Ministry of WaterEmployer:Lesotho Lowlands Water Development Project IIE- mnchaka@yahoo.com						

# **Section III - Evaluation and Qualification Criteria**

Wherever a Bidder is required to state a monetary amount, Bidders should indicate the USD equivalent using the rate of exchange determined as follows:

- for construction turnover or financial data required for each year Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted) was originally established; or
- value of single contract Exchange rate prevailing on the date of the contract.

Exchange rates shall be taken from the publicly available source identified in the ITB 32.1. Any error in determining the exchange rates in the Bid may be corrected by the Employer

# **Table of Criteria**

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# 1. Margin of Preference

If BDS so specifies, the Employer will grant a margin of preference of 7.5% (seven and one-half percent) to domestic contractors, in accordance with, and subject to, the following provisions:

- (a) Contractors applying for such preference shall be asked to provide, as part of the data for qualification, such information, including details of ownership, as shall be required to determine whether, according to the classification established by the Borrower and accepted by the Bank, a particular contractor or group of contractors qualifies for a domestic preference. The bidding document shall clearly indicate the preference and the method that will be followed in the evaluation and comparison of Bids to give effect to such preference.
- (b) After Bids have been received and reviewed by the Employer, responsive Bids shall be classified into the following groups:
  - (i) Group A: Bids offered by domestic contractors eligible for the preference.
  - (ii) Group B: Bids offered by other contractors.

All evaluated Bids in each group shall, as a first evaluation step, be compared to determine the Bid with lowest evaluated cost, and the Bid with the lowest evaluated cost in each group shall be further compared with each other. If a result of this comparison, a Bid from Group A is the lowest, it shall be selected for the award as the Most Advantageous Bid, if the Bidder is qualified. If a Bid from Group B is the lowest, as a second evaluation step, all Bids from Group B shall then be further compared with the lowest evaluated cost from Group A. For the purpose of this further comparison only, an amount equal to 7.5% (seven and one-half percent) of the respective Bid price corrected for arithmetical errors, including unconditional discounts but excluding provisional sums and the cost of dayworks, if any, shall be added to the evaluated cost offered in each Bid from Group B. If the Bid from Group B based on the first evaluation step shall be selected.

The Employer shall use the criteria and methodologies listed in this Section to evaluate Bids. By applying these criteria and methodologies, the Employer shall determine the Most Advantageous Bid. This is the Bid that has been determined to be:

- (a) substantially responsive to the bidding document, and
- (b) the lowest evaluated cost.

## 2. Evaluation

In addition to the criteria listed in ITB 35.2 (a) – (e) the following criteria shall apply:

### 2.1 Adequacy of Technical Proposal

Evaluation of the Bidder's Technical Proposal will include an assessment of the Bidder's technical capacity to mobilize key equipment and personnel for the contract consistent with its proposal regarding work methods, scheduling, and material sourcing in sufficient detail and fully in accordance with the requirements stipulated in Section VII, Works' Requirements.

### 2.2 Multiple Contracts

Pursuant to ITB 35.4 of the Instructions to Bidders, if Works are grouped in multiple contracts, evaluation will be as follows:

### (a) Award Criteria for Multiple Contracts [ITB 35.4]:

#### Lots

Bidders have the option to Bid for any one or more lots. Bids will be evaluated lot-wise, taking into account discounts offered, if any, after considering all possible combination of lots. The contract(s) will be awarded to the Bidder or Bidders offering the lowest evaluated cost to the Employer for combined lots, subject to the selected Bidder(s) meeting the required qualification criteria for lot or combination of lots as the case may be.

### Packages

Bidders have the option to Bid for any one or more packages and for any one or more lots within a package. Bids will be evaluated package-wise, taking into account discounts offered, if any, for combined packages and/or lots within a package. The contract(s) will be awarded to the Bidder or Bidders offering the lowest evaluated cost to the Employer for combined packages, subject to the selected Bidder(s) meeting the required qualification criteria for combination of packages and or lots as the case may be.

### (b) Qualification Criteria for Multiple Contracts:

Section III describes criteria for qualification for each lot (contract) for multiple lots (contracts). The criteria for qualification is aggregate minimum requirement for respective lots as specified under items 3.1, 3.2, 4.2(a) and 4.2(b). However, with respect to the specific experience under item 4.2 (a) of Section III, the Employer will select any one or more of the options as identified below:

N is the minimum number of contracts

V is the minimum value of a single contract

#### (a) For one Contract:

#### Option 1:

(i) N contracts, each of minimum value V;

Or

#### **Option 2:**

(i) N contracts, each of minimum value V; or

(ii) Less than or equal to N contracts, each of minimum value V, but with total value of all contracts equal or more than N x V.

#### (b) For multiple Contracts

#### **Option 1:**

(i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Bidder has submitted Bids as follows, and N1, N2, N3, etc. shall be different contracts:

Lot 1: N1 contracts, each of minimum value V1;

Lot 2: N2 contracts, each of minimum value V2;

Lot 3: N3 contracts, each of minimum value V3;

----etc.

or

#### **Option 2:**

(i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Bidder has submitted Bids as follows, and N1, N2, N3, etc. shall be different contracts:

Lot 1: N1 contracts, each of minimum value V1;

Lot 2: N2 contracts, each of minimum value V2;

Lot 3: N3 contracts, each of minimum value V3;

----etc., or

(ii) Lot 1: N1 contracts, each of minimum value V1; or number of contracts less than or equal to N1, each of minimum value V1, but with total value of all contracts equal or more than N1 x V1.

Lot 2: N2 contracts, each of minimum value V2; or number of contracts less than or equal to N2, each of minimum value V2, but with total value of all contracts equal or more than N2 x V2.

Lot 3: N3 contracts, each of minimum value V3; or number of contracts less than or equal to N3, each of minimum value V3, but with total value of all contracts equal or more than N3 x V3.

```
----etc.
```

Or

**Option 3:** 

(i) Minimum requirements for combined contract(s) shall be the aggregate requirements for each contract for which the Bidder has bid for as follows, and N1, N2, N3, etc. shall be different contracts:

Lot 1: N1 contracts, each of minimum value V1; Lot 2: N2 contracts, each of minimum value V2; Lot 3: N3 contracts, each of minimum value V3; ----etc., **or** 

(ii) Lot 1: N1 contracts, each of minimum value V1; or number of contracts less than or equal to N1, each of minimum value V1, but with total value of all contracts equal or more than N1 x V1.

Lot 2: N2 contracts, each of minimum value V2; or number of contracts less than or equal to N2, each of minimum value V2, but with total value of all contracts equal or more than N2 x V2.

Lot 3: N3 contracts, each of minimum value V3; or number of contracts less than or equal to N3, each of minimum value V3, but with total value of all contracts equal or more than N3 x V3.

----etc., or

(iii) Subject to compliance as per (ii) above with respect to minimum value of single contract for each lot, total number of contracts is equal or less than N1 + N2 + N3 +--but the total value of all such contracts is equal or more than  $N1 \times V1 + N2 \times V2 + N3 \times V3$  +---.

### 2.3 Alternative Completion Times

An alternative Completion Time, if permitted under ITB 13.2, will be evaluated as follows:

N/A

2.4 Sustainable procurement

N/A

2.5 Alternative Technical Solutions for specified parts of Works

N/A

2.6 Specialized Subcontractors

N/A

# 3. Qualification

El	Eligibility and Qualification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single	Joint Venture (existing or intended)			Submission
			Entity	All members Combined	Each Member	At least one Member	Requirements
<b>1. E</b>	ligibility			·			
1.1	Nationality	Nationality in accordance with ITB 4.4	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments
1.2	Conflict of Interest	No conflicts of interest in accordance with ITB 4.2	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of Bid
1.3	Bank Eligibility	Not having been declared ineligible by the Bank, as described in ITB 4.5.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of Bid
1.4	State-owned enterprise or institution of the Borrower country	Meets conditions of ITB 4.6	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments
1.5	United Nations resolution or Borrower's country law	Not having been excluded as a result of prohibition in the Borrower's country laws or official regulations against commercial relations with the Bidder's	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
No.	Subject	Requirement	Single	Joint All	Venture (existing or i Each Member	ntended) At least one	Submission
			Entity	members Combined	Lacii Member	Member	Requirements
		country, or by an act of compliance with UN Security Council resolution, both in accordance with ITB 4.8 and Section V.					
	istorical Contract N		r	r	Γ	T	Γ
2.1	History of Non- Performing Contracts	Non- performance of a contract1 did not occur as a result of contractor default since 1st January 2015.	Must meet requirement & 2	Must meet requirements	Must meet requirement2	N/A	Form CON-2
2.2	Suspension Based on Execution of Bid /Proposal Securing Declaration by the Employer	Not under suspension based on execution of a Bid/Proposal Securing Declaration pursuant to ITB 4.7 and ITB	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of Bid

<sup>1</sup> Nonperformance, as decided by the Employer, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

<sup>2</sup> This requirement also applies to contracts executed by the Bidder as JV member.

Eligibility and Qualification Criteria			Compliance Requirements			Documentation	
N	Calkin et	Description	C'l-		intended)	Cale and and	
No.	Subject	Requirement	Single Entity	All members Combined	Each Member	At least one Member	Submission Requirements
		19.9.					
2.3	Pending Litigation	Bidder's financial position and prospective long term profitability sound according to criteria established in 3.1 below and assuming that all pending litigation will be resolved against the Bidder	Must meet requirement	N/A	Must meet requirement	N/A	Form CON – 2
2.4	Litigation History	No consistent history of court/arbitral award decisions against the Bidder3 since 1st January 2015.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form CON – 2
2.5	Declaration: Environmenta I and Social (ES) past performance	Declare any civil work contracts that have been suspended or terminated	Must make the declaration. Where there are Specialized	N/A	Each must make the declaration. Where there are Specialized Sub- contractor/s, the Specialized Sub-	N/A	Form CON-3 ES Performance Declaration

<sup>3</sup> The Bidder shall provide accurate information on the Letter of Bid about any litigation or arbitration resulting from contracts completed or ongoing under its execution over the last five years. A consistent history of court/arbitral awards against the Bidder or any member of a joint venture may result in disqualifying the Bidder.

BI	Eligibility and Qualification Criteria			Compliance Requirements			Documentation
No.	Subject	Requirement	Single Entity	Joint All members Combined	Venture (existing or i Each Member	intended) At least one Member	- Submission Requirements
		and/or performance security called by an employer for breach of environmental or social (including Sexual Exploitation and Abuse) contractual obligations in the past five years.4	Sub- contractor/s , the Specialized Sub- contractor/s must also make the declaration.		contractor/s must also make the declaration.		
<b>3.</b> Fi	nancial Situation a					-	
3.1	Financial Capabilities	(i) The Bidder shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual	Must meet requirement	Must meet Requirement	N/A	N/A	Form FIN – 3.1, with attachments

4 The Employer may use this information to seek further information or clarifications in carrying out its due diligence.

Eligi	Eligibility and Qualification Criteria			Compli	Compliance Requirements			
_			ct. I	Joint				
No.	Subject	Requirement	Single Entity	All members Combined	Each Member	At least one Member	Submission Requirements	
		advance payment) sufficient to meet the construction cash flow requirements estimated as equivalent of USD \$ 100,000.00 for the subject contract(s) net of the Bidder's other commitments						
		(ii) The Bidders shall also demonstrate, to the satisfaction of the Employer, that it has adequate sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.	Must meet requirement	Must meet requirement	N/A	N/A		

El	Eligibility and Qualification Criteria			<b>Compliance Requirements</b>			Documentation
No.	Subject	Requirement	Single Entity	Joint All members Combined	Venture (existing or i Each Member	ntended) At least one Member	Submission Requirements
		(iii) The audited balance sheets or, if not required by the laws of the Bidder's country, other financial statements acceptable to the Employer, for the last <b>Two (2)</b> <b>years</b> shall be submitted and must demonstrate the current soundness of the Bidder's financial position and indicate its prospective long-term profitability.	Must meet requirement	N/A	Must meet requirement	N/A	
3.2	Average Annual Construction Turnover	Minimum average annual construction turnover of equivalent of <b>USD</b> <b>\$100,000.00</b> , calculated as total certified	Must meet requirement	Must meet requirement	Must meet <b>50%</b> of the requirement	Must meet <b>50%</b> of the requirement	Form FIN – 3.2

Eligibility and Qualification Criteria			<b>Compliance Requirements</b>			Documentation	
NT.	Sechiant		Simolo		Joint Venture (existing or intended)		
No.	Subject	Requirement	Single Entity	All members Combined	Each Member	At least one Member	Submission Requirements
4. E2 4.1 (a)	xperience General Construction Experience	payments received for contracts in progress and/or completed within the last <b>Five (5)</b> years, divided by <b>Two</b> (2) years. Experience under construction contracts in the role of prime contractor, JV	Must meet requirement	N/A	Must meet requirement	N/A	Form EXP – 4.1
4.2 (a)	Specific Construction & Contract Management	<ul> <li>contractor, 3 v</li> <li>member,</li> <li>subcontractor,</li> <li>or management</li> <li>contractor for at</li> <li>least the last</li> <li>Five (5) years,</li> <li>starting 1st</li> <li>January 2015.</li> <li>(i) A minimum</li> <li>number of</li> <li>Three (3)</li> </ul>	Must meet requirement	Must meet requirement7	N/A	N/A	Form EXP 4.2(a)

7 In the case of JV, the value of contracts completed by its members shall not be aggregated to determine whether the requirement of the minimum value of a single contract has been met. Instead, each contract performed by each member shall satisfy the minimum value of a single contract as required for single entity. In determining whether the JV meets the requirement of total number of contracts, only the number of contracts completed by all members each of value equal or more than the minimum value required shall be aggregated.

El	igibility and Qualif	ication Criteria		Complia	nce Requirements		Documentation
No	Subject	Dequinement	Single		Venture (existing or i		Submission
No.	Subject	Requirement	Single	All members	Each Member	At least one Member	
			Entity	Combined		wiember	Requirements
	Experience	similar					
		contracts					
		specified					
		below that					
		have been					
		satisfactorily					
		and					
		substantially5					
		completed as a					
		prime					
		contractor,					
		joint venture					
		member <sub>6</sub> ,					
		management					
		contractor or					
		sub-contractor <sub>6</sub>					
		between 1st					
		January 2015					
		and bid					
		submission					
		deadline:					
		(i) N contracts,					
		each of					
		minimum					
		value V;					

5 Substantial completion shall be based on 80% or more works completed under the contract.

<sup>6</sup> For contracts under which the Bidder participated as a joint venture member or sub-contractor, only the Bidder's share, by value, shall be considered to meet this requirement.

Elig	gibility and Qual	ification Criteria		Complia	ance Requirements		Documentation
No	No. Subject Requirement		Single	Joint	Submission		
No.	Subject	Kequirement	Entity	All members Combined	Each Member	At least one Member	Requirements
4.2 (b)		For the above and any other contracts [substantially completed and under implementatio n] as prime contractor, joint venture member, or sub-contractor between 1st 	Must meet requiremen ts.	Must meet requiremen ts.	N/A	N/A	Form EXP – 4.2 (b)

8 Volume, number or rate of production of any key activity can be demonstrated in one or more contracts combined if executed during same time period.

Eli	igibility and Qualif	ication Criteria		Complia	ance Requirements		Documentation
No.	Subject	Requirement	Single Entity	Joint All members Combined	Venture (existing or i Each Member	ntended) At least one Member	Submission Requirements
		and Maintenance Project of at least 25 mm to 50 mm pipeline diameter of HDPE and or GI <sup>2</sup> . 2. Drilling and full equipping of Boreholes to the depth of 80m to 120m. 3. Conducting pumping tests					
4.2 (c)		For contracts [substantially completed and under implementatio n] as prime contractor, joint venture member, or Subcontractor between <b>1st</b> <b>January 2015</b> and	Must meet requiremen ts	Must meet requiremen ts	Must meet the following requirements: N/A	Must meet the following requirements: <b>N/A</b>	Form EXP – 4.2 (c)

	Eligibility and Qualification Criteria			Complia	nce Requirements		Documentation
No.	No. Subject Requirement Singl		Single		Venture (existing or i	1	Submission
140.	Subject	Kequitement	Single	All	Each Member	At least one	Subilitission
			Entity	members Combined		Member	Requirements
		Application					
		submission					
		deadline,					
		experience in					
		managing ES					
		risks and					
		impacts in the					
		following					
		aspects: Refer					
		to the ESMF					
		Report					
		attached to					
		the document					
		for					
		identification					
		of risks.					

Note: [For Multiple lots (contracts) specify financial and experience criteria for each lot under 3.1, 3.2, 4.2(a) and 4.2(b)]

## 4. Key Personnel

The Bidder must demonstrate that it will have suitably qualified (and in adequate numbers) Key Personnel, as described in the Specifications.

The Bidder shall provide details of the Key Personnel and such other Key Personnel that the Bidder considers appropriate to perform the Contract, together with their academic qualifications and work experience. The Bidder shall complete the relevant Forms in Section IV, Bidding Forms.

Key Personel	Qualification	Experience
Contract Manager	Degree in Civil or Mechanical Engineering. Postgraduate qualification in Project Management or Construction Management	15 years
Site Agent	Degree in Civil or Mechanical Engineering.	10 years
Hydrogeologist	BSc in Geology or Groundwater or Hydrogeology or equivalent	10 years
Fitter	Diploma or Craftsman Certificate in Mechanical Engineering or equivalent	10 years
Electrician	Diploma or Craftsman Certificate in Electrical Engineering or equivalent	10 years
Welder/Fabrication Artisan	Diploma or Craftsman Certificate in Welding or Fabication Engineering or equivalent	10 years
Drilling Rig Operator	Drilling Rig Operator Certificate in Drill Rigging	
Safety Health and Environment Officer (SHE)	Diploma In Occupational Health , Safety and Environment.	5 years
Plumber	Diploma <mark>or</mark> Craftsman Certificate in Plumbing	10 years

# 5. Equipment

The Bidder must demonstrate that it will have access to the key Contractor's equipment listed hereafter:

No.	Equipment Type and Characteristics	Minimum Number required
1	TLB (Backhoe Excavator)	1
2	10-ton truck with crane	2
3	Light delivery van (1 ton)	2
4	Pipe cutting machine	2
5	Welding machine	2
6	Dewatering Pump	1
7	Jack Harmer	2
8	Generator	1
19	Pressure Testing Equipment	1
110	DTH Rotary drilling rig and accessories	1
11	Air Compressors	1
12	Single stage submersible pump for pumping test	1
13	Flow meter, PN16, 0.5 – 10L/s	1
14	Acoustic Water level indicator	2
15	EC meter	1
16	pH meter	1
17	Turbidity Meter	1

*Note:* The above is the minimum equipment required. However, the bidder may propose more equipment in terms of type and numbers if deemed necessary. The employer reserves the right to instruct deployment of more equipment to minimize delays attributed to the contractor's performance.

The Bidder shall provide further details of proposed items of equipment using the relevant Form in Section IV.

# **Section IV - Bidding Forms**

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# Letter of Bid

**Date of this Bid submission**: [insert date (as day, month and year) of Bid submission] **RFB No.:** [insert number of RFB process] **Alternative No.**: [insert identification No. if this is a Bid for an alternative]

#### To: [insert complete name of Employer]

- (a) **No reservations:** We have examined and have no reservations to the bidding document, including Addenda issued in accordance with ITB 8;
- (b) **Eligibility**: We meet the eligibility requirements and have no conflict of interest in accordance with ITB 4;
- (c) **Bid-Securing Declaration:** We have not been suspended nor declared ineligible by the Employer based on execution of a Bid-Securing Declaration or Proposal-Securing Declaration in the Employer's Country in accordance with ITB 4.7;
- (d) **Conformity:** We offer to execute in conformity with the bidding document the following Works: [*insert a brief description of the Works*]
- (e) **Bid Price**: The total price of our Bid, excluding any discounts offered in item (f) below is: [*Insert one of the options below as appropriate*]

[Option 1, in case of one lot:] Total price is: [insert the total price of the Bid in words and figures, indicating the various amounts and the respective currencies];

Or

[Option 2, in case of multiple lots:] (a) Total price of each lot [insert the total price of each lot in words and figures, indicating the various amounts and the respective currencies]; and (b) Total price of all lots (sum of all lots) [insert the total price of all lots in words and figures, indicating the various amounts and the respective currencies];

- (f) **Discounts:** The discounts offered and the methodology for their application are:
  - (i) The discounts offered are: [Specify in detail each discount offered.]
  - (ii) The exact method of calculations to determine the net price after application of discounts is shown below: [Specify in detail the method that shall be used to apply the discounts];
- (g) **Bid Validity:** Our Bid shall be valid until *[insert day, month and year in accordance with ITB 18.1]*, and it shall remain binding upon us and may be accepted at any time on or before this date;

- (h) **Performance Security:** If our Bid is accepted, we commit to obtain a performance security [*and an Environmental and Social (ES) Performance Security*, **Delete if not** *applicable*] in accordance with the bidding document;
- (i) **One Bid Per Bidder:** We are not submitting any other Bid(s) as an individual Bidder or as a subcontractor, and we are not participating in any other Bid(s) as a Joint Venture member, and meet the requirements of ITB 4.3, other than alternative Bids submitted in accordance with ITB 13;
- (j) Suspension and Debarment: We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the World Bank Group or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Employer's Country laws or official regulations or pursuant to a decision of the United Nations Security Council;
- (k) **State-owned enterprise or institution:** [select the appropriate option and delete the other] [We are not a state-owned enterprise or institution] / [We are a state-owned enterprise or institution but meet the requirements of ITB 4.6];
- (1) **Commissions, gratuities and fees:** We have paid, or will pay the following commissions, gratuities, or fees with respect to the Bidding process or execution of the Contract: [*insert* complete name of each Recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity]

Name of Recipient	Address	Reason	Amount

(If none has been paid or is to be paid, indicate "none.")

- (m) **Binding Contract**: We understand that this Bid, together with your written acceptance thereof included in your Letter of Acceptance, shall constitute a binding contract between us, until a formal contract is prepared and executed;
- (n) **Not Bound to Accept:** We understand that you are not bound to accept the lowest evaluated cost Bid, the Most Advantageous Bid or any other Bid that you may receive; and
- (o) **Fraud and Corruption:** We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf engages in any type of Fraud and Corruption; and

(p) **Adjudicator:** We accept the appointment of *[insert name proposed in Bid Data Sheet]* as the Adjudicator.

[*or*]

We do not accept the appointment of *[insert name proposed in Bid Data Sheet]* as the Adjudicator, and propose instead that *[insert name]* be appointed as Adjudicator, whose daily fees and biographical data are attached.

Name of the Bidder: \*[insert complete name of the Bidder]

**Name of the person duly authorized to sign the Bid on behalf of the Bidder**:\*\*[*insert complete name of person duly authorized to sign the Bid*]

Title of the person signing the Bid: [insert complete title of the person signing the Bid]

**Signature of the person named above**: [insert signature of person whose name and capacity are shown above]

**Date signed** [insert date of signing] **day of** [insert month], [insert year]

\*: In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder \*\*: Person signing the Bid shall have the power of attorney given by the Bidder to be attached with the Bid

# Schedules

# **Bill of Quantities**

# (Local Currency)

# **Bill No. 1: Preliminary and General Items**

Item no.	Description	Unit	Quantity	Rate(LSL)	Amount (LSL)
	Contractual Requin	rements			
1	Insurance of works	sum	1	273,883.54	273,883.54
2	Third party insurance	sum	1	42,952.51	42,952.51
3	Insurance of workmen	sum	1	182,588.98	182,588.98
		rovisional	Sums		I
5	Implementation of ESMP	sum	1	80, 000.00	80, 000.00
6	Erection and Maintenance of construction sign boards as specified and directed by the Engineer	sum	1	56,000.00	56,000.00
7	allow the upgrading and refurbishing of the electrical installation at the existing borehole station to accommodate the new civil and pumping requirement	sum	4	1,250.00	5,000.00
8	Allow for application to, liaison with, coordination with and commissioning with supply authority for shut downs and/or changeover, reconnection to the existing electricity network.	sum	8	20,000.00	160,000.00

9	Establishment of all plant, equipment and personnel to site	sum	1			
10	Establishment of all plant, equipment and personnel to site sum	Sum	1			
11	Dayworks	sum	1			
	Total for Bill No. 1 (carried forward to Summary, p)					

Item no.	Description	Unit	Quantity	Rate(L SL)	Amount (LSL)
	Earth Works for Pipe v	vorks			
1	Excavation in all materials for the trenches, backfill, compact to 90% MOD AASHTO at optimum moisture content (95% in non-cohesive soils) and dispose of all surplus material				
2	Conventional method (mechanical excavation) for pipes up to 63mm diameter, where approved by the engineer				
3	Length of 3 pipe trenches (total) not exceeding a maximum of 1.0m in depth by 0.50m width	m	125		
4	Pipe Jacking	m	12		
				<u></u>	
	Earthworks for Ele	ectricals			
5	Trenching and danger tape measured under supply cable trenching (10m x 0.5m x 1.0m)	m	20		
	Earthworks for Tempo	orary Wo	orks		
6	Trenching for collection and safe disposal of run-off from testing of boreholes (10m x 0.5m x 0.5m)	m	80		
	Earthworks for Ch	ambers			
7	Site Clearance	m2	28.8		
8	Excavations for foundations	m3	1.49		
	(carried f	forward t	Total for E o Summary		

## **Bill No. 2: Earthworks**

Bill No.	3:	<b>Electro-</b>	works
----------	----	-----------------	-------

Item no.	Description	Unit	Quantity	Rate(L SL)	Amount (LSL)
1	Design, supply, install and commission new IP65 borehole panel enclosure for the control of 5.5kW borehole pumps including for all equipment, switchgear, interface wiring, wiring, 3 core submersible cable, etc. (Annex A)	No.	2		
	EARTHING	Ţ			
2	Supply, install and commission earthing system (Annex B)	No.	2		
	(carried f	orward t	Total for B		·

Item no.	Description	Unit	Quantity	Rate	Amount
1	Set up of drilling rig and equipment at each site	No.	7		
2	203mm drilling from 0 to 10m	m	70		
3	165mm drilling from 10 to 120m	m	770		
4					
5	Testing and commissioning this portion of works	No.	7		
6	Supply, delivery and installation of 177mm steel casing for maximum depth of 10m	m	70		
7					
8	Supply, delivery and installation of Gravel pack	m³	2		
9	Supply and delivery of Cement plugs	No	7		
10	Supply, delivery and installation of Sanitary seals	No.	7		
11	• Supply, delivery and installation of perforated140mm uPVC (None toxic class 10)	m	140		
	<ul> <li>Supply, delivery and installation of non perforated140mm uPVC (None toxic class 10)</li> </ul>	m	700		
12	Supply, delivery and installation of u- PVC casing cap	No	7		
13	Carry out Step drawdown (testing)	No.	7		
14	Carry out Constant discharge (Testing)	No.	7		
15	Carry out Constant recovery (Testing)	No.	7		

# **Bill No. 4: Borehole Drilling Works**

Total for Bill No. 4	
(carried forward to Summary, p)	

# Bill No. 5: Borehole Pump Works

Item no.	Description	Unit	Quantity	Rate	Amount
	PARTICULAR SPECIFICATION: PUMPS				
1	supply and install submersible borehole pumps secured with nylon rope, and submersible cable as per Annex C	No.	8		
2	5,5kW Franklin borehole pump set	No.	8		
	Riser Pipe				
5	63mm HDPE pipe class 12	Μ	960		
6	50 x 63mm HDPE Adaptor	No.	16		
7	Thread Seal Tape	No.	100		
8	6mm Base plate	No.	8		
9	50mm GI Socket	No.	8		
	BOREHOLE CHA	MBER			
10	Demolition, Haulage and Disposal	No.	5		
11	Construction as per Annex D	No	7		
			Total for B		·
	(carried f	orward t	o Summary,	p)	

Bill No. 6: Borehole Dosing System

Item no.	Description	Unit	Quantity	Rate	Amount
	<b>Borehole Dosing System</b>				
1	Supply and install chlorine solution in- line injection equipment (dosing skid),inclusive of Grundfos dosing	No.	1		

pump (DMX 221 Alldos), agitator/mixer .flow measurement equipment, pressure gauges and all incidentals(dosage rate 0 - 4L/hr @ 10bar)Supply system and wattage rating : 230V, 50Hz, 1365rpm, 0.09kW						
Total for Bill No. 6 (carried forward to Summary, p)						

Item no.	Description	Unit	Quantity	Rate	Amount
	Water Pipelines And Fittings	sum			
1	Supply, Pipe laying & Backfilling, Testing and Disinfecting pipes complete with couplings and cutting the pipe where necessary. (pressure rating of couplings to match or exceed pipe), bedded and blanketed with fine sand, HDPE pipes, complete with couplings, according to SABS ISO 4427				
2	63mm dia. Class 12, with its fittings	m	125		
3	Fine sand for Bedding and Blanket	m³	86		
4	Supply and install 50mm Brass flanged non-return valve	No.	8		
5	Supply and install 50mm Brass flanged sluice valves	No.	8		
6	50 x 40mm Brass water meter	No.	8		
	Connection to 110mm uPVC pipe				
7	<ul><li>110 x 63mm uPVC Flanged Tee,</li><li>110mm Non Return Valve, 110mm</li><li>Flanged Adaptors for uPVC, 63mm GI</li><li>Flange, 63mm HDPE Adaptor</li></ul>	No.	2		
			Total for B	ill No. 7	·
	(carried f	forward t	o Summary,	p)	

# **Bill No. 8: As Built Drawings and Manuals**

Item no.	Description	Unit	Quantity	Rate	Amount
1	<ul> <li>Preparations of As Built Drawing in DWG files geo referenced to Lo27</li> <li>Coordinate System;</li> <li>Hard Copies</li> <li>Soft Copies</li> </ul>	No. No.	1 1.		
2	<ul><li>Preparations of operations and maintenance manuals</li><li>Hard Copies</li></ul>	No.	1		

•	Soft Copies	No.	1				
	Total for Bill No. 8						
(carried forward to Summary, p)							

### Bill No. 9: Dayworks

Bill Wa	Bill Water Maputsoe								
ITEM	DESCRIPTION	UNIT	QTY	RATE	AMOUNT	TAXES &			
NO.				MALOTI	MALOTI	DUTIES (M)			
1	PARTICULAR								
	SPECIFICATION:								
	DAY								
	<u>WORKS</u>								
2	site agent	day							
			180						
3	foreman	hr							
			120						
4	gang supervisor	hr							
			180						

5	labourer	day	180		
6	bricklayer	hr	60		
7	welder	hr	40		
8	Electro Mechanical Technician	hr	256		
9	plumber	hr	64		
10	Drilling Rig Operator	hr	128		
11	Pump test operator	hr	960		
12	Safety Health and Environmental Officer	day	180		
13	Contract Administrator	hr	40		
14	Plant (equipment)			_	
15	TLB	hr	64		
16	10 ton truck with crane	km	250		
17	light delivery van(1 ton)	km	250		
18	concrete core drilling machine	hr	10		
19	pipe cutting machine	hr	40		
20	welding machine	hr	40		
21	jack hammer	hr	40		
22	generator	hr	960		
23	Pressure Testing Equipment	hr	32		
24	DTH Drilling rig and accessories	m	640		

25	Submersible pump	hr			
	for pumping test		960		
26	Flow meter	hr			
			960		
27	Acoustic Water	hr			
	level indicator		8		
TOTAL	TOTAL CARRED FORWARD TO THE				
SUMM	SUMMARY FOR PART 8				

# The contractor to provide a schedule of materials and prices as at 14 days before close of the contract

### Summary of Bill

ITEM NO.	DESCRIPTION	AMOUNT (E)	TAXES & DUTIES(E)
1	Preliminary and General	780 425,03	
2	Earthworks		
3	Pipelines		
4	Electrical		
5	Drilling and Testing Boreholes		
6	Installation of Borehole Pumps and Chambers		
7	Dosing system		
8	Day works		

#### TOTAL AMOUNT CARRIED TO TENDER SUMMARY

# Activity Schedule

Item no.	Description	Unit	Amount
	[To be entered by the Employer; Delete if not applicable:] Provisional sums for		
	additional ES outcomes.		

### 2. Schedule of Payment Currencies

**For** \_\_\_\_\_ [insert name of Section of the Works]

Separate tables may be required if the various sections of the Works (or of the Bill of Quantities) will have substantially different foreign and local currency requirements. The Employer should insert the names of each section of the Works.

	Α	В	С	D
Name of Payment Currency	Amount of Currency	Rate of Exchange to Local Currency	Local Currency Equivalent C = A x B	Percentage of Total Bid Price (TBP) _100xC TBP
Local currency		1.00		
Foreign Currency #1				
Foreign Currency #2				
Foreign Currency #3				
Total Bid Price				100.00
Provisional Sums Expressed in Local Currency		1.00		
TOTAL BID PRICE (Including provisional sum)				

### **3.** Schedule(s) of Adjustment Data

<b>Table A - Local Currency</b>	7
---------------------------------	---

Index Code	Index Description	Source of Index	Base Value and Date	Bidder's Local Currency Amount	Bidder's Proposed Weighting
	Nonadjustable	_			A:* B:* C:* D:* E:*
			Total		1.00

[\* To be entered by the Employer. Whereas "A" should a fixed percentage, B, C, D and E should specify a range of values and the Bidder will be required to specify a value within the range such that the total weighting = 1.00]

#### Table B - Foreign Currency

Name of Currency: \_\_\_\_

If the Bidder wishes to quote in more than one foreign currency, this table should be repeated for each foreign currency.

Index Code	Index Description	Source of Index	Base Value and Date	Bidder's Currency in Type/Amount	Equivalent in FC1	Bidder's Proposed Weighting
	Nonadjustable					A:* B:*
						C:*
						D:* E:*
				Total		1.00

[\* To be entered by the Employer. Whereas "A" should a fixed percentage, B, C, D and E should specify a range of values and the Bidder will be required to specify a value within the range such that the total weighting = 1.00]

# Forms of Bid Security

### Form of Bid Security - Bank Guarantee

[Guarantor letterhead or SWIFT identifier code]

#### **Beneficiary:**

[Insert name and address of the Employer]

**Request for Bids No:** *[Insert reference number for the Request for Bids]* 

**Date:** [Insert date of issue]

**BID GUARANTEE No.:** [Insert guarantee reference number]

Guarantor: \_[Insert name and address of place of issue, unless indicated in the letterhead]

We have been informed that [insert name of the Bidder, which in the case of a joint venture shall be the name of the joint venture (whether legally constituted or prospective) or the names of all members thereof] (hereinafter called "the Applicant") has submitted or will submit to the Beneficiary its Bid (hereinafter called "the Bid") for the execution of [insert description of contract] under Request for Bids No. [insert number] ("the RFB").

Furthermore, we understand that, according to the Beneficiary's conditions, Bids must be supported by a Bid guarantee.

At the request of the Applicant, we, as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of *[insert amount in letters]* (*insert amount in numbers*) upon receipt by us of the Beneficiary's complying supported by the Beneficiary's statement, whether in the demand itself or a separate signed document accompanying or identifying the demand, stating either that the Applicant:

- (a) has withdrawn its Bid prior to the Bid validity expiry date specified by the Applicant in the Letter of Bid, or any extended date provided by the Applicant; or
- (b) having been notified of the acceptance of its Bid by the Beneficiary prior to the expiry date of the Bid validity or any extension thereto provided by the Applicant, (i) fails to execute the contract agreement or (ii) fails to furnish the performance security and, if required, the Environmental and Social (ES) Performance Security, in accordance with the Instructions to Bidders ("ITB") of the Beneficiary's bidding document.

This guarantee will expire: (a) if the Applicant is the successful Bidder, upon our receipt of copies of the contract agreement signed by the Applicant and the performance security and, if

required, the Environmental and Social (ES) Performance Security, issued to the Beneficiary in relation to such contract agreement; and (b) if the Applicant is not the successful Bidder, upon the earlier of (i) our receipt of a copy of the Beneficiary's notification to the Applicant of the results of the Bidding process; or (ii) twenty-eight days after the expiry date of the Bid validity.

Consequently, any demand for payment under this guarantee must be received by us at the office indicated above on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758.

[signature(s)]

Note: All italicized text is for use in preparing this form and shall be deleted from the final product.

### **Technical Proposal**

### **Technical Proposal Forms**

- Key Personnel Schedule
- Equipment
- Site Organization
- Method Statement
- Mobilization Schedule
- Construction Schedule
- ES Management Strategies and Implementation Plans
- Code of Conduct (ES)
- Others

# FORM PER -1

# Key Personnel Schedule

Bidders should provide the names and details of the suitably qualified Key Personnel to perform the Contract. The data on their experience should be supplied using the Form PER-2 below for each candidate.

#### **Key Personnel**

1.	Title of position:						
	Name of candidate:						
	Duration of appointment:	[insert the whole period (start and end dates) for which this position will be engaged]					
	Time commitment: for this position:	[insert the number of days/week/months/ that has been scheduled for this position]					
	Expected time schedule for this position:	[insert the expected time schedule for this position (e.g. attach high level Gantt chart]					
2.	Title of position: [En	vironmental Specialist]					
	Name of candidate:						
	Duration of appointment:	[insert the whole period (start and end dates) for which this position will be engaged]					
	Time commitment: for this position:	[insert the number of days/week/months/ that has been scheduled for this position]					
	Expected time schedule for this position:	[insert the expected time schedule for this position (e.g. attach high level Gantt chart]					
3.	Title of position: [Health and Safety Specialist]						
	Name of candidate:						
	Duration of appointment:	[insert the whole period (start and end dates) for which this position will be engaged]					
	Time commitment: for this position:	[insert the number of days/week/months/ that has been scheduled for this position]					
	Expected time schedule for this position:	edule for this chart]					
4.	Title of position: [Soc	Title of position: [Social Specialist]					
	Name of candidate:						

	Duration of	[insert the whole period (start and end dates) for which this position will be			
	appointment:	engaged]			
	Time commitment:	[insert the number of days/week/months/ that has been scheduled for this			
	for this position:	position]			
	Expected time schedule for this position:	[insert the expected time schedule for this position (e.g. attach high level Gantt chart]			
5.	Title of position: Sex	ual Exploitation, Abuse and Harassment Expert			
	•	risks are assessed to be substantial or high, Key Personnel shall include an perience in addressing sexual exploitation, sexual abuse and sexual harassment			
	Name of candidate				
	Duration of appointment:	[insert the whole period (start and end dates) for which this position will be engaged]			
	Time commitment: for this position:	[insert the number of days/week/months/ that has been scheduled for this position]			
	Expected time schedule for this position:	[insert the expected time schedule for this position (e.g. attach high level Gantt chart]			
6.	Title of position: [inse	ert title]			
	Name of candidate				
	Duration of appointment:	[insert the whole period (start and end dates) for which this position will be engaged]			
	Time commitment: for this position:	[insert the number of days/week/months/ that has been scheduled for this position]			
	Expected time schedule for this position:	[insert the expected time schedule for this position (e.g. attach high level Gantt chart]			

### Form PER-2:

### **Resume and Declaration**

### **Key Personnel**

Name of Bidder **Position** [#1]: [title of position from Form PER-1] Personnel Date of birth: Name: information Address: E-mail: **Professional qualifications:** Academic qualifications: Language proficiency:[language and levels of speaking, reading and writing skills] Details Address of employer: **Telephone:** Contact (manager / personnel officer): Fax: Job title: Years with present employer:

Summarize professional experience in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

Project	Role	Duration of involvement	Relevant experience	
[main project details]	[role and responsibilities on the project]	[time in role]	[describe the experience relevant to this position]	

### Declaration

I, the undersigned Key Personnel, certify that to the best of my knowledge and belief, the information contained in this Form PER-2 correctly describes myself, my qualifications and my experience.

I confirm that I am available as certified in the following table and throughout the expected time schedule for this position as provided in the Bid:

Commitment	<b>Details</b> [insert period (start and end dates) for which this Key Personnel is available to work on this contract]	
Commitment to duration of contract:		
Time commitment:	[insert the number of days/week/months/ that this Key Personnel will be engaged]	

I understand that any misrepresentation or omission in this Form may:

- (a) be taken into consideration during Bid evaluation;
- (b) my disqualification from participating in the Bid;
- (c) my dismissal from the contract.

#### Name of Key Personnel: [insert name]

Signature: \_\_\_\_\_

Date: (day month year):

#### Countersignature of authorized representative of the Bidder:

Signature: \_\_\_\_\_

Date: (day month year): \_\_\_\_\_

# Equipment

The Bidder shall provide adequate information to demonstrate clearly that it has the capability to meet the requirements for the key equipment listed in Section III (Evaluation and Qualification Criteria). A separate Form shall be prepared for each item of equipment listed, or for alternative equipment proposed by the Bidder. The Bidder shall provide all the information requested below, to the extent possible. Fields with asterisk (\*) shall be used for evaluation.

Type of Equi	Type of Equipment*				
Equipment Information	Name of manufacturer,		Model and power rating		
	Capacity*		Year of m	anufacture*	
Current Status	Current location				
	Details of current com	mitments			
Source	Indicate source of the e	equipment Rented	□ Leased	□ Specially manufactured	

The following information shall be provided only for equipment not owned by the Bidder.

Owner	Name of owner			
	Address of owner			
	Telephone	Contact name and title		
	Fax	Telex		
Agreements	Details of rental / lease / manufacture	agreements specific to the project		

# Site Organization

[insert Site Organization information]

# **Method Statement**

[insert Method Statement]

# **Mobilization Schedule**

[insert Mobilization Schedule]

# **Construction Schedule**

[insert Construction Schedule]

### **ES Management Strategies and Implementation Plans**

#### (ES-MSIP)

The Bidder shall submit comprehensive and concise Environmental and Social Management Strategies and Implementation Plans (ES-MSIP) as required by ITB 11.1 (i) of the Bid Data Sheet. These strategies and plans shall describe in detail the actions, materials, equipment, management processes etc. that will be implemented by the Contractor, and its subcontractors.

In developing these strategies and plans, the Bidder shall have regard to the ES provisions of the contract including those as may be more fully described in the Works Requirements in Section VII.

### **Code of Conduct for Contractor's Personnel (ES) Form**

Note to the Employer:

**The following minimum requirements shall not be modified**. The Employer may add additional requirements to address identified issues, informed by relevant environmental and social assessment.

The types of issues identified could include risks associated with: labor influx, spread of communicable diseases, and Sexual Exploitation and Abuse (SEA), Sexual Harassment (SH) etc.

Delete this Box prior to issuance of the bidding documents.

#### Note to the Bidder:

The minimum content of the Code of Conduct form as set out by the Employer shall not be substantially modified. However, the Bidder may add requirements as appropriate, including to take into account Contract-specific issues/risks.

The Bidder shall initial and submit the Code of Conduct form as part of its bid.

### CODE OF CONDUCT FOR CONTRACTOR'S PERSONNEL

We are the Contractor, [*enter name of Contractor*]. We have signed a contract with [*enter name of Employer*] for [*enter description of the Works*]. These Works will be carried out at [*enter the Site and other locations where the Works will be carried out*]. Our contract requires us to implement measures to address environmental and social risks related to the Works, including the risks of sexual exploitation, sexual abuse and sexual harassment.

This Code of Conduct is part of our measures to deal with environmental and social risks related to the Works. It applies to all our staff, laborers and other employees at the Works Site or other places where the Works are being carried out. It also applies to the personnel of each subcontractor and any other personnel assisting us in the execution of the Works. All such persons are referred to as "Contractor's Personnel" and are subject to this Code of Conduct.

This Code of Conduct identifies the behavior that we require from all Contractor's Personnel.

Our workplace is an environment where unsafe, offensive, abusive or violent behavior will not be tolerated and where all persons should feel comfortable raising issues or concerns without fear of retaliation.

#### **REQUIRED CONDUCT**

Contractor's Personnel shall:

1. carry out his/her duties competently and diligently;

- 2. comply with this Code of Conduct and all applicable laws, regulations and other requirements, including requirements to protect the health, safety and well-being of other Contractor's Personnel and any other person;
- 3. maintain a safe working environment including by:
  - a. ensuring that workplaces, machinery, equipment and processes under each person's control are safe and without risk to health;
  - b. wearing required personal protective equipment;
  - c. using appropriate measures relating to chemical, physical and biological substances and agents; and
  - d. following applicable emergency operating procedures.
- 4. report work situations that he/she believes are not safe or healthy and remove himself/herself from a work situation which he/she reasonably believes presents an imminent and serious danger to his/her life or health;
- 5. treat other people with respect, and not discriminate against specific groups such as women, people with disabilities, migrant workers or children;
- 6. not engage in Sexual Harassment, which means unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature with other Contractor's or Employer's Personnel;
- 7. not engage in Sexual Exploitation, which means any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;
- 8. not engage in Sexual Abuse, which means the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;
- 9. not engage in any form of sexual activity with individuals under the age of 18, except in case of pre-existing marriage;
- 10. complete relevant training courses that will be provided related to the environmental and social aspects of the Contract, including on health and safety matters, and Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH);
- 11. report violations of this Code of Conduct; and
- 12. not retaliate against any person who reports violations of this Code of Conduct, whether to us or the Employer, or who makes use of the grievance mechanism for Contractor's Personnel or the project's Grievance Redress Mechanism.

#### **RAISING CONCERNS**

If any person observes behavior that he/she believes may represent a violation of this Code of Conduct, or that otherwise concerns him/her, he/she should raise the issue promptly. This can be done in either of the following ways:

1. Contact [enter name of the Contractor's Social Expert with relevant experience in handling gender-based violence, or if such person is not required under the Contract,

*another individual designated by the Contractor to handle these matters*] in writing at this address [] or by telephone at [] or in person at []; or

2. Call [] to reach the Contractor's hotline (*if any*) and leave a message.

The person's identity will be kept confidential, unless reporting of allegations is mandated by the country law. Anonymous complaints or allegations may also be submitted and will be given all due and appropriate consideration. We take seriously all reports of possible misconduct and will investigate and take appropriate action. We will provide warm referrals to service providers that may help support the person who experienced the alleged incident, as appropriate.

There will be no retaliation against any person who raises a concern in good faith about any behavior prohibited by this Code of Conduct. Such retaliation would be a violation of this Code of Conduct.

#### CONSEQUENCES OF VIOLATING THE CODE OF CONDUCT

Any violation of this Code of Conduct by Contractor's Personnel may result in serious consequences, up to and including termination and possible referral to legal authorities.

#### FOR CONTRACTOR'S PERSONNEL:

I have received a copy of this Code of Conduct written in a language that I comprehend. I understand that if I have any questions about this Code of Conduct, I can contact [*enter name of Contractor's contact person with relevant experience*] requesting an explanation.

Name of Contractor's Personnel: [insert name]

Signature: \_\_\_\_\_

Date: (day month year):

Countersignature of authorized representative of the Contractor:

Signature:	 
Date: (day month year): _	 

ATTACHMENT 1: Behaviors constituting Sexual Exploitation and Abuse (SEA) and behaviors constituting Sexual Harassment (SH)

#### ATTACHMENT 1 TO THE CODE OF CONDUCT FORM

#### BEHAVIORS CONSTITUTING SEXUAL EXPLOITATION AND ABUSE (SEA) AND BEHAVIORS CONSTITUTING SEXUAL HARASSMENT (SH)

The following non-exhaustive list is intended to illustrate types of prohibited behaviors:

(1) Examples of sexual exploitation and abuse include, but are not limited to:

- A Contractor's Personnel tells a member of the community that he/she can get them jobs related to the work site (e.g. cooking and cleaning) in exchange for sex.
- A Contractor's Personnel that is connecting electricity input to households says that he can connect women headed households to the grid in exchange for sex.
- A Contractor's Personnel rapes, or otherwise sexually assaults a member of the community.
- A Contractor's Personnel denies a person access to the Site unless he/she performs a sexual favor.
- A Contractor's Personnel tells a person applying for employment under the Contract that he/she will only hire him/her if he/she has sex with him/her.

#### (2) Examples of sexual harassment in a work context

- Contractor's Personnel comment on the appearance of another Contractor's Personnel (either positive or negative) and sexual desirability.
- When a Contractor's Personnel complains about comments made by another Contractor's Personnel on his/her appearance, the other Contractor's Personnel comment that he/she is "asking for it" because of how he/she dresses.
- Unwelcome touching of a Contractor's or Employer's Personnel by another Contractor's Personnel.
- A Contractor's Personnel tells another Contractor's Personnel that he/she will get him/her a salary raise, or promotion if he/she sends him/her naked photographs of himself/herself.

# Others

# **Bidder's Qualification**

To establish its qualifications to perform the contract in accordance with Section III (Evaluation and Qualification Criteria) the Bidder shall provide the information requested in the corresponding Information Sheets included hereunder

# Form ELI -1.1: Bidder Information Form

	Date:
	RFB No. and title:
	Pageofpages
Bid	der's name
In c	ase of Joint Venture (JV), name of each member:
Bide	ler's actual or intended country of registration:
[inc	licate country of Constitution]
Bide	ler's actual or intended year of incorporation:
Bid	der's legal address [in country of registration]:
D' 1	
	der's authorized representative information
Nar	ne:
Add	lress:
Tele	ephone/Fax numbers:
E-m	ail address:
1. A	ttached are copies of original documents of
	Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITB 4.4.
	In case of JV, letter of intent to form JV or JV agreement, in accordance with ITB 4.1.
	In case of state-owned enterprise or institution, in accordance with ITB 4.6 documents establishing:
•	Legal and financial autonomy
•	Operation under commercial law
•	Establishing that the Bidder is not under the supervision of the Employer
C	ncluded are the organizational chart, a list of Board of Directors, and the beneficial wnership. [If required under BDS ITB 47.1, the successful Bidder shall provide additional information on beneficial ownership, using the Beneficial Ownership Disclosure Form.]

# Form ELI -1.2: Information Form for JV Bidders

	(to be completed for each r	nembe	r of Joint `	,	
		Date: RFB No. and title:			
		Page _	KFD IN0.	and the of	pages
Bido	der's Joint Venture name:				
JV	member's name:				
JV	member's country of registration:				
JV	member's year of constitution:				
JV 1	member's legal address in country of constitution:				
JV	member's authorized representative information				
Nan	ne:	_			
Add	ress:				
Tele	phone/Fax numbers:				
E-m	ail address:				
1. A	ttached are copies of original documents of				
	Articles of Incorporation (or equivalent docum registration documents of the legal entity named				), and/or
	In case of a state-owned enterprise or institutio autonomy, operation in accordance with comm Employer, in accordance with ITB 4.6.				
2. In	ncluded are the organizational chart, a list of Boa required under BDS ITB 47.1, the successful B beneficial ownership for each JV member usin	idder sl	hall provide	e additional info	ormation on

### Form CON – 2: Historical Contract Non-Performance, Pending Litigation and Litigation History

Bic	lder's Name:	
Date:		
Joint Venture Member's Nan	ne	
RFB No. and title:		
Page	of	pages

Non-Performed Contracts in accordance with Section III, Evaluation and Qualification Criteria			
	Contract non-performance did not occur since 1st January [insert year] specified in Section III,		

Evaluation and Qualification Criteria, Sub-Factor 2.1.
 Contract(s) not performed since 1st January [insert year] specified in Section III, Evaluation and Qualification Criteria, requirement 2.1

Qualification effective, requirement 2.1				
Year	Non- performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)	
[insert year]	[insert amount and percentage]	Contract Identification: [indicate complete contract name/ number, and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Reason(s) for nonperformance: [indicate main reason(s)]	[insert amount]	
Pe	ending Litigation, i	n accordance with Section III, Evaluation and Qualifica	tion Criteria	
	pending litigation p-Factor 2.3.	in accordance with Section III, Evaluation and Qualified	cation Criteria,	
	ding litigation in a tor 2.3 as indicate	ccordance with Section III, Evaluation and Qualification and below.	Criteria, Sub-	

Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
		Contract Identification:	
		Name of Employer:	
		Address of Employer:	
		Matter in dispute:	
		Party who initiated the dispute:	
		Status of dispute:	
		Contract Identification:	
		Name of Employer:	
		Address of Employer:	
		Matter in dispute:	
		Party who initiated the dispute:	
		Status of dispute:	
Litigation	History in accordance	e with Section III, Evaluation and Qualific	cation Criteria
		ccordance with Section III, Evaluation and	Qualification
Criteria, Sub			1.6.
	p-Factor 2.4 as indicate	dance with Section III, Evaluation and Quand below	allification
Year of	Outcome as	Contract Identification	Total Contract
award	percentage of Net		Amount
	Worth		(currency), USD
			Equivalent
			(exchange
			rate)

[insert	[insert	Contract Identification: [indicate	[insert
year]	<i>percentage]</i> complete contract name, number, an		amount]
		any other identification]	
		Name of Employer: [insert full name]	
		Address of Employer: [insert	
		street/city/country]	
		Matter in dispute: [indicate main	
		issues in dispute]	
		Party who initiated the dispute:	
		[indicate "Employer" or	
		"Contractor"]	
		Reason(s) for Litigation and award	
		decision [indicate main reason(s)]	

### Form CON – 3

### **Environmental and Social Performance Declaration**

[The following table shall be filled in for the Bidder, each member of a Joint Venture and each Specialized Subcontractor]

> Bidder's Name: [insert full name] Date: [insert day, month, year] Joint Venture Member's or Specialized Subcontractor's Name: [insert full name] RFB No. and title: [insert RFB number and title] Page [insert page number] of [insert total number] pages

		nmental and Social Performance Declarat ace with Section III, Qualification Criteria, and Requirer			
	<b>No suspension or termination of contract</b> : An employer has not suspended or terminated a contract and/or called the performance security for a contract for reasons related to Environmental or Social (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5.				
	suspended or termin to Environmental, o	<b>Dension or termination of contract</b> : The following contract and/or Performance Security called by an employer( r Social (ES)performance since the date specified in Sectements, Sub-Factor 2.5. Details are described below:	s) for reasons related		
Yea	r Suspended of terminated portion of contract	r Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)		
[inser year]	rt [insert amount and percentage	Contract Identification: [indicate complete contract name/ number, and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Reason(s) for suspension or termination: [indicate main reason(s) e.g. for gender-based violence; sexual exploitation or sexual abuse breaches]	[insert amount]		
[inser year]	rt [insert amount and percentage	Contract Identification: [indicate complete contract name/ number, and any other identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country]	[insert amount]		

		Reason(s) for suspension or termination: [indicate main reason(s)]         [list all applicable contracts]	
···	····		
Periorii	ance Security	called by an employer(s) for reasons related to ES perf	ormance
Year	Contract Identification		Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
[insert year]	any other ide Name of Em Address of E Reason(s) fo	ntification: [indicate complete contract name/ number, and entification] ployer: [insert full name] Employer: [insert street/city/country] r calling of performance security: [indicate main reason(s er-based violence; sexual exploitation, or sexual abuse	

### Form CCC: Current Contract Commitments / Works in Progress

Bidders and each member of a JV should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

Employer,	Value of	Estimated	Average monthly
contact	outstanding work	completion date	invoicing over
address/tel/fax	(current US\$		last six months
	equivalent)		(US\$/month)
	contact	contact outstanding work address/tel/fax (current US\$	contactoutstanding workcompletion dateaddress/tel/fax(current US\$

# Form FIN – 3.1: Financial Situation and Performance

Bidder	r's Name:	
Dat	te:	
Joint Venture Member's Name_		
RFB No. and title:		
Page	of	pages

## 1. Financial data

Type of Financial information	Historic information for previous				
in (currency)	(amount in currency, currency, exchange rate, USD equivalent)				
	Year 1	Year 2	Year 3	Year4	Year 5
Statement of Financial Position	(Information	from Balanc	ce Sheet)		L
Total Assets (TA)					
Total Liabilities (TL)					
Total Equity/Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
	Information	from Income	Statement		
Total Revenue (TR)					
Profits Before Taxes (PBT)					
Cash Flow Information					
Cash Flow from Operating Activities					

## 2. Sources of Finance

Specify sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.

No.	Source of finance	Amount (US\$ equivalent)
1		
2		
3		

#### 3. Financial documents

The Bidder and its parties shall provide copies of financial statements for \_\_\_\_\_\_ years pursuant Section III, Evaluation and Qualifications Criteria, Sub-factor 3.2. The financial statements shall:

- (a) reflect the financial situation of the Bidder or in case of JV member, and not an affiliated entity (such as parent company or group member).
- (b) be independently audited or certified in accordance with local legislation.
- (c) be complete, including all notes to the financial statements.
- (d) correspond to accounting periods already completed and audited.
- □ Attached are copies of financial statements₁ for the \_\_\_\_\_years required above; and complying with the requirements

<sup>1</sup> If the most recent set of financial statements is for a period earlier than 12 months from the date of bid, the reason for this should be justified.

# Form FIN - 3.2: Average Annual Construction Turnover

Bidd	er's Name:	
D	ate:	
Joint Venture Member's Name		
RFB No. and title:		
Page	of	pages

		Annual	Annual turnover data (construction only)			
Year	ear Amount Currency		Exchange rate	USD equivalent		
[indicate year]	[insert am currency]	ount and indicate				
Average Annual Construction Turnover *						

\* See Section III, Evaluation and Qualification Criteria, Sub-Factor 3.2.

# Form FIN - 3.3: Financial Resources

Specify proposed sources of financing, such as liquid assets, unencumbered real assets, lines of credit, and other financial means, net of current commitments, available to meet the total construction cash flow demands of the subject contract or contracts as specified in Section III, Evaluation and Qualification Criteria.

Source of financing	Amount (US\$ equivalent)
1.	
2.	
3.	
4.	

# Form EXP - 4.1: General Construction Experience

	er's Name:	
Da	ate:	
Joint Venture Member's Name		
RFB No. and title:		
Page	of	pages

Starting Year	Ending Year	Contract Identification		Role of Bidder
		Contract name: Brief Description of the Works performed by Bidder: Amount of contract: Name of Employer: Address:	_	
		Address:	the	
		Contract name:	the	

# Form EXP - 4.2(a): Specific Construction and Contract Management Experience

Bid	lder's Name:	
]	Date:	
Joint Venture Member's Nam	ne	
RFB No. and title:		
Page	of	pages

Similar Contract No.	Information			
Contract Identification				
Award date				
Completion date				1
Role in Contract	Prime Contractor 🗖	Member in JV	Management Contractor	Sub- contractor
Total Contract Amount			US\$ *	
If member in a JV or subcontractor, specify participation in total Contract amount			*	
Employer's Name:				
Address:				
Telephone/fax number				
E-mail:				

# Form EXP - 4.2(a) (cont.) Specific Construction and Contract Management Experience (cont.)

Similar Contract No.	Information
Description of the similarity in accordance with Sub-Factor 4.2(a) of Section III:	
1. Amount	
2. Physical size of required works items	
3. Complexity	
4. Methods/Technology	
5. Construction rate for key activities	
6. Other Characteristics	

# Form EXP - 4.2(b): Construction Experience in Key Activities

	Bidder's Name: Date:	
Venture Member's N		
RFB No. and tit	le:	
Page	of	pages
st complete the infor on Criteria and Requi	rmation in this form a rements, Sub-Factor 4	-
	Information	
	Venture Member's N Name2 (as per ITB RFB No. and tit Page and 34.3): t complete the infor n Criteria and Requi	Date:

Award date				
Completion date				
Role in Contract	Prime Contractor	Member in JV	Management Contractor	Sub- contractor
Total Contract Amount			US\$	
Quantity (Volume, number or rate of production, as applicable) performed under the contract per year or part of the year	Total quantit the contra (i)		centage icipation (ii)	Actual Quantity Performed (i) x (ii)
Year 1				
Year 2				
Year 3				
Year 4				
Employer's Name:				

If applicable. 2

Address:	
Telephone/fax number	
E-mail:	

	Information
Employer's Name:	
Address:	
Telephone/fax number	
E-mail:	

	Information
Description of the key activities in accordance with Sub-Factor 4.2(b) of Section III:	

2. Activity No. Two

3. ....

# Form EXP - 4.2(c): Specific Experience in Managing ES aspects

[The following table shall be filled in for contracts performed by the Bidder, and each member of a Joint Venture]

	Bidder's Name:	
	Date:	
	Bidder's JV Member Name:	
	RFB No. and title:	
Page	of	_pages

#### 1. Key Requirement no 1 in accordance with 4.2 (c):

Contract Identification				
Award date				
Completion date				
Role in Contract	Prime Contractor	Member in JV	Management Contractor	Subcontractor
Total Contract Amount			US\$	
Details of relevant experience				

2. Key Requirement no 2 in accordance with 4.2 (c): \_\_\_\_\_

3. Key Requirement no 3 in accordance with 4.2 (c):

<u>4.</u> ...

# **Section V - Eligible Countries**

# Eligibility for the Provision of Goods, Works and Services in Bank-Financed Procurement

In reference to ITB 4.8, and 5.1, for the information of the Bidders, at the present time firms, goods and services from the following countries are excluded from this Bidding process:

Under ITB 4.8 (a) and 5.1 *[insert a list of the countries following approval by the Bank to apply the restriction or state "none"]* 

Under ITB 4.8 (b) and 5.1 *[insert a list of the countries following approval by the Bank to apply the restriction or state "none"]* 

# **Section VI - Fraud and Corruption**

# (Section VI shall not be modified)

### 1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

## 2. Requirements

- 2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.
- 2.2 To this end, the Bank:
  - a. Defines, for the purposes of this provision, the terms set forth below as follows:
    - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
    - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
    - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
    - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
    - v. "obstructive practice" is:
      - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or

- (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.
- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti- Corruption Guidelines and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;1 (ii) to be a nominated2 sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents personnel, permit the Bank to inspect3 all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

<sup>1</sup> For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

<sup>&</sup>lt;sup>2</sup> A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

<sup>&</sup>lt;sup>3</sup> Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

# **PART 2 – Works' Requirements**

# Section VII - Works' Requirements

# **Table of Contents**

Specifications	
Environmental and Social Requirements	
Key Personnel	
Drawings	
Supplementary Information	

# Specifications

# **Scope of Works**

# **Specification**

## PART A1

## A1.1 Background and Procedures

This project scope is confined to the WASCO water supply area in Maputsoe in the district of Leribe which is approximately 90km from the capital district of Lesotho, Maseru.

This scope of the works covers the bulleted items below:

- Offsetting of 2 boreholes by drilling (2) new borehole and deepening or re-drilling 5 existing boreholes with the potential of offsetting or drilling new ones next to the old borehole with 6 inches (165mm) diameter and 80 to 120m depth below ground level (b.g.l.), first 10 meters which top casing should be 8 inches (203mm).
- Perform pumping test of each borehole to determine the sustainable yield of each borehole.
- Installation of eight (8) submersible pumps inclusive of all electrical cabling, connection, and testing, ensuring that the submersible pumps are installed according to manufacturer's specification and instructions. After re-drilling of the boreholes, the contractor should upgrade motor circuit breakers to 9 14 Amps, and electrical contactors to D1210 (5.5kW)
- Supply and installation of all pipe lines, fittings, and accessories from boreholes to existing distribution pipeline facilities.
  - Installation of chlorination system inclusive of all accessories and connections to the network.
  - Disconnection of the existing boreholes from the existing pipeline and plugging the borehole.
  - Construction of 8 chambers, 3800mm x 2000mm x 1500mm, using stretcher bond with face bricks including the partitioning and provision of access from the top

and the side of each chamber.

- > Pipe-laying including connections of the new boreholes to the existing pipelines.
- Replacement of the meters, check valves and isolation valves on the existing pipelines.
- $\succ$  Provide a tap on the borehole pipeline for sampling purposes.

#### A1.2: Employer's Objective

Employer's objective is to select a contractor to proceed with the works as described in this documents.

#### A1.3 Contractor Obligations

To achieve these objectives, the contractor will be expected to execute work as per scope of works/work information and technical specifications. The obligations include the following;

- Deepen or re-drill 5 borehole existing boreholes with the possibility of offsetting and drilling a new borehole and offsetting 2 boreholes.
- The contractor will supply and install filter gravel pack which is clean, uniform and of approved quality collected from an approved suppliers consisting of particles with a diameter of 1-5mm. The volume of the filter pack required must be calculated taking into account the length of the screened area and an additional 50% to allow for settlement above screen casings, and the annular space between the borehole and the external diameter of the casing. The installation of the filter pack should be done with the aid of a tremie pipe to ensure an even distribution of materials and to reduce the risk of materials bridging in the annulus. The use a funnel (sheet metal, plastic sheet or pipe) and flowing water shall also be accepted as a method of passing the gravel through the annular space between the casing and the sides of the borehole.
- > The contractor will supply and install U-PVC, class 10, drinking water standards, and

non-toxic plain screen casings with an internal diameter of 140 mm (5,5") and 6.7 mm thickness and with a slot size between 0.5mm to 1mm. The quantity/length of screen casings to be installed in the borehole will vary respectively to the soil/aquifer formations.

- The selected contractor will supply and install U-PVC, class 10, drinking water standards, non-toxic plain casings with a 140 mm (5.5") internal diameter and 6.7mm thickness for total depth of well except where screen casings are installed. There should be at least 3m of plain casing as well as a sump/plug at the bottom of each well. The contractor should ensure verticality of the casing installed.
- Cuttings (min. 125 grams) of the strata penetrated shall be collected on site at every 1meter interval or every drill pipe; whichever gives the smallest interval and when required by the Project Manager . The Contractor shall take every possible precaution to guard against cutting contamination. Representative lithological samples shall be packed in sealed containers and with clear marked labels covering the borehole location, number and depth interval. The samples shall be stored in a location where they will not be contaminated by site conditions or drilling operations. It is a sole responsibility of a contractor to provide the lithological containers.
- Ensure there is no contamination caused by the contractor during execution of the work
- The contractor should ensure the installation of sanitary seal in the annular space between the screen casing and the borehole above the filter gravel pack to reach a minimum height of 3 meters. The sanitary seal shall consist of bentonite pellets of size between ¼" and ½". The bentonite pellets shall be installed in the annular space from the filter pack using tremie pipe system. Above the sanitary seal, the annular space shall be backfilled with cuttings extracted through drilling up to 3 meters deep below the ground level. The sanitary top seal in cement grout, corresponds to the first 10meters below the surface.
- Including 2m of bentonite pellets and 1m of grout at the surface. If the contractor cannot supply the bentonite pellets, a written request should be sent to the Project Manager providing the justification and the specifications of an alternative sealing and plugging

material in order for the Project Manager to approve its installation.

- Ensure recording of penetrations rates in close liaison with the Project Manager.
- Ensure Project water sampling is undertaken and , monitor groundwater quality by measuring standard water quality parameters (pH, EC, turbidity, temperature) at each water strike, and measuring and recording water rest levels at the beginning of each drilling day.
- > Ensure that the yields and yield changes at each water strike are recorded.
- The boreholes must be developed by airlifting for a minimum of 2hours until a stabilized satisfactory yield is reached and the quality satisfies the specifications as provided by the water safety plan.
- Limit noise and dust
- Ensure adequate measures have been taken to avoid any negative impact on the environmental and social aspects.
- Ensure appropriate protective measures (such as barricade have been taken to minimize the risk of damage to WASCO's staff and public. The contractor will demolish the structure of the borehole chambers from above the foundation level for access of re-drilling, haul the spoil to an offsite disposal area and dispose-off the spoil in an environmentally safe manner within a radius of 10km.
- The contractor will supply the materials for the construction of the borehole chambers and pipe-laying.
- The Contractor will construct pipelines that are tightly sealed, that will ensure the water from the new boreholes is conveyed to the respective existing water pipelines. The water will be metered and provision for maintenance should be adequate.
- ➤ The contractor will house the boreholes and all the other fittings prone to vandalism by means of chambers well divided, one room for borehole and the other room for the electrical component for the control of the boreholes.
- Generally, the pipelines will be laid on a 100mm fine sand bedding and covered with fine sand blanket of minimum 100mm from the crown of the

pipeline. The back fill will be compacted in layers not exceeding the 150mm. The minimum depth 1000mm will be maintained throughout the length of the pipeline.

#### A1.4 Employer Obligation

Considering that the Works will be carried out within perimeters of the WASCO's working station of Maputsoe Town, LLWSDP II will coordinate with the WASCO Area Manager for Maputsoe, the measures to be reasonably taken to avoid or limit interference between the implementation of the works and the normal functioning of water production and distribution. The Contractor is due to take this situation into account while estimating the cost and length of works since he is going to be subdued to Employer decisions in this regard.

- > The Employer will provide the contractor with the WASCO Water Safety Plan
- > The employer will be responsible for full water quality testing

#### PART A2

#### A2.1 Site Information

#### A2.1.1 Description of the Site and Access

The project is located within the 10km radius from Maputsoe Central Business District (CBD), in the district of Leribe which is approximately 90km from Maseru.

The project site consists of 8 existing boreholes all within the 10km radius of the Maputsoe CBD. The 7 boreholes are currently out operation, either having collapsed or pumping equipment stuck within while one (1) has been drilled and need to be equipped and connected. The objective of the works is to deepen the existing boreholes or drill new holes besides the existing ones, equip and connect to existing delivery mains.

As mentioned above, construction site being within the perimeters of WASCO's operational stations, the contractor shall coordinate with WASCO management on the most reasonable way to mitigate and limit interference between the works and the

operational activities.

#### A 2.1.3 Facilities to be provided by the Contractor

The Contractor shall provide one furnished office for his site personnel pipe and other material storage facilities acceptable and approved by the Engineer. The contractor shall ensure that the drilling rig to be used must have the capability of drilling beyond the anticipated depth by 30%.

The Contractor shall identify a specific construction lay down area in collaboration with the Project Manager for this Project/Contract. The lay down area must be fenced with a temporary fence and must be at least 1800 mm high. Supply and install 140mm uPVC ten (10) slotted and (10) unslotted.

The Contractor is responsible for payments and connections regarding the supply of electrical power and all other services, including water supply, sanitation, and telephones to the site office and personnel accommodation

#### A2.1.4 Security of Contractors Personnel and Construction Equipment

The Contractor shall be responsible for the security of his own site and site facilities, his personnel and construction plant and materials on and around the site of the works after the site handing over. No claim in this regard will be considered by the Employer in the case of any site incidences.

#### A2.1.5 Site Acquaintances

The contractor is required to get acquainted with all site details and hence will not be entitled to any claim related to the site conditions, unless they clearly and significantly change from the ones verified during the site visit.

### PART A3

## A3 Scope of Work (SoW)

## A3.1 General Description of Work

The works comprises of drilling eight (8) boreholes, conducting pumping tests on the boreholes, supply and installation of submersible pumps, and connect to existing distribution pipelines

The Contractor shall ensure that he/she is conversant with the applicable WASCO design guidelines and or SABS standards. Where WASCO standards/guidelines fall short, the contractor shall apply the relevant SABS standards.

The works shall include the following:

#### A3.1.0 Borehole Setting and Offsetting.

The contractor shall carry out necessary hydrogeological and geophysical methods to estimate the best possible location for setting a borehole. Where there is existing borehole that has either collapsed and is malfunctioning the contractor will offset to the approximate location for new borehole.

#### A3.1.1 Drilling of Boreholes

- Drilling of borehole in all geologic possible environments to a minimum internal diameter of 203mm (8") for the first 10 m then drill an internal diameter of 165mm (6.5") from 10metre to the depth of 80 to 120 meters below ground level. Project Manager will consider a borehole successful only if it meets the above stated conditions of depth.
- 2. If the borehole doesn't meet the stated condition in the (A) above, Project Manager will not consider the borehole successful. As such, Project Manager will neither accept nor pay any of the items in the contract or expenses incurred during the drilling process; mobilization to the next site and commencement of the works will all lie under the contractor's responsibility.

- *3.* The contractors applying will quote in the Bill of Quantity taking in consideration that the borehole depth can vary and The Client will not make any payment beyond this quoted amount, independently of the drilling depth beyond 120 meters that the selected contractor need to undertake in order to meet the design specification in terms of yield.
- **4.** Borehole drilling and construction will be supervised by the Project Manager or his authorized representative in collaboration with the assigned regional officer in a region where drilling activities will be conducted.
- *5.* The contractor shall ensure that the drilling rig have the capability of drilling beyond the anticipated depth by 30%.
- 6. Cuttings (min. 125 grams) of the strata penetrated shall be collected on site at every 1meter interval. The Contractor shall take every possible precaution to guard against cutting contamination. Representative lithological samples shall be packed in sealed containers and with clear marked labels covering the borehole location, number and depth interval. The samples shall be stored in a location where they will not be contaminated by site conditions or drilling operations. It is a sole responsibility of a contractor to provide the lithological containers.
- 7. The contractor shall ensure that the materials supplied are of good quality, adhering to the specifications provided in this document and in the BoQ. WASCO will not authorize the installation or utilization of any material that is not in line with the requirements established in the this document and BoQ.
- 8. The selected contractor will supply and install U-PVC, class 10, drinking water standards, non-toxic plain casings with a 140 mm (5.5") internal diameter and 6.7mm thickness for total depth of well except where screen casings are installed. There should be at least 3m of plain casing as well as a sump/plug at the bottom of each well. The contractor should ensure verticality of the casing installed.
- *9.* The contractor will supply and install U-PVC, class 10, drinking water standards, and non-toxic plain screen casings with an internal diameter of 140 mm (5,5") and 6.7 mm thickness and with a slot size between 0.5mm to 1mm. The quantity/length of screen casings to be installed in the borehole will vary respectively to the soil/aquifer formations.

- **10.** The contractor will supply and install filter gravel pack which is clean, consisting of particles with a diameter of 1- 5mm. The volume of the filter pack required must be calculated taking into account the length of the screened area and an additional 50% to allow for settlement above screen casings, and the annular space between the borehole and the external diameter of the casing. The installation of the filter pack should be done with the aid of a tremie pipe to ensure an even distribution of materials and to reduce the risk of materials bridging in the annulus. The use a funnel (sheet metal, plastic sheet or pipe) and flowing water shall also be accepted as a method of passing the gravel through the annular space between the casing and the sides of the borehole.
- 11. The contractor should ensure the installation of sanitary seal in the annular space between the screen casing and the borehole above the filter gravel pack to reach a minimum height of 3 meters. The sanitary seal shall consist of bentonite pellets of size between ¼" and ½". The bentonite pellets shall be installed in the annular space from the filter pack using tremie pipe system. Above the sanitary seal, the annular space shall be backfilled with cuttings extracted through drilling up to 3 meters deep below the ground level. The sanitary top seal in cement grout, corresponds to the first 3 meters below the surface. Including 2m of bentonite pellets and 1m of grout at the surface. If the contractor cannot supply the bentonite pellets, a written request should be sent to WASCO providing the justification and the specifications of an alternative sealing and plugging material in order for WASCO to approve its installation.
- *12.* The boreholes must be developed by airlifting for a minimum of 2hours until a stabilized satisfactory yield is reached and the turbidity is less than 5 NTU.
- 13. After successful completion of drilling of each borehole, a steel casing at a maximum depth of 10m should be installed depending on the type of a formation at a maximum diameter of 8" (inches).
- 14. Upon completion of the borehole, the selected contractor should submit a report of the borehole drilling in which all the relevant information and drilling velocity, well casing and other well construction operations will be recorded. The contractor will also annotate all information pertaining to the appearance of water filtrations and aquifer, types of rock found and sampling details including geophysical testing analysis, drilling lithology log, sieve analysis, GPS coordinates, casing details, filter pack details and

photographs. No payment will be made prior to reception of all the documents described above as per LLWSDP borehole template.

## A3.1.2. Pumping test and Recovery.

- 1. A pumping test is required on a routine basis for each borehole. The Contractor will estimate the discharge from the air lifting rates or blow test during borehole development. Based on the estimated discharge, the Contractor will certify the borehole as either "successful" or "lost". For successful boreholes, the Contractor will undertake 120 hours pumping test of which the day is a step draw down test. The discharges for the step drawdown test will be fixed by the contractor based on the well development results. High yielding boreholes may be pump tested for 72 hours. The 72 hours pumping test is conducted if the borehole is intended for a motorized pump. After conducting the step drawdown tests the borehole should be allowed to recover almost to the original static water level before the constant yield test is undertaken continuously for hours at the chosen/predetermined rate.
- 2. The first step could be minimum acceptable discharge of 0.25 liters / sec. The second step will be at an estimated discharge from blow test (during the well development) and the third step will be 50 to 75% more than the estimated discharge from blow test. As a thumb rule the range of the three steps could be 0,5 liters/Sec or above depending of development results, 0.75 liters /Sec and 0.25 liters/ Sec. and each step for 60 minutes the continuous test of 4 hours will be carried out at a discharge at which the dynamic water level will stabilize.
- 3. Recovery test will be for one hour or such time when there is at least recovery of 80% of the static water level noted at the start of the pump test. The pump test data and the results of pump test are presented in the standard form to be provided by the project manager.
- 4. The Contractor shall have flow gauge for the measurement of flows on site. Small flows (less than 0, 25 liters/second) can be measured by timing the filling of a vessel of known volume. The Contractor shall also have on site an operating electric dip meter, calibrated in centimeters or millimeters, and with visual/audible indicator of when the water level is reached.
- 5. Readings of flow and water level shall be taken at the intervals defined on the test pumping form provided by the project manager. For accurate measurement, an electrical/ sonic water level indicator with graduated tape for taking water level readings should be utilized. Recovery readings shall be taken for a minimum of 1 hour, during which period pumping equipment shall not be removed from the borehole.

## A3.1.3 Installation of submersible pump and power connections

- 1. The contractor to supply and install eight (8) submersible pumps and two (2) new control panels and allow for refurbishment of the other six (6) control panels.
- 2. Connect submersible pumps to the existing pipeline inclusive of all plumbing (pipes, fittings and accessories).
- 3. Supply and install appropriate electrical cabling (as defined in the BOQ) and additional length from the top of the borehole to be connected to the existing control panel.
- 4. The contractor in installing, testing, and commissioning the pumps shall liaise with Project Manager in order to comply with the installation instructions.

Location	Redrilling or Drilling of New	Pumping test	Installation of Submersible Pump	Plumbing connections to the existing pipeline	Installation of chlorination system
Kholokoe	5	4	4	4	4
Thoteng	2	2	2	2	2
Hippo	0	0	1	1	1

## Table 1: Maputsoe boreholes drilling and pumps Installation

#### A3.1.3 Requirements for the execution of the works

The Contractor is required to fully adhere to this tender document and in particular to pay due attention to the site management.

Works Programme, Health & Safety management plan, Environmental management plan, tests plan as well as duly filled daily log book shall be given due diligence throughout the project implementation. The Contractor shall provide, erect, and maintain one (1) project/contract name board at such positions and locations as directed by the Project Manager. The Contractor shall before order or manufacturing any such project name boards,

obtain Employer's written approval in respect of all names and wording to appear on the name boards.

Key	Personnel
-----	-----------

Key Personel	Qualification	Experience
Contract Manager	Degree in Civil or Mechanical Engineering. Postgraduate qualification in Project Management or Construction Management	15 years
Site Agent	Degree in Civil or Mechanical Engineering.	10 years
Hydrogeologist	BSc in Geology or Groundwater or Hydrogeology or equivalent	10 years
Fitter	Diploma or Craftsman Certificate in Mechanical Engineering or equivalent	10 years
Electrician	Diploma or Craftsman Certificate in Electrical Engineering or equivalent	10 years
Welder/Fabrication Artisan	Diploma or Craftsman Certificate in Welding or Fabication Engineering or equivalent	10 years
Drilling Rig Operator	Certificate in Drill Rigging	5 years
SafetyHealthandEnvironment Officer (SHE)	Diploma In Occupational Health	5 years
Plumber	Diploma or Craftsman Certificate in Plumbing	10 years

# Annex A: NEW BORE HOLE CONTROL PANELS accessories

# **Breakers**:

- $\geq$  2 x Steel enclosure panel box ( 500 x 600 x 250 )
- $\geq$  2 x 20 A t/p c/ breaker onesto
- ➢ 2 x 6 A s/p c/breaker onesto
- > 2 x 5 pole surge arrestor
- > 2 x 38mm fuse cartridge onesto
- > 2 x 4 x 2 outdoor weatherproof socket outlet

#### Wires :

- $\geq$  20 m x 4 mm panel wire red
- $\geq$  20 m x 4 mm panel wire white
- $\geq$  20 m x 4mm panel wire blue
- $\succ$  50m x 1.0 mm panel wire gray
- $\blacktriangleright$  50 m x 1.0 mm panel wire black
- > 0-9 X 1.0mm cable markers
- > 10m x 40 x 65 mm slotted pvc trunking
- ➤ 5 m din rail steel
- $\blacktriangleright$  12 x 4 mm clip-on block connectors

#### **Controls :**

- ➢ 8 x D12 contactor relay 230V
- > 2 x D 9 contactor relay 230V
- > 2 x auxiliary contacts contactor relay 230V d- pole
- >  $4 \times 11$  pin bases
- $\blacktriangleright$  2 x 9 13 amp overload relay
- ➤ 5 x Motor circuit breaker 9 19A
- ➤ 2 x 2.5 4 amp overload relay
- >  $2 \ge 0.25 0.4$  amp overload relay
- > 2 x Multi timer relay (st 100) 230V
- ➢ 2 x 400 V window comparator
- > 2 x motoscope ( trio ) motor protection unit

#### Switches and gauges :

- ➤ 4 x 2 position selector switches
- ➤ 2 x 500V voltmeter ( 72mm 72 mm )
- > 2 x 10 amp ammeter (72 x 72 mm) DIRECT
- > 2 x running hour meters 230V (72 x 72 mm)
- ➤ 4 x green pilot light ( led)
- ➤ 2 x amber pilot light ( led )
- ➤ 4 x red pilot light ( led )
- ➤ 2 x blue pilot light ( led )

## **Annex B: Earthing material**

- $\geq$  20 m x 50 mm earth wire (bare)
- $\geq$  1.5 m earth spike x 2
- > 50 x 12mm terminal lug x 2

#### Annex C: Borehole pump, Cables and Nylon rope

- > 1000 m x 2.5mm<sub>2</sub> x 4 core submersible cable
- ▶ 40m x 2.5mm,₂ 4core armored cable
- 2 x splicing kit (A 1)
- $\blacktriangleright$  4 x cable glands and shrouds #0
- $\geq$  2 x cable glands and shrouds #0
- > 1 box x 2.5 mm ferrules
- ➢ 6 rolls x insulation tape ( assorted ) 3M
- > 10mm Nylon rope x 1000m
- 5.5 kW SVM Franklin pump set (flow & head to be communicated after pump testing)

## Annex C: Borehole pump, Cables and Nylon rope

> 1000 m x 2.5mm<sub>2</sub> x 4 core submersible cable

- ➢ 40m x 2.5mm,₂ 4core armored cable
- > 2 x splicing kit (A 1)
- $\succ$  4 x cable glands and shrouds #0
- $\triangleright$  2 x cable glands and shrouds #0
- > 1 box x 2.5 mm ferrules
- ➢ 6 rolls x insulation tape ( assorted ) 3M
- > 10mm Nylon rope x 1000m
- 5.5 kW SVM Franklin pump set (flow & head to be communicated after pump testing)

## **Annex D: Borehole Chamber**

- ➢ 5 x Demolishing of chambers
- ➢ 5 x Haulage and Disposal of spoil
- ➢ 28,8m₂ x Site Clearance
- ▶ 1,49m<sub>3</sub> x Excavations for foundations
- > 2,28m3 x Casting of Roofing Slab
- > 14 x Doors and Frames
- > 79,8m3 x Brick work
- > 2 x manhole rings 1000 x 250mm
- ➢ 2 x manhole slab and cover 1000mm

# **Environmental and Social Requirements**

[The Employer's team preparing the ES requirements should include a suitably qualified Environmental and Social specialist/s.

In preparing detailed specifications for ES requirements the Borrower should refer to and consider the applicable environmental and social standards in the ESF including the specific requirements set out in the Environmental and Social Commitment Plan (ESCP), ESIA/ESA/ESMP, EHSGs and other GIIP as well as SEA and SH prevention and management obligations.

The ES requirements should be prepared in manner that does not conflict with the relevant General Conditions of Contract (and the corresponding Particular Conditions of Contract if any), and other parts of the Specifications.

The following is a non-exhaustive list of Sub-Clauses of the Conditions of Contract that make reference to ES matters stated in the Specifications.]

Sub- Clause/Clause No.	Sub-Clause/Clause	Remarks
8.2	Other Contractors	Indicate specific aspects (if any) that require contractor's cooperation such as to conduct environmental and social assessment.
9.4.1, 9.4.2, 9.4.7, 9.4.8	labor	State applicable requirements in accordance with the labor management procedure.
9.4.6	Facilities for Staff and Labor	-Indicate if access to or provision of services that accommodate physical, social and cultural needs of Contractor's Personnel is required.
9.4.20	Training of Contractor's Personnel	As set out in the ESCP, specify, , details of any training to relevant Contractor's Personnel to be provided by the Employer's Personnel on environmental and social aspects. (whom, what, when, where, how long etc.)
15.2	Contractor to Construct the Works	If the Contract specifies that the Contractor shall design any part of the Permanent Works, state any applicable technical standards and requirements including to address:
		<ul><li> climate change considerations,</li><li> universal access,</li></ul>

Sub- Clause/Clause No.	Sub-Clause/Clause	<b>Remarks</b> • risks of the public's potential exposure to operational accidents or natural hazards, including extreme weather events, applicable certification or
		approval requriements
		[ Refer to ESS4 on requirements for design]
18.2	Health and Safety Obligations	Indicate any additional requirements for the health and safety manual
18.3	Protection of the Environment	Specify any values for emissions, surface discharges, effluent and any other pollutants from the
		<i>Contractor's activities that shall not be exceeded.</i>
19.1	Archeological and Geological Findings	Specify other requirements if any in accordance with the ESF – ESS8
29.1	Security of the Site	State any additional requirements for the security arrangements (ESS4 of the ESF states the principles of proportionality, GIIP and applicable laws.) Include any other requirement set out in the ESCP.

In addition to provisions in the above table, the Employer shall specify the following as applicable.

#### Management and Safety of Hazardous Materials

As applicable, specify requirements for the management and safety of hazardous materials (see ESF - ESS4 para. 17 and 18 and relevant guidance notes).

#### **Resource Efficiency and Pollution Prevention and Management**

As applicable specify Resource Efficiency and Pollution Prevention and Management measures (see ESF -ESS3 and relevant guidance notes).

## • Resource efficiency

The Employer shall specify, as applicable, measures for improving efficient consumption of energy, water and raw materials, as well as other resources.

• *Energy:* When the Works have been assessed to involve a potentially significant use of energy, specify any applicable measures to optimize energy usage.

- Water: When the Works have been assessed to involve a potentially significant use of water or will have potentially significant impacts on water quality, specify any applicable measures that avoid or minimize water usage so that the Works' water use does not have significant adverse impacts on communities, other users and the environment.
- **Raw material:** When the Works have been assessed to involve a potentially significant use of raw materials, specify any applicable measures to support efficient use of raw materials.
- Pollution prevention and management
  - *Management of air pollution:* specify any measure to avoid or minimize Works related air pollution. See also GCC Sub-Clause 18.3 and the table above on Conditions of Contract that make reference to ES matters in the Specification.
  - Management of hazardous and nonhazardous wastes: specify any applicable measures to minimize the generation of waste, and reuse, recycle and recover waste in a manner that is safe for human health and the environment including storage, transportation and disposal of hazardous wastes. See also GCC Sub-Clauses 18.2 and 18.3 and the table above on Conditions of Contract that make reference to ES matters in the Specification.
  - Management of chemicals and hazardous materials: specify any applicable measures to minimize and control the release and use of hazardous materials for Works activities including the production, transportation, handling, and storage of the materials. See also GCC Sub-Clauses 18.2 and 18.3 and the table above on Conditions of Contract that make reference to ES matters in the Specification.
- Biodiversity Conservation and Sustainable Management of Living Natural Resources

The Employer shall specify, as applicable, Biodiversity Conservation and Sustainable Management of Living Natural Resources (see ESF - ESS6 and relevant guidance notes). This includes, as applicable:

- invasive alien species: managing the risk of invasive alien species during the execution of the Works;
- sustainable management of living natural resources; and
- certification and verification requirements for the supply of natural resource materials where there is a risk of significant conversion or significant degradation of natural or critical habitats.

See also GCC Sub-Clause 18.3 and the table above on Conditions of Contract that make reference to ES matters in the Specification.

- Road Safety
  - State any specific traffic and road safety requirement, as applicable. See also Sub-Clause 9.3 of the General Conditions of Contract. For details, refer to the Guidance Note on Road safety.

## **PAYMENT FOR ES REQUIREMENTS**

The Employer's ES and procurement specialists should consider how the Contractor will cost the delivery of the ES requirements. In the majority of cases, the payment for the delivery of ES requirements shall be a subsidiary obligation of the Contractor covered under the prices quoted for other Bill of Quantity items or activities. For example, normally the cost of implementing work place safe systems of work, including the measures necessary for ensuring traffic safety, shall be covered by the Bidder's rates for the relevant works. Alternatively, provisional sums could be set aside for discrete activities for example for HIV counselling service, and, GBV/SEA awareness and sensitization or to encourage the contractor to deliver additional ES outcomes beyond the requirement of the Contract.

# **Key Personnel**

[Note: Insert in the following table, the minimum key specialists required to execute the contract, taking into account the nature, scope, complexity and risks of the contract.]

Item No.	Position/specialization	Relevant academic qualifications	Minimum years of relevant work experience
1	Contractor's Representative		
2	[Environmental]	[e.g. degree in relevant environmental subject]	[e.g. [years] working on road contracts in similar work environments]
3	[Health and Safety]		
4	[Social]		
5	Sexual Exploitation, Abuse and Harassment		[e.g. 5 years of monitoring and managing risks related
	[Where a Project SEA risks are assessed to be substantial or high, Key Personnel shall		to gender-based violence, out of which 3 years of relevant
	include an expert(s) with relevant experience in addressing sexual exploitation, sexual abuse and		experience in addressing issues related to sexual exploitation, sexual abuse and sexual
6	sexual harassment cases] modify as appropriate		harassment]

#### **Contractor's Representative and Key Personnel**

# Drawings

Refer to attachment

# **Supplementary Information**

# PART 3 – Conditions of Contract and Contract Forms

# **Section VIII - General Conditions of Contract**

These General Conditions of Contract (GCC), read in conjunction with the Particular Conditions of Contract (PCC) and other documents listed therein, should be a complete document expressing fairly the rights and obligations of both parties.

These General Conditions of Contract have been developed on the basis of considerable international experience in the drafting and management of contracts, bearing in mind a trend in the construction industry towards simpler, more straightforward language.

The GCC can be used for both smaller admeasurement contracts and lump sum contracts.

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# General Conditions of Contract A. General

#### **1. Definitions** Boldface type is used to identify defined terms.

- (a) The Accepted Contract Amount means the amount accepted in the Letter of Acceptance for the execution and completion of the Works and the remedying of any defects.
- (b) The **Activity Schedule** is a schedule of the activities comprising the construction, installation, testing, and commissioning of the Works in a lump-sum contract. It includes a lump-sum price for each activity, which is used for valuations and for assessing the effects of Variations and Compensation Events.
- (c) The **Adjudicator** is the person appointed jointly by the Employer and the Contractor to resolve disputes in the first instance, as provided for in GCC 23.
- (d) **Bank** means the financing institution **named in the PCC**.
- (e) **Bill of Quantities** means the priced and completed Bill of Quantities forming part of the Bid.
- (f) **Compensation Events** are those defined in GCC Clause 42 hereunder.
- (g) The **Completion Date** is the date of completion of the Works as certified by the Project Manager, in accordance with GCC Sub-Clause 57.1.
- (h) The Contract is the Contract between the Employer and the Contractor to execute, complete, and maintain the Works. It consists of the documents listed in GCC Sub-Clause 2.3 below.
- (i) The **Contractor** is the party whose Bid to carry out the Works has been accepted by the Employer.
- (j) The **Contractor's Bid** is the completed bidding document submitted by the Contractor to the Employer.
- (k) The **Contract Price** is the Accepted Contract Amount stated in the Letter of Acceptance and thereafter as adjusted in accordance with the Contract.
- (1) **Days** are calendar days; months are calendar months.

- (m) **Dayworks** are varied work inputs subject to payment on a time basis for the Contractor's employees and Equipment, in addition to payments for associated Materials and Plant.
- (n) A **Defect** is any part of the Works not completed in accordance with the Contract.
- (o) The **Defects Liability Certificate** is the certificate issued by Project Manager upon correction of defects by the Contractor.
- (p) The **Defects Liability Period** is the period **named in the PCC** pursuant to GCC Sub-Clause 38.1 and calculated from the Completion Date.
- (q) **Drawings** means the drawings of the Works, as included in the Contract, and any additional and modified drawings issued by (or on behalf of) the Employer in accordance with the Contract, include calculations and other information provided or approved by the Project Manager for the execution of the Contract.
- (r) The **Employer** is the party who employs the Contractor to carry out the Works, **as specified in the PCC**.
- (s) **Equipment** is the Contractor's machinery and vehicles brought temporarily to the Site to construct the Works.
- (t) **"In writing"** or "**written**" means hand-written, typewritten, printed or electronically made, and resulting in a permanent record;
- (u) The **Initial Contract Price** is the Contract Price listed in the Employer's Letter of Acceptance.
- (v) The Intended Completion Date is the date on which it is intended that the Contractor shall complete the Works. The Intended Completion Date is specified in the PCC. The Intended Completion Date may be revised only by the Project Manager by issuing an extension of time or an acceleration order.
- (w) **Materials** are all supplies, including consumables, used by the Contractor for incorporation in the Works.
- (x) **Plant** is any integral part of the Works that shall have a mechanical, electrical, chemical, or biological function.
- (y) The **Project Manager** is the person named in the PCC (or any other competent person appointed by the Employer and notified to the Contractor, to act in replacement of the Project Manager) who is responsible

for supervising the execution of the Works and administering the Contract.

- (z) **PCC** means Particular Conditions of Contract.
- (aa) The **Site** is the area defined as such in the PCC.
- (bb) **Site Investigation Reports** are those that were included in the bidding document and are factual and interpretative reports about the surface and subsurface conditions at the Site.
- (cc) **Specifications** means the Specifications of the Works included in the Contract and any modification or addition made or approved by the Project Manager.
- (dd) The **Start Date** is **given in the PCC**. It is the latest date when the Contractor shall commence execution of the Works. It does not necessarily coincide with any of the Site Possession Dates.
- (ee) A **Subcontractor** is a person or corporate body who has a Contract with the Contractor to carry out a part of the work in the Contract, which includes work on the Site.
- (ff) **Temporary Works** are works designed, constructed, installed, and removed by the Contractor that are needed for construction or installation of the Works.
- (gg) A **Variation** is an instruction given by the Project Manager which varies the Works.
- (hh) The **Works** are what the Contract requires the Contractor to construct, install, and turn over to the Employer, as defined in the PCC.
- (ii) "**Contractor's Personnel**" refers to all personnel whom the Contractor utilizes on the Site or other places where the Works are carried out, including the staff, labor and other employees of each Subcontractor.
- (jj) **"Key Personnel"** means the positions (if any) of the Contractor's personnel that are stated in the Specifications.
- (kk) **"ES"** means Environmental and Social (including Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH));
- (ll) **"Sexual Exploitation and Abuse" "(SEA)"** means the following:

**Sexual Exploitation** is defined as any actual or attempted abuse of position of vulnerability, differential power or

trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another. In Bank financed operations/projects, sexual exploitation occurs when access to or benefit from a Bank financed Goods, Works, Non-consulting Services or Consulting Services is used to extract sexual gain;

**Sexual Abuse** is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;

- (mm) "Sexual Harassment" "(SH)" is defined as unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature by the Contractor's Personnel with other Contractor's or Employer's Personnel; and
- (nn) **"Employer's Personnel"** refers to the Project Manager and all other staff, labor and other employees (if any) of the Project Manager and of the Employer engaged in fulfilling the Employer's obligations under the Contract; and any other personnel identified as Employer's Personnel, by a notice from the Employer or the Project Manager to the Contractor.
- 2. Interpretation 2.1 In interpreting these GCC, words indicating one gender include all genders. Words indicating the singular also include the plural and words indicating the plural also include the singular. Headings have no significance. Words have their normal meaning under the language of the Contract unless specifically defined. The Project Manager shall provide instructions clarifying queries about these GCC.
  - 2.2 If sectional completion is **specified in the PCC**, references in the GCC to the Works, the Completion Date, and the Intended Completion Date apply to any Section of the Works (other than references to the Completion Date and Intended Completion Date for the whole of the Works).
  - 2.3 The documents forming the Contract shall be interpreted in the following order of priority:
    - (a) Agreement,
    - (b) Letter of Acceptance,
    - (c) Contractor's Bid,
    - (d) Particular Conditions of Contract,
    - (e) General Conditions of Contract, including Appendices,

- (f) Specifications,
- (g) Drawings,
- (h) Bill of Quantities,1 and
- (i) any other document **listed in the PCC** as forming part of the Contract.
- **3. Language and** 3.1 The language of the Contract and the law governing the Contract are **stated in the PCC**.
  - 3.2 Throughout the execution of the Contract, the Contractor shall comply with the import of goods and services prohibitions in the Employer's country when
    - (a) as a matter of law or official regulations, the Borrower's country prohibits commercial relations with that country; or
    - (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's Country prohibits any import of goods from that country or any payments to any country, person, or entity in that country.
- 4. Project4.1Except where otherwise specifically stated, the Project Manager<br/>shall decide contractual matters between the Employer and the<br/>Contractor in the role representing the Employer.
- 5. Delegation5.1 Unless otherwise specified in the PCC, the Project Manager may delegate any of his duties and responsibilities to other people, except to the Adjudicator, after notifying the Contractor, and may revoke any delegation after notifying the Contractor.
- 6. Communications
   6.1 Communications between parties that are referred to in the Conditions shall be effective only when in writing. A notice shall be effective only when it is delivered.
- 7. Subcontracting 7.1 The Contractor may subcontract with the approval of the Project Manager but may not assign the Contract without the approval of the Employer in writing. Subcontracting shall not alter the Contractor's obligations. The Contractor shall require that its Subcontractors execute the Works in accordance with the Contract, including complying with the relevant ES requirements and the obligations set out in Sub-Clause 28.1.

<sup>1</sup> In lump-sum contracts, delete "Bill of Quantities" and replace with "Activity Schedule."

- 8. Other Contractors
   8.1 The Contractor shall cooperate and share the Site with other contractors, public authorities, utilities, and the Employer between the dates given in the Schedule of Other Contractors, as referred to in the PCC. The Contractor shall also provide facilities and services for them as described in the Schedule. The Employer may modify the Schedule of Other Contractors, and shall notify the Contractor of any such modification.
  - 8.2 The Contractor shall also, as stated in the Specifications or as instructed by the Project Manager, cooperate with and allow appropriate opportunities for the Employer's or any other personnel, notified to the Contractor by the Employer or Project Manager, to conduct any environmental and social assessment.

### 9. Personnel and Equipment

- 9.1 The Contractor shall employ the Key Personnel and use the Equipment identified in its Bid, to carry out the Works or other personnel and Equipment approved by the Project Manager. The Project Manager shall approve any proposed replacement of Key Personnel and Equipment only if their relevant qualifications or characteristics are substantially equal to or better than those proposed in the Bid.
- 9.2 The Project Manager may require the Contractor to remove (or cause to be removed) any person employed on the Site or Works, including the Key Personnel (if any), who:
  - (a) persists in any misconduct or lack of care;
  - (b) carries out duties incompetently or negligently;
  - (c) fails to comply with any provision of the Contract;
  - (d) persists in any conduct which is prejudicial to safety, health, or the protection of the environment;
  - (e) based on reasonable evidence, is determined to have engaged in Fraud and Corruption during the execution of the Works;
  - (f) has been recruited from the Employer's Personnel;
  - (g) undertakes behavior which breaches the Code of Conduct for Contractor's Personnel (ES).

If appropriate, the Contractor shall then promptly appoint (or cause to be appointed) a suitable replacement with equivalent skills and experience.

Notwithstanding any requirement from the Project Manager to remove or cause to remove any person, the Contractor shall take immediate action as appropriate in response to any violation of (a) through (g) above. Such immediate action shall include removing (or causing to be removed) from the Site or other places where the Works are being carried out, any Contractor's Personnel who engages in (a), (b), (c), (d), (e) or (g) above or has been recruited as stated in (f) above."

- 9.3 The Contractor shall take all necessary safety measures to avoid the occurrence of incidents and injuries to any third party, associated with the use of, if any, Equipment on public roads or other public infrastructure. The Contractor shall monitor road safety incidents and accidents to identify negative safety issues, and establish and implement necessary measures to resolve them.
- 9.4 Labor

9.4.1 *Engagement of Staff and Labor*. The Contractor shall provide and employ on the Site for the execution of the Works such skilled, semi-skilled and unskilled labor as is necessary for the proper and timely execution of the Contract. The Contractor is encouraged, to the extent practicable and reasonable, to employ staff and labor with appropriate qualifications and experience from sources within the Country.

> Unless otherwise provided in the Contract, the Contractor shall be responsible for the recruitment, transportation, accommodation and welfare facilities in accordance with GCC Sub-Clause 9.4.6, of the Contractor's Personnel, and for all payments in connection therewith.

> The Contractor shall provide the Contractor's Personnel information and documentation that are clear and understandable regarding their terms and conditions of employment. The information and documentation shall set out their rights under relevant labor laws applicable to the Contractor's Personnel (which will include any applicable collective agreements), including their rights related to hours of work, wages, overtime, compensation and benefits, as well as those arising from any requirements in the Specifications. The Contractor's Personnel shall be informed when any material changes to their terms or conditions of employment occur.

- 9.4.2 *Conditions of Labor.* The Contractor shall inform the Contractor's Personnel about:
  - (a) any deduction to their payment and the conditions of such deductions in accordance with the applicable laws or as stated in the Specifications; and
  - (b) their liability to pay personal income taxes in the Country in respect of such of their salaries, wages, allowances and any benefits as are subject to tax under the laws of the Country for the time being in force.

The Contractor shall perform such duties in regard to such deductions thereof as may be imposed on him by such laws.

Where required by applicable laws or as stated in the Specifications, the Contractor shall provide the Contractor's Personnel written notice of termination of employment and details of severance payments in a timely manner. The Contractor shall have paid the Contractor's Personnel (either directly or where appropriate for their benefit) all due wages and entitlements including, as applicable, social security benefits and pension contributions, on or before the end of their engagement/ employment.

- 9.4.3 The Contractor may bring in to the Country any foreign personnel who are necessary for the execution of the Works to the extent allowed by the applicable Laws. The Contractor shall ensure that these personnel are provided with the required residence visas and work permits. The Employer will, if requested by the Contractor, use its best endeavors in a timely and expeditious manner to assist the Contractor in obtaining any local, state, national, or government permission required for bringing in the Contractor's personnel.
- 9.4.4 The Contractor shall at its own expense provide the means of repatriation to and the Contractor's Personnel employed on the Contract at the Site to their various home countries. It shall also provide suitable temporary maintenance of all such persons from the cessation of their employment on the Contract to the date programmed for their departure. In the event that the Contractor defaults in providing such means of transportation and temporary maintenance, the Employer may provide the same to such personnel and recover the cost of doing so from the Contractor.
- 9.4.5 *Disorderly conduct*. The Contractor shall at all times during the progress of the Contract use its best endeavors to prevent any unlawful, riotous or disorderly conduct or behavior by or amongst the Contractor's Personnel.
- 9.4.6 *Facilities for Staff and Labor.* Except as otherwise stated in the Specifications, the Contractor shall provide and maintain all necessary accommodation and welfare facilities for the Contractor's Personnel. If stated in the Specifications, the Contractor shall give access to or provide services that accommodate the physical, social and cultural needs of the Contractor's Personnel. The Contractor shall also provide similar facilities for the Employer's Personnel if stated in the Specifications.
- 9.4.7 The Contractor shall, in all dealings with the Contractor's Personnel, pay due regard to all recognized festivals, official holidays, religious or other customs and all local laws and regulations pertaining to the employment of labor. The Contractor shall provide the Contractor's Personnel annual holiday and sick, maternity and family leave, as required by applicable laws or as stated in the Specifications.
- 9.4.8 *Supply of Foodstuffs*. The Contractor shall arrange for the provision of a sufficient supply of suitable food as may be stated in the Specifications at reasonable prices for the Contractor's Personnel for the purposes of or in connection with the Contract.

- 9.4.9 *Supply of Water*. The Contractor shall, having regard to local conditions, provide on the Site an adequate supply of drinking and other water for the use of the Contractor's Personnel.
- 9.4.10 *Measures against Insect and Pest Nuisance*. The Contractor shall at all times take the necessary precautions to protect the Contractor's Personnel employed on the Site from insect and pest nuisance, and to reduce the danger to their health. The Contractor shall comply with all the regulations of the local health authorities, including use of appropriate insecticide.
- 9.4.11 Alcoholic Liquor or Drugs. The Contractor shall not, otherwise than in accordance with the laws of the Country, import, sell, give, barter or otherwise dispose of any alcoholic liquor or drugs, or permit or allow importation, sale, gift, barter or disposal thereto by Contractor's Personnel.
- 9.4.12 *Arms and Ammunition*. The Contractor shall not give, barter, or otherwise dispose of, to any person, any arms or ammunition of any kind, or allow Contractor's Personnel to do so.
- 9.4.13 *Funeral Arrangements*. The Contractor shall be responsible, to the extent required by local regulations, for making any funeral arrangements for any of its local employees who may die while engaged upon the Works.
- 9.4.14 *Forced Labor*. The Contractor, including its Subcontractors, shall not employ or engage forced labor. Forced labor consists of any work or service, not voluntarily performed, that is exacted from an individual under threat of force or penalty, and includes any kind of involuntary or compulsory labor, such as indentured labor, bonded labor or similar labor-contracting arrangements.

No persons shall be employed or engaged who have been subject to trafficking. Trafficking in persons is defined as the recruitment, transportation, transfer, harboring or receipt of persons by means of the threat or use of force or other forms of coercion, abduction, fraud, deception, abuse of power, or of a position of vulnerability, or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purposes of exploitation.

9.4.15 *Child Labor*. The Contractor, including its Subcontractors, shall not employ or engage a child under the age of 14 unless the national law specifies a higher age (the minimum age).

The Contractor, including its Subcontractors, shall not employ or engage a child between the minimum age and the age of 18 in a manner that is likely to be hazardous, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

The Contractor including its Subcontractors, shall only employ or engage children between the minimum age and the age of 18 after an appropriate risk assessment has been conducted by the Contractor with the Project Manager's approval. The Contractor shall be subject to regular monitoring by the Project Manager that includes monitoring of health, working conditions and hours of work.

Work considered hazardous for children is work that, by its nature or the circumstances in which it is carried out, is likely to jeopardize the health, safety, or morals of children. Such work activities prohibited for children include work:

- (a) with exposure to physical, psychological or sexual abuse;
- (b) underground, underwater, working at heights or in confined spaces;
- (c) with dangerous machinery, equipment or tools, or involving handling or
- (d) transport of heavy loads;
- (e) in unhealthy environments exposing children to hazardous substances, agents, or processes, or to temperatures, noise or vibration damaging to health; or
- (f) under difficult conditions such as work for long hours, during the night or in confinement on the premises of the employer.
- 9.4.16 *Employment Records of Workers*. The Contractor shall keep complete and accurate records of the employment of labor at the Site. The records shall include the names, ages, genders, hours worked, and wages paid to all workers. These records shall be summarized on a monthly basis and submitted to the project Manager.
- 9.4.17 *Workers' Organizations*. In countries where the relevant labor laws recognize workers' rights to form and to join workers' organizations of their choosing and to bargain collectively without interference, the Contractor shall comply with such laws. In such circumstances, the role of legally established workers' organizations and legitimate workers' representatives will be respected, and they will be provided with information needed for meaningful negotiation in a timely manner. Where the relevant labor laws substantially restrict workers' organizations, the Contractor shall enable alternative means for the Contractor's Personnel to express their grievances and

protect their rights regarding working conditions and terms of employment. The Contractor shall not seek to influence or control these alternative means. The Contractor shall not discriminate or retaliate against the Contractor's Personnel who participate, or seek to participate, in such organizations and collective bargaining or alternative mechanisms. Workers' organizations are expected to fairly represent the workers in the workforce.

9.4.18 *Non-Discrimination and Equal Opportunity.* The Contractor shall not make decisions relating to the employment or treatment of Contractor's Personnel on the basis of personal characteristics unrelated to inherent job requirements. The Contractor shall base the employment of Contractor's Personnel on the principle of equal opportunity and fair treatment, and shall not discriminate with respect to any aspects of the employment relationship, including recruitment and hiring, compensation (including wages and benefits), working conditions and terms of employment, access to training, job assignment, promotion, termination of employment or retirement, and disciplinary practices.

Special measures of protection or assistance to remedy past discrimination or selection for a particular job based on the inherent requirements of the job shall not be deemed discrimination. The Contractor shall provide protection and assistance as necessary to ensure non-discrimination and equal opportunity, including for specific groups such as women, people with disabilities, migrant workers and children (of working age in accordance with GCC Sub-Clause 9.4.15).

9.4.19 *Contractor's Personnel Grievance Mechanism.* The Contractor shall have a grievance mechanism for Contractor's Personnel, and where relevant the workers' organizations stated in GCC Sub-Clause 9.4.17, to raise workplace concerns. The grievance mechanism shall be proportionate to the nature, scale, risks and impacts of the Contract. The mechanism shall address concerns promptly, using an understandable and transparent process that provides timely feedback to those concerned in a language they understand, without any retribution, and shall operate in an independent and objective manner.

The Contractor's Personnel shall be informed of the grievance mechanism at the time of engagement for the Contract, and the measures put in place to protect them against any reprisal for its use. Measures will be put in place to make the grievance mechanism easily accessible to all Contractor's Personnel. The grievance mechanism shall not impede access to other judicial or administrative remedies that might be available, or substitute for grievance mechanisms provided through collective agreements.

The grievance mechanism may utilize existing grievance mechanisms, providing that they are properly designed and implemented, address concerns promptly, and are readily accessible to Contractor's Personnel. Existing grievance mechanisms may be supplemented as needed with Contractspecific arrangements.

9.4.20 Training of Contractor's Personnel. The Contractor shall provide appropriate training to relevant Contractor's Personnel on ES aspects of the Contract, including appropriate sensitization on prohibition of SEA and SH, and health and safety training referred to in GCC Sub-Clause 18.2.

> As stated in the Specifications or as instructed by the Project Manager, the Contractor shall also allow appropriate opportunities for the relevant Contractor's Personnel to be trained on ES aspects of the Contract by the Employer's Personnel.

> The Contractor shall provide training on SEA and SH, including its prevention, to any of its personnel who has a role to supervise other Contractor's Personnel.

- 10. Employer's and 10.1 The Employer carries the risks which this Contract states are **Contractor's** Employer's risks, and the Contractor carries the risks which this Risks Contract states are Contractor's risks.
  - 11.1 From the Start Date until the Defects Liability Certificate has been issued, the following are Employer's risks:
    - The risk of personal injury, death, or loss of or damage to (a) property (excluding the Works, Plant, Materials, and Equipment), which are due to
      - (i) use or occupation of the Site by the Works or for the purpose of the Works, which is the unavoidable result of the Works or
      - (ii) negligence, breach of statutory duty, or interference with any legal right by the Employer or by any person employed by or contracted to him except the Contractor.
    - (b) The risk of damage to the Works, Plant, Materials, and Equipment to the extent that it is due to a fault of the Employer or in the Employer's design, or due to war or

- 11. Employer's **Risks**

radioactive contamination directly affecting the country where the Works are to be executed.

- 11.2 From the Completion Date until the Defects Liability Certificate has been issued, the risk of loss of or damage to the Works, Plant, and Materials is an Employer's risk except loss or damage due to
  - (a) a Defect which existed on the Completion Date,
  - (b) an event occurring before the Completion Date, which was not itself an Employer's risk, or
  - (c) the activities of the Contractor on the Site after the Completion Date.
- 12. Contractor's Risks
   12.1 From the Starting Date until the Defects Liability Certificate has been issued, the risks of personal injury, death, and loss of or damage to property (including, without limitation, the Works, Plant, Materials, and Equipment) which are not Employer's risks are Contractor's risks.
- 13. Insurance13.1 The Contractor shall provide, in the joint names of the Employer and the Contractor, insurance cover from the Start Date to the end of the Defects Liability Period, in the amounts and deductibles stated in the PCC for the following events which are due to the Contractor's risks:
  - (a) loss of or damage to the Works, Plant, and Materials;
  - (b) loss of or damage to Equipment;
  - (c) loss of or damage to property (except the Works, Plant, Materials, and Equipment) in connection with the Contract; and
  - (d) personal injury or death.
  - 13.2 Policies and certificates for insurance shall be delivered by the Contractor to the Project Manager for the Project Manager's approval before the Start Date. All such insurance shall provide for compensation to be payable in the types and proportions of currencies required to rectify the loss or damage incurred.
  - 13.3 If the Contractor does not provide any of the policies and certificates required, the Employer may effect the insurance which the Contractor should have provided and recover the premiums the Employer has paid from payments otherwise due to the Contractor or, if no payment is due, the payment of the premiums shall be a debt due.
  - 13.4 Alterations to the terms of an insurance shall not be made without the approval of the Project Manager.

- 13.5 Both parties shall comply with any conditions of the insurance policies.
- 14. Site Data14.1 The Contractor shall be deemed to have examined any Site Data referred to in the PCC, supplemented by any information available to the Contractor.
  - 15.1 The Contractor shall construct and install the Works in accordance with the Specifications and Drawings.
  - 15.2 If the Contract specifies that the Contractor shall design any part of the permanent Works, the Contractor shall take into the Employer's requirements which may include, if stated in the Specifications:
    - (a) designing structural elements of the Works taking into account climate change considerations;
    - (b) applying the concept of universal access (the concept of universal access means unimpeded access for people of all ages and abilities in different situations and under various circumstances; and
    - (c) considering the incremental risks of the public's potential exposure to operational accidents or natural hazards, including extreme weather events.
  - 16.1 The Contractor may commence execution of the Works on the Start Date and shall carry out the Works in accordance with the Program submitted by the Contractor, as updated with the approval of the Project Manager, and complete them by the Intended Completion Date.
    - 16.2 The Contractor shall not carry out mobilization to the Site unless the Project Manager gives approval, an approval that shall not be unreasonably delayed, to the measures the Contractor proposes to address environmental and social risks and impacts, which at a minimum shall include applying the Management Strategies and Implementation Plans (MSIPs) and Code of Conduct for Contractor's Personnel submitted as part of the Bid and agreed as part of the Contract.

The Contractor shall submit, to the Project Manager for its approval any additional MSIPs as are necessary to manage the ES risks and impacts of ongoing Works. These MSIPs collectively comprise the Contractor's Environmental and Social Management Plan (C-ESMP). The Contractor shall review the C-ESMP, periodically (but not less than every six (6) months), and update it as required to ensure that it contains

16. The Works to Be Completed by the Intended Completion Date

15. Contractor to

Works

**Construct the** 

measures appropriate to the Works. The updated C-ESMP shall be submitted to the Project Manager for its approval.

# **17. Approval by the**<br/>**Project**<br/>Manager17.1 The Contractor shall submit Specifications and Drawings<br/>showing the proposed Temporary Works to the Project<br/>Manager, for his approval.

- 17.2 The Contractor shall be responsible for design of Temporary Works.
- 17.3 The Project Manager's approval shall not alter the Contractor's responsibility for design of the Temporary Works.
- 17.4 The Contractor shall obtain approval of third parties to the design of the Temporary Works, where required.
- 17.5 All Drawings prepared by the Contractor for the execution of the temporary or permanent Works, are subject to prior approval by the Project Manager before this use.

18. Health, Safety and Protection of the Environment

- 18.1 The Contractor shall be responsible for the safety of all activities on the Site.
- 18.2 The Contractor shall:
  - (a) comply with all applicable health and safety regulations and Laws;
  - (b) comply with all applicable health and safety obligations specified in the Contract;
  - (c) take care for the health and safety of all persons entitled to be on the Site and other places, if any, where the Works are being executed;
  - (d) keep the Site and Works clear of unnecessary obstruction so as to avoid danger to these persons;
  - (e) provide fencing, lighting, safe access, guarding and watching of the Works until the issue of the Contract Certificate of Completion;
  - (f) provide any Temporary Works (including roadways, footways, guards and fences) which may be necessary, because of the execution of the Works, for the use and protection of the public and of owners and occupiers of adjacent land;
  - (g) provide health and safety training of Contractor's Personnel as appropriate and maintain training records;
  - (h) actively engage the Contractor's Personnel in promoting understanding, and methods for, implementation of health and safety requirements, as well as in providing

information to Contractor's Personnel, training on occupational safety and health, and provision of personal protective equipment without expense to the Contractor's Personnel;

- put in place workplace processes for Contractor's Personnel to report work situations that they believe are not safe or healthy, and to remove themselves from a work situation which they have reasonable justification to believe presents an imminent and serious danger to their life or health;
- (j) Contractor's Personnel who remove themselves from such work situations shall not be required to return to work until necessary remedial action to correct the situation has been taken. Contractor's Personnel shall not be retaliated against or otherwise subject to reprisal or negative action for such reporting or removal;
- (k) where the Employer's Personnel, any other contractors employed by the Employer, and/or personnel of any legally constituted public authorities and private utility companies are employed in carrying out, on or near the site, of any work not included in the Contract, collaborate in applying the health and safety requirements, without prejudice to the responsibility of the relevant entities for the health and safety of their own personnel; and
- (l) establish and implement a system for regular (not less than six-monthly) review of health and safety performance and the working environment.

Subject to GCC Sub-Clause 16.2, the Contractor shall submit to the Project Manager for its approval a health and safety manual which has been specifically prepared for the Works, the Site and other places (if any) where the Contractor intends to execute the Works.

The health and safety manual shall be in addition to any other similar document required under applicable health and safety regulations and laws.

The health and safety manual shall set out all the health and safety requirements under the Contract,

- (a) which shall include at a minimum:
  - the procedures to establish and maintain a safe working environment without risk to health at all workplaces, machinery, equipment and processes under the control of the Contractor, including control

measures for chemical, physical and biological substances and agents;

- (ii) details of the training to be provided, records to be kept;
- (iii) the procedures for prevention, preparedness and response activities to be implemented in the case of an emergency event (i.e. an unanticipated incident, arising from both natural and man-made hazards, typically in the form of fire, explosions, leaks or spills, which may occur for a variety of different reasons including failure to implement operating procedures that are designed to prevent their occurrence, extreme weather or lack of early warning);
- (iv) remedies for adverse impacts such as occupational injuries, deaths, disability and disease;
- (v) the measures to be taken to avoid or minimize the potential for community exposure to water-borne, water-based, water-related, and vector-borne diseases,
- (vi) the measures to be implemented to avoid or minimize the spread of communicable diseases (including transfer of Sexually Transmitted Diseases or Infections (STDs), such as HIV virus) and noncommunicable diseases associated with the execution of the Works, taking into consideration differentiated exposure to and higher sensitivity of vulnerable groups. This includes taking measures to avoid or minimize the transmission of communicable diseases that may be associated with the influx of temporary or permanent Contract-related labor;
- (vii) the policies and procedures on the management and quality of accommodation and welfare facilities if such accommodation and welfare facilities are provided by the Contractor in accordance with GCC Sub-Clause 9.4.6; and
- (b) any other requirements stated in the Specifications.
- 18.3 Protection of the environment
  - (a) The Contractor shall take all necessary measures to: protect the environment (both on and off the Site); and

(b) limit damage and nuisance to people and property resulting from pollution, noise and other results of the Contractor's operations and/ or activities.

The Contractor shall ensure that emissions, surface discharges, effluent and any other pollutants from the Contractor's activities shall exceed neither the values indicated in the Specifications, nor those prescribed by applicable laws.

In the event of damage to the environment, property and/or nuisance to people, on or off Site as a result of the Contractor's operations, the Contractor shall agree with the Project Manager the appropriate actions and time scale to remedy, as practicable, the damaged environment to its former condition. The Contractor shall implement such remedies at its cost to the satisfaction of the Project Manager.

19. Archaeological and Geological Findings
 19.1 All fossils, coins, articles of value or antiquity, structures, groups of structures, and other remains or items of geological, archaeological, paleontological, historical, architectural or religious interest found on the Site shall be placed under the care and custody of the Employer. The Contractor shall:

- (a) take all reasonable precautions, including fencing-off the area or site of the finding, to avoid further disturbance and prevent Contractor's Personnel or other persons from removing or damaging any of these findings;
- (b) train relevant Contractor's Personnel on appropriate actions to be taken in the event of such findings; and
- (c) implement any other action consistent with the requirements of the Specifications and relevant laws.

The Contractor shall, as soon as practicable after discovery of any such finding, notify the Project Manager of such discoveries and carry out the Project Manager's instructions for dealing with them.

- 20. Possession of the Site
   20.1 The Employer shall give possession of all parts of the Site to the Contractor. If possession of a part is not given by the date stated in the PCC, the Employer shall be deemed to have delayed the start of the relevant activities, and this shall be a Compensation Event.
- 21. Access to the Site
   21.1 The Contractor shall allow the Project Manager and any person authorized by the Project Manager (including the Bank staff or consultants acting on the Bank's behalf, stakeholders and third parties, such as independent experts, local communities, or non-governmental organizations), including to carry out

environmental and social audit, as appropriate, access to the Site and to any place where work in connection with the Contract is being carried out or is intended to be carried out.

- 22. Instructions, Inspections and Audits22.1 The Contractor shall carry out all instructions of the Project Manager which comply with the applicable laws where the Site is located.
  - 22.2 The Contractor shall keep, and shall make all reasonable efforts to cause its Subcontractors and subconsultants to keep, accurate and systematic accounts and records in respect of the Works in such form and details as will clearly identify relevant time changes and costs.
  - 22.3 Inspections & Audit by the Bank

Pursuant to paragraph 2.2 e. of Appendix A to the GCC- Fraud and Corruption, the Contractor shall permit and shall cause its agents (where declared or not), subcontractors, subconsultants, service providers, suppliers, and personnel, to permit, the Bank and/or persons appointed by the Bank to inspect the site and/or the accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have such accounts, records and other documents audited by auditors appointed by the Bank. The Contractor's and its Subcontractors' and subconsultants' attention is drawn to GCC Sub-Clause 25.1 (Fraud and Corruption) which provides, inter alia, that acts intended to materially impede the exercise of the Bank's inspection and audit rights constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures).

- 23. Appointment of the Adjudicator23.1 The Adjudicator shall be appointed jointly by the Employer and the Contractor, at the time of the Employer's issuance of the Letter of Acceptance. If, in the Letter of Acceptance, the Employer does not agree on the appointment of the Adjudicator, the Employer will request the Appointing Authority designated in the PCC, to appoint the Adjudicator within 14 days of receipt of such request.
  - 23.2 Should the Adjudicator resign or die, or should the Employer and the Contractor agree that the Adjudicator is not functioning in accordance with the provisions of the Contract, a new Adjudicator shall be jointly appointed by the Employer and the Contractor. In case of disagreement between the Employer and the Contractor, within 30 days, the Adjudicator shall be designated by the Appointing Authority **designated in the PCC**

at the request of either party, within 14 days of receipt of such request.

- 24. Procedure for Disputes
   24.1 If the Contractor believes that a decision taken by the Project Manager was either outside the authority given to the Project Manager by the Contract or that the decision was wrongly taken, the decision shall be referred to the Adjudicator within 14 days of the notification of the Project Manager's decision.
  - 24.2 The Adjudicator shall give a decision in writing within 28 days of receipt of a notification of a dispute.
  - 24.3 The Adjudicator shall be paid by the hour at the **rate specified in the PCC**, together with reimbursable expenses of the types **specified in the PCC**, and the cost shall be divided equally between the Employer and the Contractor, whatever decision is reached by the Adjudicator. Either party may refer a decision of the Adjudicator to an Arbitrator within 28 days of the Adjudicator's written decision. If neither party refers the dispute to arbitration within the above 28 days, the Adjudicator's decision shall be final and binding.
  - 24.4 The arbitration shall be conducted in accordance with the arbitration procedures published by the institution named and in the place **specified in the PCC.**
- 25. Fraud and Corruption25.1 The Bank requires compliance with the Bank's Anti-Corruption Guidelines and its prevailing sanctions policies and procedures as set forth in the WBG's Sanctions Framework, as set forth in Appendix A to the GCC.
  - 25.2 The Employer requires the Contractor to disclose any commissions or fees that may have been paid or are to be paid to agents or any other party with respect to the bidding process or execution of the Contract. The information disclosed must include at least the name and address of the agent or other party, the amount and currency, and the purpose of the commission, gratuity or fee.
- 26. Stakeholder Engagement26.1 The Contractor shall provide relevant contract-related information, as the Employer and/or Project Manager may reasonably request to conduct Stakeholder engagements. "Stakeholder" refers to individuals or groups who:
  - (i) are affected or likely to be affected by the Contract; and
  - (ii) may have an interest in the Contract.

The Contractor may also directly participate in Stakeholder engagements, as the Employer and/or Project Manager may reasonably request.

- 27. Suppliers (other than Subcontractors)
  27.1 Forced Labor: The Contractor shall take measures to require its suppliers (other than Subcontractors) not to employ or engage forced labor including trafficked persons as described in GCC Sub-Clause 9.4.14. If forced labor/trafficking cases are identified, the Contractor shall take measures to require the suppliers to take appropriate steps to remedy them. Where the supplier does not remedy the situation, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to manage such risks.
  - 27.2 *Child Labor:* The Contractor shall take measures to require its suppliers (other than Subcontractors) not to employ or engage child labor as described in GCC Sub-Clause 9.4.15. If child labor cases are identified, the Contractor shall take measures to require the suppliers to take appropriate steps to remedy them. Where the supplier does not remedy the situation, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to manage such risks.
  - 27.3 *Serious Safety Issues:* The Contractor, including its Subcontractors, shall comply with all applicable safety obligations, including as stated in GCC Sub-Clause 18.2. The Contractor shall also take measures to require its suppliers (other than Subcontractors) to adopt procedures and mitigation measures adequate to address safety issues related to their personnel. If serious safety issues are identified, the Contractor shall take measures to require the suppliers to take appropriate steps to remedy them. Where the supplier does not remedy the situation, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to manage such risks.
  - 27.4 Obtaining natural resource materials in relation to supplier: The Contractor shall obtain natural resource materials from suppliers that can demonstrate, through compliance with the applicable verification and/ or certification requirements, that obtaining such materials is not contributing to the risk of significant conversion or significant degradation of natural or critical habitats such as unsustainably harvested wood products, gravel or sand extraction from river beds or beaches.

If a supplier cannot continue to demonstrate that obtaining such materials is not contributing to the risk of significant conversion or significant degradation of natural or critical habitats, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to demonstrate that they are not significantly adversely impacting the habitats.

28. Code of Conduct 28.1 The Contractor shall have a Code of Conduct for the Contractor's Personnel.

> The Contractor shall take all necessary measures to ensure that each Contractor's Personnel is made aware of the Code of Conduct including specific behaviors that are prohibited, and understands the consequences of engaging in such prohibited behaviors.

> These measures include providing instructions and documentation that can be understood by the Contractor's Personnel and seeking to obtain that person's signature acknowledging receipt of such instructions and/or documentation, as appropriate.

> The Contractor shall also ensure that the Code of Conduct is visibly displayed in multiple locations on the Site and any other place where the Works will be carried out, as well as in areas outside the Site accessible to the local community and project affected people. The posted Code of Conduct shall be provided in languages comprehensible to Contractor's Personnel, Employer's Personnel and the local community.

> The Contractor's Management Strategy and Implementation Plans shall include appropriate processes for the Contractor to verify compliance with these obligations.

- **29. Security of the** 29.1 The Site ar
  - 29.1 The Contractor shall be responsible for the security of the Site, and:
    - (a) for keeping unauthorized persons off the Site;
    - (b) authorized persons shall be limited to the Contractor's Personnel, the Employer's Personnel, and to any other personnel identified as authorized personnel (including the Employer's other contractors on the Site), by a notice from the Employer or the Project Manager to the Contractor.

Subject to GCC Sub-Clause 16.2, the Contractor shall submit for the Project Manager's No-objection a security management plan that sets out the security arrangements for the Site.

The Contractor shall (i) conduct appropriate background checks on any personnel retained to provide security; (ii) train the security personnel adequately (or determine that they are properly trained) in the use of force (and where applicable, firearms), and appropriate conduct towards Contractor's Personnel, Employer's Personnel and affected communities; and (iii) require the security personnel to act within the applicable Laws and any requirements set out in the Specifications.

The Contractor shall not permit any use of force by security personnel in providing security except when used for preventive and defensive purposes in proportion to the nature and extent of the threat.

In making security arrangements, the Contractor shall also comply with any additional requirements stated in the Specifications."

#### **B.** Time Control

- 30. Program and Progress 8
  Reports 30.1 Within the time stated in the PCC, after the date of the Letter of Acceptance, the Contractor shall submit to the Project Manager for approval a Program showing the general methods, arrangements, order, and timing for all the activities in the Works. In the case of a lump-sum contract, the activities in the Program shall be consistent with those in the Activity Schedule. The Project Manager's approval of the Program shall not alter the Contractor's obligations. The Contractor may revise the Program and submit it to the Project Manager again at any time. A revised Program shall show the effect of Variations and Compensation Events.
  - 30.2 An update of the Program shall be a program showing the actual progress achieved on each activity and the effect of the progress achieved on the timing of the remaining work, including any changes to the sequence of the activities.
  - 30.3 The Contractor shall monitor progress of the Works and submit to the Project manager progress report and any updated Program showing the actual progress achieved and the effect of the progress achieved on the timing of the remaining Works, including any changes to the sequence of the activities, at intervals no longer than the periods **stated in the PCC.** If the Contractor does not submit an updated Program within this period, the Project Manager may withhold the amount **stated in the PCC** from the next payment certificate and continue to withhold this amount until the next payment after the date on which the overdue Program has been submitted. In the case of lump-sum Contract, the Contractor shall provide an updated Activity Schedule within 14 days of being instructed to by the Project Manager.

- 30.4 Unless otherwise stated in the Specifications, each progress report shall include the Environmental and Social (ES) metrics set out in Appendix B.
- 30.5 In addition to the progress reports, the Contractor shall inform the Project Manager immediately of any allegation, incident or accident in the Site, which has or is likely to have a significant adverse effect on the environment, the affected communities, the public, Employer's Personnel or Contractor's Personnel. This includes, but is not limited to, any incident or accident causing fatality or serious injury; significant adverse effects or damage to private property; or any allegation of SEA and/or SH. In case of SEA and/or SH, while maintaining confidentiality as appropriate, the type of allegation (sexual exploitation, sexual abuse or sexual harassment), gender and age of the person who experienced the alleged incident should be included in the information.

The Contractor, upon becoming aware of the allegation, incident or accident, shall also immediately inform the Project Manager of any such incident or accident on the Subcontractors' or suppliers' premises relating to the Works which has or is likely to have a significant adverse effect on the environment, the affected communities, the public, Employer's Personnel, or Contractor's, its Subcontractors' and suppliers' personnel. The notification shall provide sufficient detail regarding such incidents or accidents. The Contractor shall provide full details of such incidents or accidents to the Project Manager within the timeframe agreed with the Project Manager.

The Contractor shall require its Subcontractors and suppliers (other than Subcontractors) to immediately notify the Contractor of any incidents or accidents referred to in this Subclause.

- 31. Extension of the Intended Completion Date
   31.1 The Project Manager shall extend the Intended Completion Date if a Compensation Event occurs or a Variation is issued which makes it impossible for Completion to be achieved by the Intended Completion Date without the Contractor taking steps to accelerate the remaining work, which would cause the Contractor to incur additional cost.
  - 31.2 The Project Manager shall decide whether and by how much to extend the Intended Completion Date within 21 days of the Contractor asking the Project Manager for a decision upon the effect of a Compensation Event or Variation and submitting full supporting information. If the Contractor has failed to give early warning of a delay or has failed to cooperate in dealing with a delay, the delay by this failure shall not be considered in assessing the new Intended Completion Date.

- **32. Acceleration** 32.1 When the Employer wants the Contractor to finish before the Intended Completion Date, the Project Manager shall obtain priced proposals for achieving the necessary acceleration from the Contractor. If the Employer accepts these proposals, the Intended Completion Date shall be adjusted accordingly and confirmed by both the Employer and the Contractor.
  - 32.2 If the Contractor's priced proposals for an acceleration are accepted by the Employer, they are incorporated in the Contract Price and treated as a Variation.
- 33. Delays Ordered by the Project Manager33.1 The Project Manager may instruct the Contractor to delay the start or progress of any activity within the Works.
- 34. Management Meetings34.1 Either the Project Manager or the Contractor may require the other to attend a management meeting. The business of a management meeting shall be to review the plans for remaining work and to deal with matters raised in accordance with the early warning procedure.
  - 34.2 The Project Manager shall record the business of management meetings and provide copies of the record to those attending the meeting and to the Employer. The responsibility of the parties for actions to be taken shall be decided by the Project Manager either at the management meeting or after the management meeting and stated in writing to all who attended the meeting.
- **35. Early Warning** 35.1 The Contractor shall warn the Project Manager at the earliest opportunity of specific likely future events or circumstances that may adversely affect the quality of the work, increase the Contract Price, or delay the execution of the Works. The Project Manager may require the Contractor to provide an estimate of the expected effect of the future event or circumstance on the Contract Price and Completion Date. The estimate shall be provided by the Contractor as soon as reasonably possible.
  - 35.2 The Contractor shall cooperate with the Project Manager in making and considering proposals for how the effect of such an event or circumstance can be avoided or reduced by anyone involved in the work and in carrying out any resulting instruction of the Project Manager.

### C. Quality Control

36. Identifying Defects36.1 The Project Manager shall check the Contractor's work and notify the Contractor of any Defects that are found. Such checking shall not affect the Contractor's responsibilities. The

Project Manager may instruct the Contractor to search for a Defect and to uncover and test any work that the Project Manager considers may have a Defect.

- 37. Tests37.1 If the Project Manager instructs the Contractor to carry out a test not specified in the Specifications to check whether any work has a Defect and the test shows that it does, the Contractor shall pay for the test and any samples. If there is no Defect, the test shall be a Compensation Event.
- 38. Correction of Defects
   38.1 The Project Manager shall give notice to the Contractor of any Defects before the end of the Defects Liability Period, which begins at Completion, and is defined in the PCC. The Defects Liability Period shall be extended for as long as Defects remain to be corrected.
  - 38.2 Every time notice of a Defect is given, the Contractor shall correct the notified Defect within the length of time specified by the Project Manager's notice.
- 39. Uncorrected Defects39.1 If the Contractor has not corrected a Defect within the time specified in the Project Manager's notice, the Project Manager shall assess the cost of having the Defect corrected, and the Contractor shall pay this amount.

#### **D.** Cost Control

- **40. Contract Price2** 40.1 The Bill of Quantities shall contain priced items for the Works to be performed by the Contractor. The Bill of Quantities is used to calculate the Contract Price. The Contractor will be paid for the quantity of the work accomplished at the rate in the Bill of Quantities for each item.
- 41. Changes in the Contract Prices41.1 If the final quantity of the work done differs from the quantity in the Bill of Quantities for the particular item by more than 25 percent, provided the change exceeds 1 percent of the Initial Contract Price, the Project Manager shall adjust the rate to allow
- 2 In lump-sum contracts, replace GCC Sub-Clause 40.1 as follows:
  - 40.1 The Contractor shall provide updated Activity Schedules within 14 days of being instructed to by the Project Manager. The Activity Schedule shall contain the priced activities for the Works to be performed by the Contractor. The Activity Schedule is used to monitor and control the performance of activities on which basis the Contractor will be paid. If payment for materials on site shall be made separately, the Contractor shall show delivery of Materials to the Site separately on the Activity Schedule.
- 3 In lump-sum contracts, replace entire GCC Clause 41 with new GCC Sub-Clause 41.1, as follows:
  - 41.1 The Activity Schedule shall be amended by the Contractor to accommodate changes of Program or method of working made at the Contractor's own discretion. Prices in the Activity Schedule shall not be altered when the Contractor makes such changes to the Activity Schedule.

for the change. The Project Manager shall not adjust rates from changes in quantities if thereby the Initial Contract Price is exceeded by more than 15 percent, except with the prior approval of the Employer.

- 41.2 If requested by the Project Manager, the Contractor shall provide the Project Manager with a detailed cost breakdown of any rate in the Bill of Quantities.
- **42. Variations** 42.1 All Variations shall be included in updated Programs<sub>4</sub> produced by the Contractor.
  - 42.2 The Contractor shall provide the Project Manager with a quotation for carrying out the Variation when requested to do so by the Project Manager. The Contractor shall also provide information of any ES risks and impacts of the Variation. The Project Manager shall assess the quotation, which shall be given within seven (7) days of the request or within any longer period stated by the Project Manager and before the Variation is ordered.
  - 42.3 If the Contractor's quotation is unreasonable, the Project Manager may order the Variation and make a change to the Contract Price, which shall be based on the Project Manager's own forecast of the effects of the Variation on the Contractor's costs.
  - 42.4 If the Project Manager decides that the urgency of varying the work would prevent a quotation being given and considered without delaying the work, no quotation shall be given and the Variation shall be treated as a Compensation Event.
  - 42.5 The Contractor shall not be entitled to additional payment for costs that could have been avoided by giving early warning.
  - 42.6 If the work in the Variation corresponds to an item description in the Bill of Quantities and if, in the opinion of the Project Manager, the quantity of work above the limit stated in GCC Sub-Clause 41.1 or the timing of its execution do not cause the cost per unit of quantity to change, the rate in the Bill of Quantities shall be used to calculate the value of the Variation. If the cost per unit of quantity changes, or if the nature or timing of the work in the Variation does not correspond with items in the Bill of Quantities, the quotation by the Contractor shall be in the form of new rates for the relevant items of work. 5
  - 42.7 Value Engineering: The Contractor may prepare, at its own cost, a value engineering proposal at any time during the performance

<sup>4</sup> In lump-sum contracts, add "and Activity Schedules" after "Programs."

<sup>5</sup> In lump-sum contracts, delete this paragraph.

of the contract. The value engineering proposal shall, at a minimum, include the following;

- (a) the proposed change(s), and a description of the difference to the existing contract requirements;
- (b) a full cost/benefit analysis of the proposed change(s) including a description and estimate of costs (including life cycle cost) the Employer may incur in implementing the value engineering proposal;
- (c) a description of any effect(s) of the change on performance/functionality; and
- (d) a description of the proposed work to be performed, a program for its execution and sufficient ES information to enable an evaluation of ES risks and impacts.

The Employer may accept the value engineering proposal if the proposal demonstrates benefits that:

- (a) accelerates the contract completion period; or
- (b) reduces the Contract Price or the life cycle costs to the Employer; or
- (c) improves the quality, efficiency, safety or sustainability of the Facilities; or
- (d) yields any other benefits to the Employer,

without compromising the functionality of the Works.

If the value engineering proposal is approved by the Employer and results in:

- (a) a reduction of the Contract Price; the amount to be paid to the Contractor shall be the **percentage specified in the PCC** of the reduction in the Contract Price; or
- (b) an increase in the Contract Price; but results in a reduction in life cycle costs due to any benefit described in (a) to (d) above, the amount to be paid to the Contractor shall be the full increase in the Contract Price.
- 43. Cash Flow Forecasts
   43.1 When the Program, 6 is updated, the Contractor shall provide the Project Manager with an updated cash flow forecast. The cash flow forecast shall include different currencies, as defined in the Contract, converted as necessary using the Contract exchange rates.

<sup>6</sup> In lump-sum contracts, add "or Activity Schedule" after "Program."

#### 44. Payment Certificates44.1 The Contractor shall submit to the Project Manager monthly statements of the estimated value of the work executed less the cumulative amount certified previously.

- 44.2 The Project Manager shall check the Contractor's monthly statement and certify the amount to be paid to the Contractor.
- 44.3 The value of work executed shall be determined by the Project Manager.
- 44.4 The value of work executed shall comprise the value of the quantities of work in the Bill of Quantities that have been completed.7
- 44.5 The value of work executed shall include the valuation of Variations and Compensation Events.
- 44.6 The Project Manager may exclude any item certified in a previous certificate or reduce the proportion of any item previously certified in any certificate in the light of later information.
- 44.7 If the Contractor was, or is, failing to perform any ES obligations or work under the Contract, the value of this work or obligation, as determined by the Project Manager, may be withheld until the work or obligation has been performed, and/or the cost of rectification or replacement, as determined by the Project Manager, may be withheld until rectification or replacement has been completed. Failure to perform includes, but is not limited to the following:
  - (a) failure to comply with any ES obligations or work described in the Works' Requirements which may include: working outside site boundaries, excessive dust, failure to keep public roads in a safe usable condition, damage to offsite vegetation, pollution of water courses from oils or sedimentation, contamination of land e.g. from oils, human waste, damage to archeology or cultural heritage features, air pollution as a result of unauthorized and/or inefficient combustion;
  - (b) failure to regularly review C-ESMP and/or update it in a timely manner to address emerging ES issues, or anticipated risks or impacts;
  - (c) failure to implement the C-ESMP e.g. failure to provide required training or sensitization;

<sup>7</sup> In lump-sum contracts, replace this paragraph with the following: "The value of work executed shall comprise the value of completed activities in the Activity Schedule."

- (d) failing to have appropriate consents/permits prior to undertaking Works or related activities;
- (e) failure to submit ES report/s (as described in Appendix B), or failure to submit such reports in a timely manner;
- (f) failure to implement remediation as instructed by the Project Manager within the specified timeframe (e.g. remediation addressing non-compliance/s).
- 45. Payments 45.1 Payments shall be adjusted for deductions for advance payments and retention. The Employer shall pay the Contractor the amounts certified by the Project Manager within 28 days of the date of each certificate. If the Employer makes a late payment, the Contractor shall be paid interest on the late payment in the next payment. Interest shall be calculated from the date by which the payment should have been made up to the date when the late payment is made at the prevailing rate of interest for commercial borrowing for each of the currencies in which payments are made.
  - 45.2 If an amount certified is increased in a later certificate or as a result of an award by the Adjudicator or an Arbitrator, the Contractor shall be paid interest upon the delayed payment as set out in this clause. Interest shall be calculated from the date upon which the increased amount would have been certified in the absence of dispute.
  - 45.3 Unless otherwise stated, all payments and deductions shall be paid or charged in the proportions of currencies comprising the Contract Price.
  - 45.4 Items of the Works for which no rate or price has been entered in shall not be paid for by the Employer and shall be deemed covered by other rates and prices in the Contract.
- 46. Compensation Events
- 46.1 The following shall be Compensation Events:
  - (a) The Employer does not give access to a part of the Site by the Site Possession Date pursuant to GCC Sub-Clause 20.1.
  - (b) The Employer modifies the Schedule of Other Contractors in a way that affects the work of the Contractor under the Contract.
  - (c) The Project Manager orders a delay or does not issue Drawings, Specifications, or instructions required for execution of the Works on time.

- (d) The Project Manager instructs the Contractor to uncover or to carry out additional tests upon work, which is then found to have no Defects.
- (e) The Project Manager unreasonably does not approve a subcontract to be let.
- (f) Ground conditions are substantially more adverse than could reasonably have been assumed before issuance of the Letter of Acceptance from the information issued to bidders (including the Site Investigation Reports), from information available publicly and from a visual inspection of the Site.
- (g) The Project Manager gives an instruction for dealing with an unforeseen condition, caused by the Employer, or additional work required for safety or other reasons.
- (h) Other contractors, public authorities, utilities, or the Employer does not work within the dates and other constraints stated in the Contract, and they cause delay or extra cost to the Contractor.
- (i) The advance payment is delayed.
- (j) The effects on the Contractor of any of the Employer's Risks.
- (k) The Project Manager unreasonably delays issuing a Certificate of Completion.
- 46.2 If a Compensation Event would cause additional cost or would prevent the work being completed before the Intended Completion Date, the Contract Price shall be increased and/or the Intended Completion Date shall be extended. The Project Manager shall decide whether and by how much the Contract Price shall be increased and whether and by how much the Intended Completion Date shall be extended.
- 46.3 As soon as information demonstrating the effect of each Compensation Event upon the Contractor's forecast cost has been provided by the Contractor, it shall be assessed by the Project Manager, and the Contract Price shall be adjusted accordingly. If the Contractor's forecast is deemed unreasonable, the Project Manager shall adjust the Contract Price based on the Project Manager's own forecast. The Project Manager shall assume that the Contractor shall react competently and promptly to the event.
- 46.4 The Contractor shall not be entitled to compensation to the extent that the Employer's interests are adversely affected by the

Contractor's not having given early warning or not having cooperated with the Project Manager.

- 47. Tax47.1 The Project Manager shall adjust the Contract Price if taxes, duties, and other levies are changed between the date 28 days before the submission of bids for the Contract and the date of the last Completion certificate. The adjustment shall be the change in the amount of tax payable by the Contractor, provided such changes are not already reflected in the Contract Price or are a result of GCC Clause 49.
- 48. Currencies48.1 Where payments are made in currencies other than the currency of the Employer's country specified in the PCC, the exchange rates used for calculating the amounts to be paid shall be the exchange rates stated in the Contractor's Bid.
- 49. Price
  49.1 Prices shall be adjusted for fluctuations in the cost of inputs only if provided for in the PCC. If so provided, the amounts certified in each payment certificate, before deducting for Advance Payment, shall be adjusted by applying the respective price adjustment factor to the payment amounts due in each currency. A separate formula of the type specified below applies to each Contract currency:

#### $P_c = A_c + B_c Imc/Ioc$

where:

Pc is the adjustment factor for the portion of the Contract Price payable in a specific currency "c."

 $A_c$  and  $B_c$  are coefficientss **specified in the PCC**, representing the nonadjustable and adjustable portions, respectively, of the Contract Price payable in that specific currency "c;" and

Imc is the index prevailing at the end of the month being invoiced and Ioc is the index prevailing 28 days before Bid opening for inputs payable; both in the specific currency "c."

- 49.2 If the value of the index is changed after it has been used in a calculation, the calculation shall be corrected and an adjustment made in the next payment certificate. The index value shall be deemed to take account of all changes in cost due to fluctuations in costs.
- <sup>8</sup> The sum of the two coefficients A<sub>c</sub> and B<sub>c</sub> should be 1 (one) in the formula for each currency. Normally, both coefficients shall be the same in the formulae for all currencies, since coefficient A, for the nonadjustable portion of the payments, is a very approximate figure (usually 0.15) to take account of fixed cost elements or other nonadjustable components. The sum of the adjustments for each currency are added to the Contract Price.

- **50. Retention** 50.1 The Employer shall retain from each payment due to the Contractor the proportion **stated in the PCC** until Completion of the whole of the Works.
  - 50.2 Upon the issue of a Certificate of Completion of the Works by the Project Manager, in accordance with GCC Sub-Clause 57.1, half the total amount retained shall be repaid to the Contractor and half when the Defects Liability Period has passed and the Project Manager has certified that all Defects notified by the Project Manager to the Contractor before the end of this period have been corrected. The Contractor may substitute retention money with an "on demand" Bank guarantee.
- 51. Liquidated Damages
  51.1 The Contractor shall pay liquidated damages to the Employer at the rate per day stated in the PCC for each day that the Completion Date is later than the Intended Completion Date. The total amount of liquidated damages shall not exceed the amount defined in the PCC. The Employer may deduct liquidated damages from payments due to the Contractor. Payment of liquidated damages shall not affect the Contractor's liabilities.
  - 51.2 If the Intended Completion Date is extended after liquidated damages have been paid, the Project Manager shall correct any overpayment of liquidated damages by the Contractor by adjusting the next payment certificate. The Contractor shall be paid interest on the overpayment, calculated from the date of payment to the date of repayment, at the rates specified in GCC Sub-Clause 45.1.
- 52. Bonus52.1 The Contractor shall be paid a Bonus calculated at the rate per calendar day stated in the PCC for each day (less any days for which the Contractor is paid for acceleration) that the Completion is earlier than the Intended Completion Date. The Project Manager shall certify that the Works are complete, although they may not be due to be complete.
- 53. Advance Payment
  53.1 The Employer shall make advance payment to the Contractor of the amounts stated in the PCC by the date stated in the PCC, against provision by the Contractor of an Unconditional Bank Guarantee in a form and by a bank acceptable to the Employer in amounts and currencies equal to the advance payment. The Guarantee shall remain effective until the advance payment has been repaid, but the amount of the Guarantee shall be progressively reduced by the amounts repaid by the Contractor. Interest shall not be charged on the advance payment.

- 53.2 The Contractor is to use the advance payment only to pay for Equipment, Plant, Materials, and mobilization expenses required specifically for execution of the Contract. The Contractor shall demonstrate that advance payment has been used in this way by supplying copies of invoices or other documents to the Project Manager.
- 53.3 The advance payment shall be repaid by deducting proportionate amounts from payments otherwise due to the Contractor, following the schedule of completed percentages of the Works on a payment basis. No account shall be taken of the advance payment or its repayment in assessing valuations of work done, Variations, price adjustments, Compensation Events, Bonuses, or Liquidated Damages.
- 54. Securities
  54.1 The Performance Security shall be provided to the Employer no later than the date specified in the Letter of Acceptance and shall be issued in an amount specified in the PCC, by a bank or surety acceptable to the Employer, and denominated in the types and proportions of the currencies in which the Contract Price is payable. The Performance Security shall be valid until a date 28 days from the date of issue of the Certificate of Completion in the case of a Bank Guarantee, and until one year from the date of issue of the Certificate of Completion in the case of a Performance Bond.
- **55. Dayworks** 55.1 If applicable, the Dayworks rates in the Contractor's Bid shall be used only when the Project Manager has given written instructions in advance for additional work to be paid for in that way.
  - 55.2 All work to be paid for as Dayworks shall be recorded by the Contractor on forms approved by the Project Manager. Each completed form shall be verified and signed by the Project Manager within two days of the work being done.
  - 55.3 The Contractor shall be paid for Dayworks subject to obtaining signed Dayworks forms.
- **56. Cost of Repairs** 56.1 Loss or damage to the Works or Materials to be incorporated in the Works between the Start Date and the end of the Defects Correction periods shall be remedied by the Contractor at the Contractor's cost if the loss or damage arises from the Contractor's acts or omissions.

#### E. Finishing the Contract

**57. Completion** 57.1 The Contractor shall request the Project Manager to issue a Certificate of Completion of the Works, and the Project

Manager shall do so upon deciding that the whole of the Works is completed.

- **58. Taking Over** 58.1 The Employer shall take over the Site and the Works within seven days of the Project Manager's issuing a Certificate of Completion.
- 59. Final Account
  59.1 The Contractor shall supply the Project Manager with a detailed account of the total amount that the Contractor considers payable under the Contract before the end of the Defects Liability Period. The Project Manager shall issue a Defects Liability Certificate and certify any final payment that is due to the Contractor within 56 days of receiving the Contractor's account if it is correct and complete. If it is not, the Project Manager shall issue within 56 days a schedule that states the scope of the corrections or additions that are necessary. If the Final Account is still unsatisfactory after it has been resubmitted, the Project Manager shall decide on the amount payable to the Contractor and issue a payment certificate.
- 60. Operating and Maintenance Manuals60.1 If "as built" Drawings and/or operating and maintenance manuals are required, the Contractor shall supply them by the dates stated in the PCC.
  - 60.2 If the Contractor does not supply the Drawings and/or manuals by the dates **stated in the PCC** pursuant to GCC Sub-Clause 60.1, or they do not receive the Project Manager's approval, the Project Manager shall withhold the amount **stated in the PCC** from payments due to the Contractor.
- **61. Termination** 61.1 The Employer or the Contractor may terminate the Contract if the other party causes a fundamental breach of the Contract.
  - 61.2 Fundamental breaches of Contract shall include, but shall not be limited to, the following:
    - (a) the Contractor stops work for 28 days when no stoppage of work is shown on the current Program and the stoppage has not been authorized by the Project Manager;
    - (b) the Project Manager instructs the Contractor to delay the progress of the Works, and the instruction is not withdrawn within 28 days;
    - (c) the Employer or the Contractor is made bankrupt or goes into liquidation other than for a reconstruction or amalgamation;

- (d) a payment certified by the Project Manager is not paid by the Employer to the Contractor within 84 days of the date of the Project Manager's certificate;
- (e) the Project Manager gives Notice that failure to correct a particular Defect is a fundamental breach of Contract and the Contractor fails to correct it within a reasonable period of time determined by the Project Manager;
- (f) the Contractor does not maintain a Security, which is required;
- (g) the Contractor has delayed the completion of the Works by the number of days for which the maximum amount of liquidated damages can be paid, as **defined in the PCC**; or
- (h) if the Contractor, in the judgment of the Employer has engaged in Fraud and Corruption, as defined in paragraph 2.2 a of the Appendix A to the GCC, in competing for or in executing the Contract, then the Employer may, after giving fourteen (14) days written notice to the Contractor, terminate the Contract and expel him from the Site.
- 61.3 Notwithstanding the above, the Employer may terminate the Contract for convenience.
- 61.4 If the Contract is terminated, the Contractor shall stop work immediately, make the Site safe and secure, and leave the Site as soon as reasonably possible.
- 61.5 When either party to the Contract gives notice of a breach of Contract to the Project Manager for a cause other than those listed under GCC Sub-Clause 61.2 above, the Project Manager shall decide whether the breach is fundamental or not.
- 62. Payment upon Termination
  62.1 If the Contract is terminated because of a fundamental breach of Contract by the Contractor, the Project Manager shall issue a certificate for the value of the work done and Materials ordered less advance payments received up to the date of the issue of the certificate and less the percentage to apply to the value of the work not completed, as specified in the PCC. Additional Liquidated Damages shall not apply. If the total amount due to the Employer exceeds any payment due to the Contractor, the difference shall be a debt payable to the Employer.
  - 62.2 If the Contract is terminated for the Employer's convenience or because of a fundamental breach of Contract by the

Employer, the Project Manager shall issue a certificate for the value of the work done, Materials ordered, the reasonable cost of removal of Equipment, repatriation of the Contractor's personnel employed solely on the Works, and the Contractor's costs of protecting and securing the Works, and less advance payments received up to the date of the certificate.

- 63. Property63.1 All Materials on the Site, Plant, Equipment, Temporary Works, and Works shall be deemed to be the property of the Employer if the Contract is terminated because of the Contractor's default.
- 64. Release from Performance64.1 If the Contract is frustrated by the outbreak of war or by any other event entirely outside the control of either the Employer or the Contractor, the Project Manager shall certify that the Contract has been frustrated. The Contractor shall make the Site safe and stop work as quickly as possible after receiving this certificate and shall be paid for all work carried out before receiving it and for any work carried out afterwards to which a commitment was made.
- 65. Suspension of Bank Loan or Credit65.1 In the event that the Bank suspends the Loan or Credit to the Employer, from which part of the payments to the Contractor are being made:
  - (a) The Employer is obligated to notify the Contractor of such suspension within 7 days of having received the Bank's suspension notice.
  - (b) If the Contractor has not received sums due to it within the 28 days for payment provided for in GCC Sub-Clause 45.1, the Contractor may immediately issue a 14day termination notice.

### APPENDIX A TO GENERAL CONDITIONS

### **Fraud and Corruption**

(Text in this Appendix shall not be modified)

#### 1. Purpose

1.1 The Bank's Anti-Corruption Guidelines and this annex apply with respect to procurement under Bank Investment Project Financing operations.

#### 2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants/proposers), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

#### 2.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
  - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
  - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
  - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
  - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
  - v. "obstructive practice" is:
    - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or

- (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.
- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring misprocurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Anti- Corruption Guidelines and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;1 (ii) to be a nominated2 sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders(applicants/proposers), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents personnel, permit the Bank to inspect<sub>3</sub> all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

<sup>1</sup> For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its prequalification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

## **APPENDIX B**

### **Environmental and Social (ES)**

### **Metrics for Progress Reports**

Metrics for regular reporting:

- a. environmental incidents or non-compliances with contract requirements, including contamination, pollution or damage to ground or water supplies;
- b. health and safety incidents, accidents, injuries that require treatment and all fatalities;
- *c. interactions with regulators: identify agency, dates, subjects, outcomes (report the negative if none);*
- d. status of all permits and agreements:
  - i. work permits: number required, number received, actions taken for those not received;
  - ii. status of permits and consents:
    - list areas/facilities with permits required (quarries, asphalt & batch plants), dates of application, dates issued (actions to follow up if not issued), dates submitted to resident engineer (or equivalent), status of area (waiting for permits, working, abandoned without reclamation, decommissioning plan being implemented, etc.);
    - list areas with landowner agreements required (borrow and spoil areas, camp sites), dates of agreements, dates submitted to resident engineer (or equivalent);
    - identify major activities undertaken in each area in the reporting period and highlights of environmental and social protection (land clearing, boundary marking, topsoil salvage, traffic management, decommissioning planning, decommissioning implementation);
    - for quarries: status of relocation and compensation (completed, or details of activities and current status in the reporting period).

#### e. health and safety supervision:

i. safety officer: number days worked, number of full inspections & partial inspections, reports to construction/project management;

- ii. number of workers, work hours, metric of PPE use (percentage of workers with full personal protection equipment (PPE), partial, etc.), worker violations observed (by type of violation, PPE or otherwise), warnings given, repeat warnings given, follow-up actions taken (if any);
- f. worker accommodations:
  - i. number of expats housed in accommodations, number of locals;
  - ii. date of last inspection, and highlights of inspection including status of accommodations' compliance with national and local law and good practice, including sanitation, space, etc.;
  - iii. actions taken to recommend/require improved conditions, or to improve conditions.
- g. Health services: provider of health services, information and/or training, location of clinic, number of non-safety disease or illness treatments and diagnoses (no names to be provided);
- *h.* gender (for expats and locals separately): number of female workers, percentage of workforce, gender issues raised and dealt with (cross-reference grievances or other sections as needed);
- *i. training:* 
  - i. number of new workers, number receiving induction training, dates of induction training;
  - ii. number and dates of toolbox talks, number of workers receiving Occupational Health and Safety (OHS), environmental and social training;
  - iii. number and dates of communicable diseases (including STDs) sensitization and/or training, no. workers receiving training (in the reporting period and in the past); same questions for gender sensitization, flag person training.
  - number and date of SEA and SH prevention sensitization and/or training events, including number of workers receiving training on Code of Conduct for Contractor's Personnel (in the reporting period and in the past), etc.
- *j. environmental and social supervision:* 
  - i. environmentalist: days worked, areas inspected and numbers of inspections of each (road section, work camp, accommodations, quarries, borrow areas, spoil areas, swamps, forest crossings, etc.), highlights of activities/findings (including violations of environmental and/or social best practices, actions taken), reports to environmental and/or social specialist/construction/site management;

- sociologist: days worked, number of partial and full site inspections (by area: road section, work camp, accommodations, quarries, borrow areas, spoil areas, clinic, HIV/AIDS center, community centers, etc.), highlights of activities (including violations of environmental and/or social requirements observed, actions taken), reports to environmental and/or social specialist/construction/site management; and
- iii. community liaison person(s): days worked (hours community center open), number of people met, highlights of activities (issues raised, etc.), reports to environmental and/or social specialist /construction/site management.
- *k. Grievances*: list new grievances (e.g. number of allegations of SEA and SH) received in the reporting period and number of unresolved past grievances by date received, complainant's age and sex, how received, to whom referred to for action, resolution and date (if completed), data resolution reported to complainant, any required follow-up (Cross-reference other sections as needed):
  - i. Worker grievances;
  - ii. Community grievances
- *l.* Traffic, road safety and vehicles/equipment:
  - i. traffic and road safety incidents and accidents involving project vehicles & equipment: provide date, location, damage, cause, follow-up;
  - traffic and road safety incidents and accidents involving non-project vehicles or property (also reported under immediate metrics): provide date, location, damage, cause, follow-up;
  - iii. overall condition of vehicles/equipment (subjective judgment by environmentalist); non-routine repairs and maintenance needed to improve safety and/or environmental performance (to control smoke, etc.).
- m. Environmental mitigations and issues (what has been done):
  - dust: number of working bowsers, number of waterings/day, number of complaints, warnings given by environmentalist, actions taken to resolve; highlights of quarry dust control (covers, sprays, operational status); % of rock/ spoil lorries with covers, actions taken for uncovered vehicles;
  - ii. erosion control: controls implemented by location, status of water crossings, environmentalist inspections and results, actions taken to resolve issues, emergency repairs needed to control erosion/sedimentation;
  - quarries, borrow areas, spoil areas, asphalt plants, batch plants: identify major activities undertaken in the reporting period at each, and highlights of environmental and social protection: land clearing, boundary marking, topsoil

salvage, traffic management, decommissioning planning, decommissioning implementation;

- iv. blasting: number of blasts (and locations), status of implementation of blasting plan (including notices, evacuations, etc.), incidents of off-site damage or complaints (cross-reference other sections as needed);
- v. spill clean-ups, if any: material spilled, location, amount, actions taken, material disposal (report all spills that result in water or soil contamination;
- vi. waste management: types and quantities generated and managed, including amount taken offsite (and by whom) or reused/recycled/disposed on-site;
- vii. details of tree plantings and other mitigations required undertaken in the reporting period;
- viii. details of water and swamp protection mitigations required undertaken in the reporting period.
- n. compliance:
  - i. compliance status for conditions of all relevant consents/permits, for the Work, including quarries, etc.): statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance;
  - ii. compliance status of C-ESMP/ESIP requirements: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
  - iii. compliance status of SEA and SH prevention and response action plan: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
  - iv. compliance status of Health and Safety Management Plan re: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
  - v. other unresolved issues from previous reporting periods related to environmental and social: continued violations, continued failure of equipment, continued lack of vehicle covers, spills not dealt with, continued compensation or blasting issues, etc. Cross-reference other sections as needed.

## **Section IX - Particular Conditions of Contract**

A. General		
GCC 1.1 (d)	The financing institution is: International Development Association of the World Bank	
GCC 1.1 (r)	The Employer is: Ministry of Water Authorised Representative is: Mr. Malefetsane Nchaka – Principal Secretary- Ministry of Water.	
GCC 1.1 (v)	The Intended Completion Date for the whole of the Works shall be 8th of July, 2021.	
GCC 1.1 (y)	The Project Manager is; Mr. Toloko Ramaema – WASCO Regional Manager North	
GCC 1.1 (aa)	The Site is located at Maputsoe and Hlotse. Identified on <b>Map 1</b> on the attached ESMF document attached to the bidding document.	
GCC 1.1 (dd)	The Start Date shall be <b>10</b> th of December, 2020.	
GCC 1.1 (hh)	The Works consist of Refurbishment, drilling, supply and installation of equipment for Boreholes.	
GCC 2.2	Sectional Completions are: N/A	
GCC 2.3(i)	The following documents also form part of the Contract: <i>Trading</i>	
	Documents (Certified valid Tax Clearance Certificate, Traders License,	
	Power of attorney).	
GCC 3.1	The language of the contract is <b>English</b>	
	The law that applies to the Contract is the law of the <b>Kingdom of Lesotho</b> .	
GCC 5.1	The Project manager <b>may</b> delegate any of his duties and responsibilities.	
GCC 8.1	Schedule of other contractors: N/A	
GCC 13.1	<ul> <li>The minimum insurance amounts and deductibles shall be:</li> <li>(a) for loss or damage to the Works, Plant and Materials: LSL 25,000.00 (Lesotho Loti).</li> </ul>	
	<ul> <li>(b) For loss or damage to Equipment: LSL 25,000.00 (Lesotho Loti).</li> <li>(c) for loss or damage to property (except the Works, Plant, Materials, and Equipment) in connection with Contract LSL 35,000.00 (Lesotho Loti).</li> <li>(d) for personal injury or death:</li> </ul>	
	<ul> <li>(i) of the Contractor's employees: LSL 80, 000.00 (Lesotho Loti).</li> <li>(ii) of other people: LSL 40, 000.00 (Lesotho Loti).</li> </ul>	
GCC 14.1	Site Data are: <b>Refer to ESMF Report (Attached to the Tender document)</b> and Scope Works (in the Tender document).	
GCC 20.1	The Site Possession Date(s) shall be 9th of January 2021	
GCC 23.1 & GCC 23.2	Appointing Authority for the Adjudicator: FIDIC approved Adjudicator.	
GCC 24.3	Hourly rate and types of reimbursable expenses to be paid to the Adjudicator:	

	USD \$150.00 per hour, transport to the employer's country or offices, Accommodation per local rates.		
GCC 24.4	In accordance with the arbitration Laws of the Kingdom of Lesotho		
B. Time Control			
GCC 30.1	The Contractor shall submit for approval a Program for the Works within Fourteen (14) days from the date of the Letter of Acceptance.		
GCC 30.3	The period between Program updates is <b>Fourteen</b> (14) days. The amount to be withheld for late submission of an updated Program is <b>USD \$20,000.00.</b> The period for submission of progress reports is <b>Thirty</b> (30) days.		
	C. Quality Control		
GCC 38.1	The Defects Liability Period is: (three hundred and sixty-five days) 365 days.		
	D. Cost Control		
GCC 42.7	N/A		
GCC 48.1	The currency of the Employer's Country is: LSL Lesotho Loti.		
GCC 49.1	The Contract <b>is not</b> subject to price adjustment in accordance with GCC Clause 45, and the following information regarding coefficients <b>does not</b> apply.		
GCC 50.1	The proportion of payments retained is: 5%		
GCC 51.1	The liquidated damages for the whole of the Works are <b>0.05%</b> per day. The maximum amount of liquidated damages for the whole of the Works is <b>5%</b> of the final Contract Price.		
GCC 53.1	The Advance Payments shall be: <b>10%</b> and shall be paid to the Contractor no later than 9th of Janusry 2021		
GCC 54.1	<ul> <li>The Performance Security amount is 10% of contract sum</li> <li>(a) Performance Security – Bank Guarantee: in the amount(s) of 10 percent of the Accepted Contract Amount and in the same currency(ies) of the Accepted Contract Amount.</li> </ul>		
E. Finishing the Contract			
GCC 60.1	The date by which operating and maintenance manuals are required is 1st <b>October</b> , 2021. The date by which "as built" drawings are required is 15th October, 2021.		
GCC 60.2	The amount to be withheld for failing to produce "as built" drawings and/or operating and maintenance manuals by the date required in GCC Sub-Clause 60.1 shall be an amount equivalent to the priced bill of the item .		
GCC 61.2 (g)	The maximum number of days is: Ninety days (90).		
GCC 62.1	The percentage to apply to the value of the work not completed, representing the Employer's additional cost for completing the Works, is <b>50%</b> .		

### **Section X - Contract Forms**

### **Table of Forms**

Notification of Intention to Award	
Letter of Acceptance	
Contract Agreement	
Performance Security - Bank Guarantee	
Advance Payment Security	

## Notification of Intention to Award

[This Notification of Intention to Award shall be sent to each Bidder that submitted a Bid.]

# [Send this Notification to the Bidder's Authorized Representative named in the Bidder Information Form]

For the attention of Bidder's Authorized Representative Name: [insert Authorized Representative's name] Address: [insert Authorized Representative's Address] Telephone/Fax numbers: [insert Authorized Representative's telephone/fax numbers] Email Address: [insert Authorized Representative's email address]

[IMPORTANT: insert the date that this Notification is transmitted to Bidders. The Notification must be sent to all Bidders simultaneously. This means on the same date and as close to the same time as possible.]

**DATE OF TRANSMISSION**: This Notification is sent by: [*email/fax*] on [*date*] (local time)

## **Notification of Intention to Award**

Employer: [insert the name of the Employer] Project: [insert name of project] Contract title: [insert the name of the contract] Country: [insert country where RFB is issued] Loan No. /Credit No. / Grant No.: [insert reference number for loan/credit/grant] RFB No: [insert RFB reference number from Procurement Plan]

This Notification of Intention to Award (Notification) notifies you of our decision to award the above contract. The transmission of this Notification begins the Standstill Period. During the Standstill Period, you may:

- a) request a debriefing in relation to the evaluation of your Bid, and/or
- b) submit a Procurement-related Complaint in relation to the decision to award the contract.

Name:	[insert name of successful Bidder]
Address:	[insert address of the successful Bidder]
Contract price:	[insert contract price of the successful Bid]

#### 1. The successful Bidder

2. Other Bidders [INSTRUCTIONS: insert names of all Bidders that submitted a Bid. If the Bid's price was evaluated include the evaluated price as well as the Bid price as read out.]

Name of Bidder	Bid price	Evaluated Bid price (if applicable)
[insert name]	[insert Bid price]	[insert evaluated price]
[insert name]	[insert Bid price]	[insert evaluated price]
[insert name]	[insert Bid price]	[insert evaluated price]
[insert name]	[insert Bid price]	[insert evaluated price]
[insert name]	[insert Bid price]	[insert evaluated price]

#### 3. Reason/s why your Bid was unsuccessful

[INSTRUCTIONS: State the reason/s why this Bidder's Bid was unsuccessful. Do NOT include: (a) a point by point comparison with another Bidder's Bid or (b) information that is marked confidential by the Bidder in its Bid.]

#### 4. How to request a debriefing

## **DEADLINE:** The deadline to request a debriefing expires at midnight on [*insert date*] (local time).

You may request a debriefing in relation to the results of the evaluation of your Bid. If you decide to request a debriefing your written request must be made within three (3) Business Days of receipt of this Notification of Intention to Award.

Provide the contract name, reference number, name of the Bidder, contact details; and address the request for debriefing as follows:

Attention: [insert full name of person, if applicable]

Title/position: [insert title/position]

Agency: [insert name of Employer]

Email address: [insert email address]

Fax number: [insert fax number] delete if not used

If your request for a debriefing is received within the 3 Business Days deadline, we will provide the debriefing within five (5) Business Days of receipt of your request. If we are unable to provide the debriefing within this period, the Standstill Period shall be extended by five (5) Business Days after the date that the debriefing is provided. If this happens, we will notify you and confirm the date that the extended Standstill Period will end.

The debriefing may be in writing, by phone, video conference call or in person. We shall promptly advise you in writing how the debriefing will take place and confirm the date and time.

If the deadline to request a debriefing has expired, you may still request a debriefing. In this case, we will provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of the Contract Award Notice.

#### 5. How to make a complaint

**Period: Procurement-related Complaint challenging the decision to award shall be submitted by midnight**, [*insert date*] (local time).

Provide the contract name, reference number, name of the Bidder, contact details; and address the Procurement-related Complaint as follows:

Attention: [insert full name of person, if applicable]

**Title/position**: [insert title/position]

Agency: [insert name of Employer]

**Email address**: [insert email address]

Fax number: [insert fax number] delete if not used

At this point in the procurement process, you may submit a Procurement-related Complaint challenging the decision to award the contract. You do not need to have requested, or received, a debriefing before making this complaint. Your complaint must be submitted within the Standstill Period and received by us before the Standstill Period ends.

Further information:

For more information see the Procurement Regulations for IPF Borrowers (Procurement Regulations)[https://policies.worldbank.org/sites/ppf3/PPFDocuments/Forms/DispPage. aspx?docid=4005] (Annex III). You should read these provisions before preparing and submitting your complaint. In addition, the World Bank's Guidance "How to make a Procurement-related Complaint" [http://www.worldbank.org/en/projects-operations/products-and-services/brief/procurement-new-framework#framework]

provides a useful explanation of the process, as well as a sample letter of complaint.

In summary, there are four essential requirements:

- 1. You must be an 'interested party'. In this case, that means a Bidder who submitted a Bid in this bidding process, and is the recipient of a Notification of Intention to Award.
- 2. The complaint can only challenge the decision to award the contract.
- 3. You must submit the complaint within the period stated above.

4. You must include, in your complaint, all of the information required by the Procurement Regulations (as described in Annex III).

#### 6. Standstill Period

**DEADLINE:** The Standstill Period is due to end at midnight on [*insert date*] (local time).

The Standstill Period lasts ten (10) Business Days after the date of transmission of this Notification of Intention to Award.

The Standstill Period may be extended as stated in Section 4 above.

If you have any questions regarding this Notification, please do not hesitate to contact us.

On behalf of the Employer:

Signature:

Name:

Title/position:

Telephone:

Email:

### **Beneficial Ownership Disclosure Form**

# INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE FORM

This Beneficial Ownership Disclosure Form ("Form") is to be completed by the successful Bidder1. In case of joint venture, the Bidder must submit a separate Form for each member. The beneficial ownership information to be submitted in this Form shall be current as of the date of its submission.

For the purposes of this Form, a Beneficial Owner of a Bidder is any natural person who ultimately owns or controls the Bidder by meeting one or more of the following conditions:

- *directly or indirectly holding 25% or more of the shares*
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder

#### **RFB No.:** [insert number of RFB process] **Request for Bid No**.: [insert identification]

#### To: [insert complete name of Employer]

In response to your request in the Letter of Acceptance *dated* [insert date of letter of Acceptance] to furnish additional information on beneficial ownership: [select one option as applicable and delete the options that are not applicable]

(i) we hereby provide the following beneficial ownership information.

#### Details of beneficial ownership

Identity of	Directly or indirectly	Directly or	Directly or indirectly
Beneficial Owner	holding 25% or more	indirectly holding	having the right to
	of the shares	25 % or more of	appoint a majority of
	(Yes / No)	the Voting Rights	the board of the
	(103/100)	(Yes / No)	directors or an equivalent governing body of the Bidder
			(Yes / No)
[include full name			
(last, middle, first),			

nationality, country of residence]			
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#### OR

(ii) We declare that there is no Beneficial Owner meeting one or more of the following conditions:

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder

#### OR

(iii) We declare that we are unable to identify any Beneficial Owner meeting one or more of the following conditions. [If this option is selected, the Bidder shall provide explanation on why it is unable to identify any Beneficial Owner]

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder]"

Name of the Bidder: \*[insert complete name of the Bidder]\_\_\_\_\_

Name of the person duly authorized to sign the Bid on behalf of the Bidder: \*\*[*insert* complete name of person duly authorized to sign the Bid]\_\_\_\_\_

**Title of the person signing the Bid**: [*insert complete title of the person signing the Bid*]\_\_\_\_\_

Signature of the person named above: [insert signature of person whose name and capacity are shown above]\_\_\_\_

Date signed [insert date of signing] day of [insert month], [insert year]\_\_\_\_\_

\*\* Person signing the Bid shall have the power of attorney given by the Bidder. The power of attorney shall be attached with the Bid Schedules.

<sup>\*</sup> In the case of the Bid submitted by a Joint Venture specify the name of the Joint Venture as Bidder. In the event that the Bidder is a joint venture, each reference to "Bidder" in the Beneficial Ownership Disclosure Form (including this Introduction thereto) shall be read to refer to the joint venture member.

### **Letter of Acceptance**

[on letterhead paper of the Employer]

..... [date].....

To: ..... [ name and address of the Contractor] .....

This is to notify you that your Bid dated .... [insert date].... for execution of the ...... for the ...... for the contract and identification number, as given in the PCC]...... for the Accepted Contract Amount of ..... [insert amount in numbers and words and name of currency], as corrected and modified in accordance with the Instructions to Bidders is hereby accepted by our Agency.

You are requested to furnish (i) the Performance Security and an Environmental and Social (ES) Performance Security *[Delete ES Performance Security if it is not required under the contract]* within 28 days in accordance with the Conditions of Contract, using for that purpose the of the Performance Security Form and the ES Performance Security Form, *[Delete reference to the ES Performance Security Form if it is not required under the contract]* and (ii) the additional information on beneficial ownership in accordance with BDS ITB 47.1, within eight (8) Business days using the Beneficial Ownership Disclosure Form, included in Section X - Contract Forms, of the bidding document. *[Choose one of the following statements:]* 

We accept that \_\_\_\_\_\_ [insert the name of Adjudicator proposed by the Bidder] be appointed as the Adjudicator.

[or]

 Authorized Signature:
 ......

 Name and Title of Signatory:
 .....

 Name of Agency:
 ......

 Attachment:
 Contract Agreement

### **Contract Agreement**

WHEREAS the Employer desires that the Works known as .....[*name of the Contract*].... .should be executed by the Contractor, and has accepted a Bid by the Contractor for the execution and completion of these Works and the remedying of any defects therein,

The Employer and the Contractor agree as follows:

1. In this Agreement words and expressions shall have the same meanings as are respectively assigned to them in the Contract documents referred to.

2. The following documents shall be deemed to form and be read and construed as part of this Agreement. This Agreement shall prevail over all other Contract documents.

- (a) the Letter of Acceptance
  - (b) the Letter of Bid
  - (c) the addenda Nos \_\_\_\_\_(if any)
  - (d) the Particular Conditions
  - (e) the General Conditions of Contract, including appendix;
  - (f) the Specifications
  - (g) the Drawings
  - (h) Bill of Quantities; 1 and
  - (i) any other document listed in the PCC as forming part of the Contract, but not limited to;
    - i. the ES Management Strategies and Implementation Plans; and
    - ii. Code of Conduct for Contractor's Personnel (ES).

3. In consideration of the payments to be made by the Employer to the Contractor as specified in this Agreement, the Contractor hereby covenants with the Employer to execute the Works and to remedy defects therein in conformity in all respects with the provisions of the Contract.

In lump sum contracts, delete "Bill of Quantities" and replace with "Activity Schedule."

4. The Employer hereby covenants to pay the Contractor in consideration of the execution and completion of the Works and the remedying of defects therein, the Contract Price or such other sum as may become payable under the provisions of the Contract at the times and in the manner prescribed by the Contract.

IN WITNESS whereof the parties hereto have caused this Agreement to be executed in accordance with the laws of . . . . *[name of the borrowing country]*. . . . . on the day, month and year specified above.

Signed by:	Signed by:
for and on behalf of the Employer	for and on behalf the Contractor
in the	in the
presence of:	presence of:
Witness, Name, Signature, Address, Date	Witness, Name, Signature, Address, Date

### **Performance Security - Bank Guarantee**

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: [insert name and Address of Employer]

**Date:** \_ [Insert date of issue]

**PERFORMANCE GUARANTEE No.:** [Insert guarantee reference number]

Guarantor: [Insert name and address of place of issue, unless indicated in the letterhead]

We have been informed that \_ [insert name of Contractor, which in the case of a joint venture shall be the name of the joint venture] (hereinafter called "the Applicant") has entered into Contract No. [insert reference number of the contract] dated [insert date] with the Beneficiary, for the execution of \_ [insert name of contract and brief description of Works] (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, a performance guarantee is required.

At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of *[insert amount in figures]* (\_\_\_\_\_) *[insert amount in words]*,1 such sum being payable in the types and proportions of currencies in which the Contract Price is payable, upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Applicant is in breach of its obligation(s) under the Contract, without the Beneficiary needing to prove or to show grounds for your demand or the sum specified therein.

This guarantee shall expire, no later than the .... Day of ....., 2... 2, and any demand for payment under it must be received by us at this office indicated above on or before that date.

The Guarantor shall insert an amount representing the percentage of the Accepted Contract Amount specified in the Letter of Acceptance, less provisional sums, if any, and denominated either in the currency(ies) of the Contract or a freely convertible currency acceptable to the Beneficiary.

Insert the date twenty-eight days after the expected completion date as described in GCC Sub-Clause 57.1. The Employer should note that in the event of an extension of this date for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under Article 15(a) is hereby excluded.

[signature(s)]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

### **Advance Payment Security**

#### **Demand Guarantee**

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: [Insert name and Address of Employer]

Date: [Insert date of issue]

**ADVANCE PAYMENT GUARANTEE No.:** [Insert guarantee reference number]

**Guarantor:** [Insert name and address of place of issue, unless indicated in the letterhead]

We have been informed that [insert name of Contractor, which in the case of a joint venture shall be the name of the joint venture] (hereinafter called "the Applicant") has entered into Contract No. [insert reference number of the contract] dated [insert date] with the Beneficiary, for the execution of [insert name of contract and brief description of Works] (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum *[insert amount in figures]* () *[insert amount in words]* is to be made against an advance payment guarantee.

At the request of the Applicant, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of *[insert amount in figures]* (\_\_\_\_\_\_) *[insert amount in words]1* upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating either that the Applicant:

(a) has used the advance payment for purposes other than the costs of mobilization in respect of the Works; or

(b) has failed to repay the advance payment in accordance with the Contract conditions, specifying the amount which the Applicant has failed to repay.

A demand under this guarantee may be presented as from the presentation to the Guarantor of a certificate from the Beneficiary's bank stating that the advance payment referred to above

<sup>&</sup>lt;sup>1</sup> The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency(ies) of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to the Employer.

has been credited to the Applicant on its account number [insert number] at [insert name and address of Applicant's bank]..

The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Applicant as specified in copies of interim statements or payment certificates which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of a copy of the interim payment certificate indicating that ninety (90) percent of the Accepted Contract Amount, less provisional sums, has been certified for payment, or on the *[insert day]* day of *[insert month]*, 2 *[insert year]*, 2 whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under Article 15(a) is hereby excluded.

[signature(s)]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

<sup>2</sup> Insert the expected completion date as described in GCC Sub- Clause 57.1. The Employer should note that in the event of an extension of the expected completion date of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."